## Complex Analysis

## Chapter XII. The Range of an Analytic Function

 XII.3. Schottky's Theorem—Proofs of Theorems

## Table of contents

(1) Theorem XII.3.1. Schottky's Theorem

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Proof. We give a proof for $2 \leq \alpha<\infty$. Since the hypothesis $|f(0)| \leq \alpha$ where $0<\alpha \leq 2$ satisfies $|f(0)| \leq \alpha \leq 2$, this approach covers all values of $\alpha$.

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Proof. We give a proof for $2 \leq \alpha<\infty$. Since the hypothesis $|f(0)| \leq \alpha$ where $0<\alpha \leq 2$ satisfies $|f(0)| \leq \alpha \leq 2$, this approach covers all values of $\alpha$.
Case 1. Suppose $1 / 2 \leq|f(0)| \leq \alpha$. Then, with $F, H, g$, and $\ell$ as discussed above,

$$
\begin{aligned}
& \left.|F(0)|=\left|\frac{1}{2 \pi i} \ell(0)\right|=\left|\frac{1}{2 \pi i} \log (f(0))\right|=\frac{1}{2 \pi}|\log | f(0)\right)+i \operatorname{lm}(\ell(0)) \mid \\
& \leq \frac{1}{2 \pi}\left(|\log | f(0)| |+\operatorname{Im}(f(0)) \leq \frac{1}{2 \pi}(\log (\alpha)+2 \pi)=\frac{1}{2 \pi} \log (\alpha)+1\right.
\end{aligned}
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Let $C_{0}(\alpha)=\frac{1}{2 \pi} \log (\alpha)+1$.

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Let $C_{0}(\alpha)=\frac{1}{2 \pi} \log (\alpha)+1$.

## Theorem XII.3.1 (continued 1)

Proof (continued). So $|F(0)| \leq C_{0}(\alpha)$ and
$|F(0)-1| \leq|F(0)|+1 \leq C_{0}(\alpha)+1$. Also

$$
\begin{gathered}
|\sqrt{F(0)} \pm \sqrt{F(0)-1}| \leq|\sqrt{F(z)}|+|\sqrt{F(0)-1}| \\
=\exp \left(\frac{1}{2} \log |F(0)|\right)+\exp \left(\frac{1}{2} \log |F(0)-1|\right) \\
=|F(0)|^{1 / 2}+|F(0)-1|^{1 / 2} \leq C_{0}(\alpha)^{1 / 2}+\left(C_{0}(\alpha)+1\right)^{1 / 2} .
\end{gathered}
$$

Let $C_{1}(\alpha)=C_{0}(\alpha)^{1 / 2}+\left(C_{0}(\alpha)+1\right)^{1 / 2}$. Now if
$H(0)|=|\sqrt{F(0)}-\sqrt{F(0)-1}| \geq 1$ then $\log | H(0) \mid \geq 0$ and so

$$
\begin{aligned}
|g(0)| & =|\log | H(0)|=|\log | H(0)|+i \operatorname{lm}(g(0)) \mid \\
& \leq \log |H(0)|+\operatorname{lm}(g(0)) \leq \log |H(0)|+2 \pi \\
& \leq \log |\sqrt{F(0)}|-\sqrt{F(0)-1} \mid+2 \pi \leq \log \left(C_{1}(\alpha)\right)+2 \pi .
\end{aligned}
$$

## Theorem XII.3.1 (continued 1)

Proof (continued). So $|F(0)| \leq C_{0}(\alpha)$ and

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\begin{gathered}
|\sqrt{F(0)} \pm \sqrt{F(0)-1}| \leq|\sqrt{F(z)}|+|\sqrt{F(0)-1}| \\
=\exp \left(\frac{1}{2} \log |F(0)|\right)+\exp \left(\frac{1}{2} \log |F(0)-1|\right) \\
=|F(0)|^{1 / 2}+|F(0)-1|^{1 / 2} \leq C_{0}(\alpha)^{1 / 2}+\left(C_{0}(\alpha)+1\right)^{1 / 2} .
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Let $C_{1}(\alpha)=C_{0}(\alpha)^{1 / 2}+\left(C_{0}(\alpha)+1\right)^{1 / 2}$. Now if $|H(0)|=|\sqrt{F(0)}-\sqrt{F(0)-1}| \geq 1$ then $\log |H(0)| \geq 0$ and so

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\begin{aligned}
|g(0)| & =|\log | H(0)|=|\log | H(0)|+i \operatorname{lm}(g(0)) \mid \\
& \leq \log |H(0)|+\operatorname{lm}(g(0)) \leq \log |H(0)|+2 \pi \\
& \leq \log |\sqrt{F(0) \mid}-\sqrt{F(0)-1}|+2 \pi \leq \log \left(C_{1}(\alpha)\right)+2 \pi .
\end{aligned}
$$

## Theorem XII.3.1 (continued 2)

Proof (continued). If $|H(0)|=|\sqrt{F(0)}-\sqrt{F(0)-1}|<1$ then $\log |H(0)|<0$ and so

$$
\begin{aligned}
|g(0)| & =|\log (H(0))|=|\log | H(0)|+i \operatorname{lm}(g(0))| \\
& \leq \log |H(0)|+\operatorname{lm}(g(0))=-\log \mid H(0 \mid+\operatorname{lm}(g(0)) \\
& \leq-\log |H(0)|+2 \pi=\log \frac{1}{|H(0)|}+2 \pi \\
& =\log \frac{1}{\sqrt{F(0)}-\sqrt{F(0)-1}}+2 \pi \\
& =\log \frac{1}{\sqrt{F(0)}-\sqrt{F(0)-1}} \frac{\sqrt{F(z)}+\sqrt{F(z)-1}}{\sqrt{F(z)}+\sqrt{F(z)-1}}+2 \pi \\
& =\log \mid \sqrt{F(0}+\sqrt{F(0)-1}+2 \pi \leq \log \left(C_{1}(\alpha)\right)+2 \pi .
\end{aligned}
$$

Let $C_{2}(\alpha)=\log \left(C_{1}(\alpha)\right)+2 \pi$.

## Theorem XII.3.1 (continued 3)

Proof (continued). Let $a \in \mathbb{C}$ with $|a|<1$. Then Corollary XII.1.11 implies that $g\left(B(a ; 1-|a|)\right.$ contains a disk of radius $L\left|g^{\prime}(a)\right|(1-|a|)$ where $L$ is Landau's constant (it is approximately $1 / 2$; see Definition XII.1.9). By Lemma XII.2.2, $g(B(0 ; 1))$ contains no disk of radius 1 , so it must be that $L\left|g^{\prime}(a)\right|(1-|a|)<1$; that is

$$
\begin{equation*}
\left|g^{\prime}(a)\right|<\frac{1}{L(1-|a|)} \text { for }|a|<1 \tag{3.6}
\end{equation*}
$$

If $a \in \mathbb{C}$ with $|a|<1$, then let $\gamma$ be the line segment $[0, a]$. Then

$$
\begin{aligned}
|g(a)| & \leq|g(a)+g(0)-g(0)| \leq|g(0)|+|g(a)-g(0)| \\
& \leq C_{2}(\alpha)+\left|\int_{\gamma} g^{\prime}(z) d z\right| \leq C_{2}(\alpha)+|a| \max _{z \in[0, a]}\left|g^{\prime}(z)\right| .
\end{aligned}
$$

## Theorem XII.3.1 (continued 3)

Proof (continued). Let $a \in \mathbb{C}$ with $|a|<1$. Then Corollary XII.1.11 implies that $g\left(B(a ; 1-|a|)\right.$ contains a disk of radius $L\left|g^{\prime}(a)\right|(1-|a|)$ where $L$ is Landau's constant (it is approximately $1 / 2$; see Definition XII.1.9). By Lemma XII.2.2, $g(B(0 ; 1))$ contains no disk of radius 1 , so it must be that $L\left|g^{\prime}(a)\right|(1-|a|)<1$; that is

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\end{aligned}
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## Theorem XII.3.1 (continued 4)

Proof (continued). Now (3.6) holds for all $a \in \mathbb{C}$ with $|a|<1$, so for each $z \in[0, a]$ we have

$$
\begin{aligned}
\left|g^{\prime}(z)\right| & <\frac{1}{L(1-|z|)} \text { replacing }|a|<1 \text { with }|z| \leq|a|<1 \\
& \leq \frac{1}{L(1-|a|)} \text { since }|z| \leq|z| \text { here }
\end{aligned}
$$

So $|g(a)| \leq C_{2}(\alpha)+\frac{|a|}{L(1-|a|)}$. That is (replacing $|a|<1$ with $\left.|z|<1\right)$, for $|z|<1$

$$
|g(z)| \leq C_{2}(\alpha)+\frac{|z|}{L(1-|z|)} \leq C_{2}(\alpha)+\frac{\beta}{L(1-\beta)}
$$

for $|z| \leq \beta<1$ (the last inequality holds since $f(x)=x /(1-x)$ is an increasing function for $x \neq 0$ ). With $C_{3}(\alpha, \beta)=C_{2}(\alpha)+\frac{\beta}{L(1-\beta)}$ we have $|g(z)| \leq C_{3}(\alpha, \beta)$ if $|z| \leq \beta$.

## Theorem XII.3.1 (continued 4)

Proof (continued). Now (3.6) holds for all $a \in \mathbb{C}$ with $|a|<1$, so for each $z \in[0, a]$ we have

$$
\begin{aligned}
\left|g^{\prime}(z)\right| & <\frac{1}{L(1-|z|)} \text { replacing }|a|<1 \text { with }|z| \leq|a|<1 \\
& \leq \frac{1}{L(1-|a|)} \text { since }|z| \leq|z| \text { here. }
\end{aligned}
$$

So $|g(a)| \leq C_{2}(\alpha)+\frac{|a|}{L(1-|a|)}$. That is (replacing $|a|<1$ with $|z|<1$ ), for $|z|<1$

$$
|g(z)| \leq C_{2}(\alpha)+\frac{|z|}{L(1-|z|)} \leq C_{2}(\alpha)+\frac{\beta}{L(1-\beta)}
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for $|z| \leq \beta<1$ (the last inequality holds since $f(x)=x /(1-x)$ is an increasing function for $x \neq 0$ ). With $C_{3}(\alpha, \beta)=C_{2}(\alpha)+\frac{\beta}{L(1-\beta)}$ we have $|g(z)| \leq C_{3}(\alpha, \beta)$ if $|z| \leq \beta$.

## Theorem XII.3.1 (continued 5)

Proof (continued). Consequently, if $|z| \leq \beta$ then

$$
\begin{aligned}
|f(z)|= & \exp (\pi i \cosh (2 g(z)) \mid \text { by Lemma XII.2.1 } \\
\leq & \exp (\pi|\cosh (2 g(z))|) \\
\leq & \exp \left(\pi e^{2|g(z)|}\right) \text { since }|\cosh (2 g(z))|=\left|e^{2 g(z)}+e^{-2 g(z)}\right| / 2 \\
& \leq\left(\left|e^{2 g(z)}\right|+\left|e^{-2 g(z)}\right|\right) / 2 \leq\left(2 e^{2|g(z)|}\right) / 2=e^{2|g(z)|} \\
\leq & \exp \left(\pi e^{2 C_{3}(\alpha, \beta)}\right) .
\end{aligned}
$$

Define $C_{4}(\alpha, \beta)=\exp \left(\pi e^{2 C_{3}(\alpha, \beta)}\right)$. Then for $1 / 2 \leq|f(0)| \leq \alpha$ and $|z| \leq \beta$ we now have $|f(z)| \leq C_{4}(\alpha, \beta)$.

## Theorem XII.3.1 (continued 6)

## Theorem XII.3.1. Schottky's Theorem.

For each $\alpha$ and $\beta$ with $0<\alpha<\infty$ and $0 \leq \beta<1$, there is a constant $C(\alpha, \beta)$ such that $f$ is an analytic function on some simply connected region containing $\bar{B}(0 ; 1)$ that omits the values 0 and 1 , and such that $|f(0)| \leq \alpha$, where $|f(z)| \leq C(\alpha, \beta)$ for $|z| \leq \beta$.

Proof (continued). Case 2. Suppose $0<|f(0)| \leq 1 / 2$. Then the function $1-f$ satisfies the conditions of Case 1 (namely, $1 / 2 \leq|1-f(0)| \leq 1 \leq \alpha$ with $\alpha=2)$. So by Case 1 , $|1-f(z)| \leq C_{4}(2, \beta)$ for $|z| \leq \beta$. Hence $|f(z)|-1 \leq|1-f(z)| \leq C_{4}(2, \beta)$ and $|f(z)| \leq C_{4}(2, \beta)+1$ for $0<|f(0)|<1 / 2$ and $|z| \leq \beta$.

Therefore, with $C(\alpha, \beta)=\max \left\{C_{4}(\alpha, \beta), C_{4}(\alpha, \beta)+1\right\}$ we have $|f(z)| \leq C(\alpha, \beta)$ for all $0<\alpha<\infty$ and $0 \leq \beta<1$ where $|f(0)| \leq \alpha$, as claimed.

## Theorem XII.3.1 (continued 6)

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For each $\alpha$ and $\beta$ with $0<\alpha<\infty$ and $0 \leq \beta<1$, there is a constant $C(\alpha, \beta)$ such that $f$ is an analytic function on some simply connected region containing $\bar{B}(0 ; 1)$ that omits the values 0 and 1 , and such that $|f(0)| \leq \alpha$, where $|f(z)| \leq C(\alpha, \beta)$ for $|z| \leq \beta$.

Proof (continued). Case 2. Suppose $0<|f(0)| \leq 1 / 2$. Then the function $1-f$ satisfies the conditions of Case 1 (namely, $1 / 2 \leq|1-f(0)| \leq 1 \leq \alpha$ with $\alpha=2)$. So by Case 1 , $|1-f(z)| \leq C_{4}(2, \beta)$ for $|z| \leq \beta$. Hence $|f(z)|-1 \leq|1-f(z)| \leq C_{4}(2, \beta)$ and $|f(z)| \leq C_{4}(2, \beta)+1$ for $0<|f(0)|<1 / 2$ and $|z| \leq \beta$.

Therefore, with $C(\alpha, \beta)=\max \left\{C_{4}(\alpha, \beta), C_{4}(\alpha, \beta)+1\right\}$ we have $|f(z)| \leq C(\alpha, \beta)$ for all $0<\alpha<\infty$ and $0 \leq \beta<1$ where $|f(0)| \leq \alpha$, as claimed.

