## Introduction to Functional Analysis

#### Chapter 3. Major Banach Space Theorems 3.5. Closed Linear Operators—Proofs of Theorems









# **Theorem 3.7.** If $T \in \mathcal{L}(X, Y)$ is injective (one to one) and closed, then $T^{-1}$ is closed.

**Proof.** Suppose  $T \in \mathcal{L}(X, Y)$  is injective and closed. Let  $(y, x) \in \overline{G_{T^{-1}}}$ . Then there is a sequence  $((y_n, x_n))_{n=1}^{\infty} \subseteq G_{T^{-1}}$  that converges to (y, x) by Theorem 2.2.A(iii). Since we are using the sup norm in  $X \times Y$  (and  $Y \times X$ ) then  $((x_n, y_n))_{n=1}^{\infty} \subseteq G_T$  converges to (x, y).



**Theorem 3.7.** If  $T \in \mathcal{L}(X, Y)$  is injective (one to one) and closed, then  $T^{-1}$  is closed.

**Proof.** Suppose  $T \in \mathcal{L}(X, Y)$  is injective and closed. Let  $(y, x) \in \overline{G_{T^{-1}}}$ . Then there is a sequence  $((y_n, x_n))_{n=1}^{\infty} \subseteq G_{T^{-1}}$  that converges to (y, x) by Theorem 2.2.A(iii). Since we are using the sup norm in  $X \times Y$  (and  $Y \times X$ ) then  $((x_n, y_n))_{n=1}^{\infty} \subseteq G_T$  converges to (x, y). Since T is closed (i.e.,  $G_T$  is a closed set) then  $(x, y) \in G_T$  by Theorem 2.2.A(iii) and so y = Tx. Then  $x = T^{-1}y$  and so  $(y, x) \in G_{T^{-1}}$ . Therefore  $\overline{G_{T^{-1}}} = \overline{G_{T^{-1}}}$ and  $\overline{G_{T^{-1}}}$  is closed. That is,  $T^{-1}$  is closed. **Theorem 3.7.** If  $T \in \mathcal{L}(X, Y)$  is injective (one to one) and closed, then  $T^{-1}$  is closed.

**Proof.** Suppose  $T \in \mathcal{L}(X, Y)$  is injective and closed. Let  $(y, x) \in \overline{G_{T^{-1}}}$ . Then there is a sequence  $((y_n, x_n))_{n=1}^{\infty} \subseteq G_{T^{-1}}$  that converges to (y, x) by Theorem 2.2.A(iii). Since we are using the sup norm in  $X \times Y$  (and  $Y \times X$ ) then  $((x_n, y_n))_{n=1}^{\infty} \subseteq G_T$  converges to (x, y). Since T is closed (i.e.,  $G_T$  is a closed set) then  $(x, y) \in G_T$  by Theorem 2.2.A(iii) and so y = Tx. Then  $x = T^{-1}y$  and so  $(y, x) \in G_{T^{-1}}$ . Therefore  $\overline{G_{T^{-1}}} = \overline{G_{T^{-1}}}$  and  $\overline{G_{T^{-1}}}$  is closed. That is,  $T^{-1}$  is closed.

()

### Lemma 3.5.A

# **Lemma 3.5.A.** If $T \in \mathcal{L}(X, Y)$ , where X and Y are Banach spaces, is bounded then T is closed.

**Proof.** Let  $(x_n, y_n)$  be a sequence in  $G_T$  which converges under the sup norm to (x, y). Then  $(x_n) \to x$  in X and  $(y_n) \to y$  in Y. Since T is bounded, then it is continuous by Theorem 2.6. So

$$Tx = T(\lim x_n) = \lim (Tx_n) = \lim y_n = y.$$

So  $(x, y) \in G_T$  and by Theorem 2.2.A(iii),  $G_T$  is closed. Hence, by definition, T is closed, as claimed.

**Lemma 3.5.A.** If  $T \in \mathcal{L}(X, Y)$ , where X and Y are Banach spaces, is bounded then T is closed.

**Proof.** Let  $(x_n, y_n)$  be a sequence in  $G_T$  which converges under the sup norm to (x, y). Then  $(x_n) \to x$  in X and  $(y_n) \to y$  in Y. Since T is bounded, then it is continuous by Theorem 2.6. So

$$Tx = T(\lim x_n) = \lim (Tx_n) = \lim y_n = y.$$

So  $(x, y) \in G_T$  and by Theorem 2.2.A(iii),  $G_T$  is closed. Hence, by definition, T is closed, as claimed.

### Theorem 3.9. Closed Graph Theorem.

If  $T \in \mathcal{L}(X, Y)$  where X and Y are Banach spaces, then T is closed if and only if it is bounded.

**Proof.** Lemma 3.5.A shows that if T is bounded then T is closed.

#### Theorem 3.9. Closed Graph Theorem.

If  $T \in \mathcal{L}(X, Y)$  where X and Y are Banach spaces, then T is closed if and only if it is bounded.

**Proof.** Lemma 3.5.A shows that if T is bounded then T is closed.

Now suppose *T* is closed. Let  $P_X$  be the projection  $P_X : G_T \to X$  defined as  $P_X(x,y) = x$ , and let  $P_Y : G_T \to Y$  be defined as  $P_Y(x,y) = y$ . Since  $X \times Y$  has the sup norm, if ||(x,y)|| = 1 then  $||P_X(x,y)|| = ||x|| \le 1$ . For (x,0) with ||(x,0)|| = ||x|| = 1, we see that  $||P_X|| = 1$  and similarly  $||P_Y|| = 1$ . So  $P_X$  and  $P_Y$  are bounded.

#### Theorem 3.9. Closed Graph Theorem.

If  $T \in \mathcal{L}(X, Y)$  where X and Y are Banach spaces, then T is closed if and only if it is bounded.

**Proof.** Lemma 3.5.A shows that if T is bounded then T is closed.

Now suppose T is closed. Let  $P_X$  be the projection  $P_X : G_T \to X$  defined as  $P_X(x,y) = x$ , and let  $P_Y : G_T \to Y$  be defined as  $P_Y(x,y) = y$ . Since  $X \times Y$  has the sup norm, if ||(x, y)|| = 1 then  $||P_X(x, y)|| = ||x|| \le 1$ . For (x, 0) with ||(x, 0)|| = ||x|| = 1, we see that  $||P_X|| = 1$  and similarly  $||P_Y|| = 1$ . So  $P_X$  and  $P_Y$  are bounded. Since X and Y are Banach spaces, then  $X \times Y$  is a Banach space by Theorem 2.10.A. Since  $G_T$  is closed in  $X \times Y$ , then  $G_{\mathcal{T}}$  is a Banach space by Theorem 2.16. The range of  $P_X$  is all of X since T is defined on X, and so  $R(P_X) = X$  is closed. So by Theorem 3.6,  $P_x^{-1}$  is bounded. So  $T = P_Y P_x^{-1}$  is bounded by Proposition 2.8. (Notice  $P_X^{-1}: X \to G_T$  and  $P_Y: G_T \to Y$ , so  $T = P_Y P_Y^{-1}$ .)

#### Theorem 3.9. Closed Graph Theorem.

If  $T \in \mathcal{L}(X, Y)$  where X and Y are Banach spaces, then T is closed if and only if it is bounded.

**Proof.** Lemma 3.5.A shows that if T is bounded then T is closed.

Now suppose T is closed. Let  $P_X$  be the projection  $P_X : G_T \to X$  defined as  $P_X(x,y) = x$ , and let  $P_Y : G_T \to Y$  be defined as  $P_Y(x,y) = y$ . Since  $X \times Y$  has the sup norm, if ||(x, y)|| = 1 then  $||P_X(x, y)|| = ||x|| \le 1$ . For (x, 0) with ||(x, 0)|| = ||x|| = 1, we see that  $||P_X|| = 1$  and similarly  $||P_Y|| = 1$ . So  $P_X$  and  $P_Y$  are bounded. Since X and Y are Banach spaces, then  $X \times Y$  is a Banach space by Theorem 2.10.A. Since  $G_T$  is closed in  $X \times Y$ , then  $G_{\mathcal{T}}$  is a Banach space by Theorem 2.16. The range of  $P_X$  is all of X since T is defined on X, and so  $R(P_X) = X$  is closed. So by Theorem 3.6,  $P_x^{-1}$  is bounded. So  $T = P_Y P_x^{-1}$  is bounded by Proposition 2.8. (Notice  $P_X^{-1}: X \to G_T$  and  $P_Y: G_T \to Y$ , so  $T = P_Y P_Y^{-1}$ .)