Introduction to Functional Analysis

Chapter 6. Duality 6.2. Adjoints—Proofs of Theorems



1 Properties of the Adjoint in the Normed Linear Space Setting

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For all
$$S, T \in \mathcal{B}(X, Y), A \in \mathcal{B}(Y, Z)$$
, and $\alpha \in \mathbb{F}$, we have
(a) $(S + T)^* = S^* + T^*$,
(b) $(\alpha T)^* = \alpha T^*$ (notice the absence of a conjugate of α),
(c) $(AT)^* = T^*A^*$, and
(d) $||T^*|| = ||T||$.
Proof of (a). Let $S, T \in \mathcal{B}(X, y)$ and $f \in Y^*$.

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Proof of (a). Let $S, T \in \mathcal{B}(X, y)$ and $f \in Y^*$. Then for all $x \in X$

 $((S + T)^*f)x = f((S + Tx))$ by the definition of adjoint

= f(Sx + Tx)by definition of operator addition

= f(Sx) + f(Tx) since f is linear

= $(S^*f)(x) + (T^*f)(x)$ by definition of adjoint.

So $(S + T)^* = S^* + T^*$.

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Proof of (a). Let $S, T \in \mathcal{B}(X, y)$ and $f \in Y^*$. Then for all $x \in X$

$$\begin{array}{rcl} ((S+T)^*f)x &=& f((S+Tx)) \text{ by the definition of adjoint} \\ &=& f(Sx+Tx) \text{by definition of operator addition} \\ &=& f(Sx)+f(Tx) \text{ since } f \text{ is linear} \\ &=& (S^*f)(x)+(T^*f)(x) \text{ by definition of adjoint.} \end{array}$$

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For all
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, $A \in \mathcal{B}(Y, Z)$, and $\alpha \in \mathbb{F}$, we have

(b) $(\alpha T)^* = \alpha T^*$ (notice the absence of a conjugate of α).

Proof of (b). Let $T \in \mathcal{B}(X, Y)$, $\alpha \in \mathbb{F}$, and $f \in Y^*$. Then for all $x \in X$ we have

 $((\alpha T)^* f)(x) = f((\alpha T)x)$ by the definition of adjoint

- $f(\alpha(Tx))$ by the definition of scalar multiplication on an operator
- $= \alpha f(Tx)$ since f is linear
- $= \alpha(T^*f)(x)$ by the definition of adjoint.

So $(\alpha T)^* = \alpha T^*$.

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Theorem 6.6. Properties of Adjoint in Normed Linear Space Setting. For all $S, T \in \mathcal{B}(X, Y), A \in \mathcal{B}(Y, Z)$, and $\alpha \in \mathbb{F}$, we have (c) $(AT)^* = T^*A^*$.

Proof of (c). Let $A \in \mathcal{B}(Y, Z)$, $T \in \mathcal{B}(X, Y)$, and $f \in Z^*$. We need to show that $(AT)^*f = T^*(A^*f)$. For all $x \in X$ we have

 $((AT)^*f)(x) = f((AT)(x)) \text{ by the definition of adjoint } (AT)^*$ (notice that $(AT)(x) \in Z \text{ and } f \in Z^*$)

= f(A(T(x)))

 $= T^* A^*$.

- $= (A^*f)(T(x)) \text{ by the definition of adjoint } A^*$ (notice $T(x) \in Y$ and $Af \in Y^*$)
- $= (T^*(A^*f))(x) \text{ by definition of adjoint } T^* \text{ in terms of } A^*f$ (notice $T^*(A^*f) \in X^*$ and so $T^*(A^*f) : X \to \mathbb{F}$)

= $((T^*A^*)f)(x)$ since operator composition is associative.

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Theorem 6.6. Properties of Adjoint in Normed Linear Space Setting. For all $S, T \in \mathcal{B}(X, Y)$, $A \in \mathcal{B}(Y, Z)$, and $\alpha \in \mathbb{F}$, we have (d) $||T^*|| = ||T||$.

Proof of (d). We can scale to assume without loss of generality that ||T|| = 1. Then for any unit vectors $f \in Y^*$ and $x \in X$, we have

$$\begin{aligned} \|(T^*f)(x)\| &= \|f(Tx)\| \text{ by the definition of adjoint } T^* \\ &\leq \|f\|\|Tx\| \text{ by definition of norm of functional } f \\ &\leq \|f\|\|T\|\|x\| \text{ by definition of } \|T\|, \text{ since } \|T\| = \|x\| = 1, \end{aligned}$$

so taking a supremum over all such $x \in X$, we have $||T^*f|| \le 1$, and taking a supremum over all such f, we have $||T^*|| \le 1$.

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so taking a supremum over all such $x \in X$, we have $||T^*f|| \le 1$, and taking a supremum over all such f, we have $||T^*|| \le 1$. Conversely, given any $\varepsilon > 0$, choose a unit vector $x \in X$ such that $||Tx|| \ge 1 - \varepsilon$ (this can be done since ||T|| = 1).

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Proof of (d) continued. So

$$|f(Tx)| = |(T^*f)(x)| \text{ by the definition of } T^*$$
$$= ||Tx|| \ge 1 - \varepsilon.$$

Taking a supremum over all unit vectors $x \in X$ gives $||fT|| \ge 1 - \varepsilon$. Taking a supremum over all unit vectors $f \in Y^*$ implies that $||ft|| = ||T^*|| \ge 1 - \varepsilon$.

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