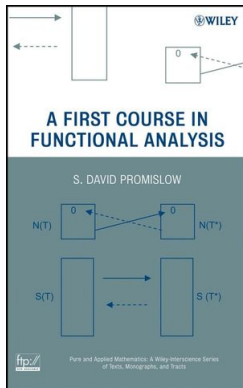


# Introduction to Functional Analysis

## Chapter 4. Hilbert Spaces

### 4.3. Nearest Points and Convexity—Proofs of Theorems



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## Proposition 4.10

**Proposition 4.10.** Suppose  $X$  is strictly convex. For any point  $x$  and convex set  $K$ , there is at most one point in  $K$  that is nearest to  $x$ .

**Proof.** We translate point  $x$  and set  $K$  by an amount  $-x$ , so that  $x$  goes to 0 and  $K$  goes to the set  $K - x$ . Then finding a point in  $K$  nearest to  $x$  is equivalent to finding a point in  $K - x$  of minimal norm. ASSUME there are two points  $y, z \in K - x$  of minimal norm, say  $\|y\| = \|z\| = a$ . Then, since  $X$  is strictly convex,  $\|(y/a + z/a)/2\| < 1$ , or  $\|(y + z)/2\| < a$ . But then  $(y + z)/2 \in K - x$  since  $K - x$  is convex, and  $(y + z)/2$  is of smaller norm than  $y$  and  $z$ , CONTRADICTING the minimality of the norm of  $y$  and  $z$ . So the assumption of two points of minimal norm is false and there is at most one point in  $K - x$  of minimal norm, and hence at most one point in  $K$  that is nearest to  $x$ . □

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## Lemma 4.3.A

**Lemma 4.3.A.** If a normed linear space is uniformly convex, then it is strictly convex.

**Proof.** We consider the contrapositive. Suppose a linear space is not strictly convex. Then there are distinct unit vectors  $x$  and  $y$  such that  $\|(x + y)/2\| = 1$ . Let  $\varepsilon = \|x - y\|$ . Then for all  $\delta > 0$  we have  $\|\frac{1}{2}(x + y)\| = 1 > 1 - \delta$ , but we do not have  $\|x - y\| = \varepsilon < \varepsilon$ . Therefore,  $X$  is not uniformly convex.  $\square$

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## Theorem 4.12

**Theorem 4.12.** Suppose  $X$  is a uniformly convex Banach space. For any point  $x$  and a nonempty closed convex set  $K$ , there is a nearest point to  $x$  in  $K$ .

**Proof.** By translating by  $-x$  and then scaling by  $d(x, K)$ , we can reduce the existence problem to showing that  $d(0, K) = 1$  implies there is a point  $y \in K$  where  $\|y\| = 1$ . Now  $d(0, K) = \inf\{\|y\| \mid y \in K\} = 1$ , then for each  $n \in \mathbb{N}$  there is  $y_n \in K$  with  $1 \leq \|y_n\| \leq 1 + 1/n$ .

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$$\begin{aligned} \|(y_m + y_n)/2\| &\geq 1 \text{ since } d(0, K) = 1 \text{ and } (y_m + y_n)/2 \in K \\ &= \frac{N+1}{N} \left(1 - \frac{1}{N+1}\right) \\ &> \frac{N+1}{N}(1 - \delta) \text{ since } \frac{1}{N+1} < \frac{1}{N} < \delta. \quad (*) \end{aligned}$$



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## Theorem 4.12 (continued 1)

**Proof (continued).** Define  $\hat{y}_n = \frac{N}{N+1}y_n$  and  $\hat{y}_m = \frac{N}{N+1}y_m$ . Then

$$\|\hat{y}_n\| = \frac{N}{N+1}\|y_n\| \leq \frac{N}{N+1}\left(1 + \frac{1}{n}\right) = \frac{N}{N+1}\frac{n+1}{n} \leq 1$$

since  $f(x) = 1 + 1/x$  is decreasing and  $n \geq N$ . Similarly  $\|\hat{y}_m\| \leq 1$  and so  $\hat{y}_n, \hat{y}_m \in \overline{B}(1)$  and

$$\begin{aligned} \left\| \frac{\hat{y}_n + \hat{y}_m}{2} \right\| &= \left\| \left( \frac{N}{N+1}y_n + \frac{N}{N+1}y_m \right) / 2 \right\| = \frac{N}{N+1} \left\| \frac{y_n + y_m}{2} \right\| \\ &> \frac{N}{N+1} \frac{N+1}{N} (1 - \delta) \text{ by } (*) \\ &= 1 - \delta. \end{aligned}$$

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## Theorem 4.12 (continued 2)

**Theorem 4.12.** Suppose  $X$  is a uniformly convex Banach space. For any point  $x$  and a nonempty closed convex set  $K$ , there is a nearest point to  $x$  in  $K$ .

**Proof (continued).** So, by the uniform convexity hypothesis and the choice of  $\delta$ ,  $\|\hat{y}_n - \hat{y}_m\| < \varepsilon/2$ . Hence

$$\|y_m - y_n\| = \left\| \frac{N+1}{N} \hat{y}_m - \frac{N+1}{N} \hat{y}_n \right\| = \frac{N+1}{N} \|\hat{y}_m - \hat{y}_n\| < \frac{N+1}{N} \frac{\varepsilon}{2} \leq \varepsilon.$$

So  $(y_n)$  is a Cauchy sequence of elements of  $K$ . Since  $X$  is a Banach space,  $(y_n) \rightarrow y$  for some  $y \in X$  and since  $K$  is closed,  $y \in K$ . Also since  $1 \leq \|y_n\| \leq 1 + 1/n$  for each  $n$ , then  $\|y\| = 1$  by Continuity of the Norm (Theorem 2.3(c)). So  $y \in K$  has norm 1 and the general result follows, as explained above.  $\square$