

# Chapter 0. Background Material

**Note.** In this chapter we briefly cover material on topology, tensors, and differential calculus. When I have online notes for a topic or result, we will refer to those notes (which will be the case for most often for topology and analysis results).

## 0.1. Topology

**Note.** In this section we define a topology and give a few additional definitions. I have extensive online notes (at the undergraduate/graduate “cross-listed” level) on topology at: [“Introduction to Topology Class Notes: General Topology”](#).

**Definition 0.1.** A *topology* on a point set  $E$  is a family  $\mathcal{O}$  of subsets of  $E$ , called *open sets*, such that:

- (a) The set  $E$  and the null set  $\emptyset$  are open sets.
- (b) Any union of open sets is an open set.
- (c) Any finite intersection of open sets is an open set.

The pair  $(E, \mathcal{O})$  is a *topological space*.

**Example/Definition.** Let  $E = \mathbb{R}^n$ . With  $d$  as the Euclidean metric on  $\mathbb{R}^n$ , define the *open ball*

$$B_x(\rho) = \{y \in \mathbb{R}^n \mid d(x, y) < \rho\}$$

with center  $x$  and radius  $\rho$ . If we take  $\mathcal{O}$  as the collection of all  $X \subset \mathbb{R}^n$  such that for all  $x \in X$  there is  $\rho > 0$  such that  $B_x(\rho) \subset X$ , then  $(\mathbb{R}^n, \mathcal{O})$  is a topological space. The topology  $\mathcal{O}$  is the *usual topology* on  $\mathbb{R}^n$ .

**Definition 0.3.** Let  $(E, \mathcal{O})$  be a topological space and let  $F \subset E$ . The *induced topology* on  $F$  is the set  $\tilde{\mathcal{O}} = \{A \cap F \mid A \in \mathcal{O}\}$ .

**Definition 0.5.** A *neighborhood* of a point  $x$  in a topological space  $E$  is a subset of  $E$  containing an open set which contains point  $x$ .

**Note.** Often times the term “neighborhood” is used to mean an open neighborhood, but that is not the case here. Instead here a neighborhood of  $x$  contains an open neighborhood of  $x$ .

**Definition.** Let  $(E, \mathcal{O})$  be a topological space. Set  $B \subset E$  is *closed* if  $E \setminus B$  is open. The topological space is *connected* if the only subsets which are both open and closed are  $\emptyset$  and  $E$ . The *closure* of  $B \subset E$  is the intersection of all closed sets containing  $B$ , denoted  $\overline{B}$ .

**Note/Definition.** We might define a *separation* in a topological space as two nonempty sets  $X, Y \subset E$  where  $X \cap Y = \emptyset$ ,  $X \cup Y = E$ , and both  $X$  and  $Y$  are open (of course  $X = E \setminus Y$  so both sets are also closed). For this concept in the setting of  $\mathbb{R}$ , see my online notes on “[Topology of the Real Numbers](#)” (see page 11).

**Proposition 0.8.** Any neighborhood  $A$  of  $x \in \overline{B}$  has a nonempty intersection with  $B$ .

**Definition 0.7.** The *interior* of  $B \subset E$ , denoted  $\overset{\circ}{B}$ , is the union of all open subsets of  $B$ .

**Note/Definition.** Recall that a *basis* for a topology  $\mathcal{O}$  is a collection  $\mathcal{B}$  of subsets of  $E$  such that:

- (1) For each  $x \in E$  there is at least one element  $B \in \mathcal{B}$  with that  $x \in B$ .
- (2) If  $x \in B_1 \cap B_2$  where  $B_1, B_2 \in \mathcal{B}$  then there is  $B_3 \in \mathcal{B}$  such that  $x \in B_3$  and  $B_3 \subset B_1 \cap B_2$ .

A topological space is *separable* if it has a countable basis.

**Definition.** A topological space is *Hausdorff* if any two distinct points have disjoint neighborhoods.

**Definition.** A family of subset of  $E$ ,  $\{\Omega_i\}_{i \in I}$ , is a *covering* of a set  $B \subset E$  if  $B \subset \cup_{i \in I} \Omega_i$ . A *subcovering* of this covering is a subset  $\{\Omega_i\}_{i \in J}$  where  $J \subset I$  which is itself a covering. If  $J$  is finite then the subcovering is *finite*.

**Definition 0.8.** A subset  $A \subset E$  is a *compact set* if it is Hausdorff (that is, if the induced topology on  $A$  is Hausdorff) and if any covering of  $A$  by open sets has a finite subcovering.

**Note.** In Munkres' Section 26, "**Compact Sets**", the definition of "compact" does not include the condition of Hausdorff.

**Note.** The following theorem is a combination of Munkres' Theorem 26.2 and Theorem 26.3.

**Theorem 0.9.** Let  $E$  be a Hausdorff topological space. If  $K \subset E$  is a compact set, then  $K$  is closed. If  $E$  is also compact, then  $K \subset E$  is compact if  $K$  is closed.

**Definition 0.10.** Let  $E$  and  $F$  be two topological spaces. A map  $f : E \rightarrow F$  is *continuous* if the preimage  $f^{-1}(\Omega)$  of any open set  $\Omega \subset F$  is an open subset of  $E$ .

**Note 0.1.A.** We can also show that if  $f : E \rightarrow F$  is continuous then the preimage  $f^{-1}(\Omega)$  of any closed set  $\Omega \subset F$  is a closed subset of  $E$ .

**Theorem 0.11.** The image by a continuous map of a compact set is compact.

**Definition 0.12.** A continuous map is said to be *proper* if the preimage of every compact set is a compact set.

**Theorem 0.1.A.** Let  $E$  and  $F$  be Hausdorff topological spaces. If  $E$  is compact then any continuous  $f : E \rightarrow F$  is proper.

**Note.** As with isomorphisms of various algebraic structures, we are interested in when two topological spaces are structurally the same. The relevant mapping is a homeomorphism.

**Definition.** Let  $E$  and  $F$  be two topological spaces. A one to one and onto map  $f : E \rightarrow F$  is a *homeomorphism* if both  $f$  and  $f^{-1}$  are continuous.

**Note.** Since a homeomorphism is one to one and onto, then  $E$  and  $F$  must be the same cardinality. Since  $f$  and  $f^{-1}$  are continuous then the open sets in one topological space correspond to the open sets in the other topological space.