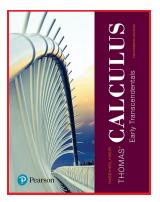
# Calculus 1

#### Chapter 4. Applications of Derivatives

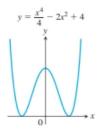
4.4. Concavity and Curve Sketching-Examples and Proofs



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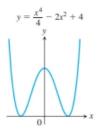
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**Exercise 4.4.2.** Consider  $f(x) = x^4/4 - 2x^2 + 4$ . Identify the inflection points and local maxima and minima of f and identify the intervals on which the function is concave up and concave down.



**Solution.** First,  $f'(x) = x^3 - 4x = x(x^2 - 4) = x(x + 2)(x - 2)$  and we see that -2, 0, and 2 are critical points since f' is 0 at these points. Next,  $f''(x) = 3x^2 - 4$  so that  $x = \pm \sqrt{4/3} = \pm 2/\sqrt{3}$  are *potential* points of inflection.

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## Exercise 4.4.2 (continued 1)

**Solution (continued).** As in the previous section, since  $f''(x) = 3x^2 - 4$  is a polynomial (and so is continuous by Theorem 2.5.A) then by the Intermediate Value Theorem (Theorem 2.11) the only way f'' can change sign as x increases is for f'' to take on the value 0. That is, f'' has the same sign on the intervals  $(-\infty, -2/\sqrt{3})$ ,  $(-2/\sqrt{3}, 2/\sqrt{3})$ , and  $(2/\sqrt{3}, \infty)$ . So we use test values from these intervals to determine the sign of f'' throughout these intervals.

interval	$(-\infty,-2/\sqrt{3})$	$(-2/\sqrt{3},2/\sqrt{3})$	$(2/\sqrt{3},\infty)$
test value k	-2	0	2
f''(k)	$3(-2)^2 - 4 = 8$	$3(0)^2 - 4 = -4$	$3(2)^2 - 4 = 8$
f''(x)	+		+
f(x)	CU	CD	CU

Here, the concavity is given by the Second Derivative Test for Concavity (Theorem 4.4.A).

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## Exercise 4.4.2 (continued 1)

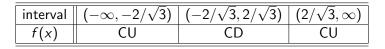
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f''(x)	+	_	+
f(x)	CU	CD	CU

Here, the concavity is given by the Second Derivative Test for Concavity (Theorem 4.4.A).

# Exercise 4.4.2 (continued 2)

### Solution (continued). ...



So f does in fact change concavity at both  $x = -2/\sqrt{3}$  and  $x = 2/\sqrt{3}$ . Notice  $f(\pm 2/\sqrt{3}) = (\pm 2/\sqrt{3})^4/4 - 2(\pm 2/\sqrt{3})^2 + 4 = 4/9 - 8/3 + 4 = 4/9 - 24/9 + 36/9 = 16/9$ . So by definition, the

inflection points are  $\left(-2/\sqrt{3}, 16/9\right)$  and  $\left(2/\sqrt{3}, 16/9\right)$ . f is

CU on  $(-\infty, -2/\sqrt{3}) \cup (2/\sqrt{3}, \infty)$  and f is CD on  $(-2/\sqrt{3}, 2/\sqrt{3})$ .

Exercise 4.4.2 (continued 2)

### Solution (continued). ...

interval
 
$$(-\infty, -2/\sqrt{3})$$
 $(-2/\sqrt{3}, 2/\sqrt{3})$ 
 $(2/\sqrt{3}, \infty)$ 
 $f(x)$ 
 CU
 CD
 CU

So *f* does in fact change concavity at both  $x = -2/\sqrt{3}$  and  $x = 2/\sqrt{3}$ . Notice  $f(\pm 2/\sqrt{3}) = (\pm 2/\sqrt{3})^4/4 - 2(\pm 2/\sqrt{3})^2 + 4 = 4/9 - 8/3 + 4 = 4/9 - 24/9 + 36/9 = 16/9$ . So by definition, the inflection points are  $(-2/\sqrt{3}, 16/9)$  and  $(2/\sqrt{3}, 16/9))$ . *f* is CU on  $(-\infty, -2/\sqrt{3}) \cup (2/\sqrt{3}, \infty)$  and *f* is CD on  $(-2/\sqrt{3}, 2/\sqrt{3})$ . We are given the graph of *f*, so we see that it has a local maximum of  $f(0) = (0)^4/4 - 2(0)^2 + 4 = 4$  and a local minimum of  $f(-2) = f(2) = (2)^4/4 - 2(2)^2 + 4 = 0$ .

# Exercise 4.4.2 (continued 2)

### Solution (continued). ...

interval
 
$$(-\infty, -2/\sqrt{3})$$
 $(-2/\sqrt{3}, 2/\sqrt{3})$ 
 $(2/\sqrt{3}, \infty)$ 
 $f(x)$ 
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 CD
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So *f* does in fact change concavity at both  $x = -2/\sqrt{3}$  and  $x = 2/\sqrt{3}$ . Notice  $f(\pm 2/\sqrt{3}) = (\pm 2/\sqrt{3})^4/4 - 2(\pm 2/\sqrt{3})^2 + 4 = 4/9 - 8/3 + 4 = 4/9 - 24/9 + 36/9 = 16/9$ . So by definition, the inflection points are  $(-2/\sqrt{3}, 16/9)$  and  $(2/\sqrt{3}, 16/9))$ . *f* is CU on  $(-\infty, -2/\sqrt{3}) \cup (2/\sqrt{3}, \infty)$  and *f* is CD on  $(-2/\sqrt{3}, 2/\sqrt{3})$ . We are given the graph of *f*, so we see that it has a local maximum of  $f(0) = (0)^4/4 - 2(0)^2 + 4 = 4$  and a local minimum of  $f(-2) = f(2) = (2)^4/4 - 2(2)^2 + 4 = 0$ .  $\Box$ 

#### Theorem 4.5

**Theorem 4.5. Second Derivative Test for Local Extrema.** Suppose f'' is continuous on an open interval that contains x = c.

- 1. If f'(c) = 0 and f''(c) < 0, then f has a local maximum at x = c.
- 2. If f'(c) = 0 and f''(c) > 0, then f has a local minimum at x = c.
- 3. If f'(c) = 0 and f''(c) = 0, then the test fails. The function f may have a local maximum, a local minimum, or neither.

**Proof.** (1) If f'' < 0, then f'' < 0 on some open interval *I* containing the point *c*, since f'' is continuous (by Exercise 2.5.70). Therefore by Corollary 4.3 ("The First Derivative Test for Increasing and Decreasing"), f' is decreasing on *I*. Since f'(c) = 0, the sign of f' changes from positive to negative as *x* increases through the value *c*, and so *f* has a local maximum at x = c by Theorem 4.3.A(2), "First Derivative Test for Local Extrema," as claimed.

#### Theorem 4.5

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**Proof.** (1) If f'' < 0, then f'' < 0 on some open interval *I* containing the point *c*, since f'' is continuous (by Exercise 2.5.70). Therefore by Corollary 4.3 ("The First Derivative Test for Increasing and Decreasing"), f' is decreasing on *I*. Since f'(c) = 0, the sign of f' changes from positive to negative as *x* increases through the value *c*, and so *f* has a local maximum at x = c by Theorem 4.3.A(2), "First Derivative Test for Local Extrema," as claimed.

## Theorem 4.5 (continued 1)

**Theorem 4.5. Second Derivative Test for Local Extrema.** Suppose f'' is continuous on an open interval that contains x = c.

- 2. If f'(c) = 0 and f''(c) > 0, then f has a local minimum at x = c.
- 3. If f'(c) = 0 and f''(c) = 0, then the test fails. The function f may have a local maximum, a local minimum, or neither.

**Proof.** (2) If f'' > 0, then f'' > 0 on some open interval *I* containing the point *c*, since f'' is continuous (by Exercise 2.5.70). Therefore by Corollary 4.3 ("The First Derivative Test for Increasing and Decreasing"), f' is increasing on *I*. Since f'(c) = 0, the sign of f' changes from negative to positive as *x* increases through the value *c*, and so *f* has a local minimum at x = c by Theorem 4.3.A(2), "First Derivative Test for Local Extrema," as claimed.

# Theorem 4.5 (continued 2)

## Theorem 4.5. Second Derivative Test for Local Extrema.

Suppose f'' is continuous on an open interval that contains x = c.

3. If f'(c) = 0 and f''(c) = 0, then the test fails. The function f may have a local maximum, a local minimum, or neither.

**Proof. (3)** We establish by this by giving examples. Consider  $f_1(x) = x^4$ ,  $f_2(x) = -x^4$ , and  $f_3(x) = x^3$ . We have  $f'_1(0) = f'_2(0) = f'_3(0) = 0$  (so we take c = 0), and  $f''_1(0) = f''_2(0) = f''_3(0) = 0$ . But  $f_1(x) = x^4$  has a local minimum at x = 0,  $f_2(x) = -x^4$  has a local maximum at x = 0, and  $f_3(x) = x^3$  has neither a maximum nor a minimum at x = 0. So, as claimed, the test fails (is "inconclusive").

**Exercise 4.4.12.** Consider  $y = f(x) = x(6 - 2x)^2$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x).

**Solution.** First,  $f'(x) = [1](6 - 2x)^2 + (x)[2(6 - 2x)[-2]] = (6 - 2x)((6 - 2x) - 4x) = (6 - 2x)(6 - 6x)$  so that x = 1 and x = 3 are critical points since f' is 0 at these points. Next f''(x) = [-2](6 - 6x) + (6 - 2x)[-6] = -12 + 12x - 36 + 12x = -48 + 24x, so x = 2 is a *potential* point of inflection.

Calculus 1

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interval	$(-\infty,2)$	$(2,\infty)$
test value k	1	3
f''(k)	-48 + 24(1) = -24	-48 + 24(3) = 24
f''(x)	_	+
f(x)	CD	CU

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f''(x)	-	+
f(x)	CD	CU

# Exercise 4.4.12 (continued 1)

**Solution (continued).** So *f* does in fact change concavity at x = 2. Notice  $f(2) = (2)(6 - 2(2))^2 = 8$  so the point of inflection is (2,8). We used the critical points as test values above, so we see by the Second Derivative Test for Local Extrema (Theorem 4.5) that *f* has a local maximum at x = 1 of  $f(1) = (1)(6 - 2(1))^2 = 16$  and *f* has a local minimum at x = 3 of  $f(3) = (3)(6 - 2(3))^2 = 0$ . The coordinates of the local maximum point is (1,16) and the coordinates of the

local minimum point is (3,0).

To graph y = f(x), we plot each extreme point and the point of inflection. We use little horizontal hash marks "——" through the extreme points (since tangent lines are horizontal there) and we use a "X" to indicate a point of inflection. We also plot the *x*-intercepts (0,0) and (3,0), and the *y*-intercept (0,0). Finally, we flesh out the graph in a way that reflects the known concavity.

# Exercise 4.4.12 (continued 1)

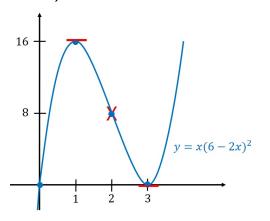
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# Exercise 4.4.12 (continued 2)

**Exercise 4.4.12.** Consider  $y = f(x) = x(6 - 2x)^2$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). **Solution (continued).** We then have:



**Exercise 4.4.104.** Sketch a smooth connected curve y = f(x) with: f(-2) = 8, f(0) = 4, f(2) = 0, f'(x) > 0 for |x| > 2, f'(2) = f'(-2) = 0, f'(x) < 0 for |x| < 2, f''(x) < 0 for x < 0, and f''(x) > 0 for x > 0. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

**Solution.** Since f'(x) > 0 for |x| > 2 and f'(x) < 0 for |x| < 2, then by The First Derivative Test for Increasing and Decreasing (Corollary 4.3) f is INC on  $(-\infty, -2) \cup (2, \infty)$  and f is DEC on (-2, 2). Since f''(x) < 0 for x < 0 and f''(x) > 0 for x > 0, the by the Second Derivative Test for Concavity (Theorem 4.4.A) f is CU on  $(0, \infty)$  and f is CD on  $(-\infty, 0)$ .

**Exercise 4.4.104.** Sketch a smooth connected curve y = f(x) with: f(-2) = 8, f(0) = 4, f(2) = 0, f'(x) > 0 for |x| > 2, f'(2) = f'(-2) = 0, f'(x) < 0 for |x| < 2, f''(x) < 0 for x < 0, and f''(x) > 0 for x > 0. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

**Solution.** Since f'(x) > 0 for |x| > 2 and f'(x) < 0 for |x| < 2, then by The First Derivative Test for Increasing and Decreasing (Corollary 4.3) f is INC on  $(-\infty, -2) \cup (2, \infty)$  and f is DEC on (-2, 2). Since f''(x) < 0 for x < 0 and f''(x) > 0 for x > 0, the by the Second Derivative Test for Concavity (Theorem 4.4.A) f is CU on  $(0, \infty)$  and f is CD on  $(-\infty, 0)$ . We combine this information in a table:

interval	$(-\infty, -2)$	(-2, 0)	(0,2)	$(2,\infty)$
f'(x)	+			+
$f^{\prime\prime}(x)$			+	+
f(x)	INC, CD	DEC, CD	DEC, CU	INC, CU

Notice that (0, f(0)) = (0, 4) is a point of inflection.

**Exercise 4.4.104.** Sketch a smooth connected curve y = f(x) with: f(-2) = 8, f(0) = 4, f(2) = 0, f'(x) > 0 for |x| > 2, f'(2) = f'(-2) = 0, f'(x) < 0 for |x| < 2, f''(x) < 0 for x < 0, and f''(x) > 0 for x > 0. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

**Solution.** Since f'(x) > 0 for |x| > 2 and f'(x) < 0 for |x| < 2, then by The First Derivative Test for Increasing and Decreasing (Corollary 4.3) f is INC on  $(-\infty, -2) \cup (2, \infty)$  and f is DEC on (-2, 2). Since f''(x) < 0 for x < 0 and f''(x) > 0 for x > 0, the by the Second Derivative Test for Concavity (Theorem 4.4.A) f is CU on  $(0, \infty)$  and f is CD on  $(-\infty, 0)$ . We combine this information in a table:

interval	$(-\infty, -2)$	(-2,0)	(0,2)	$(2,\infty)$
f'(x)	+	—	—	+
f''(x)	-	-	+	+
f(x)	INC, CD	DEC, CD	DEC, CU	INC, CU

Notice that (0, f(0)) = (0, 4) is a point of inflection.

# Exercise 4.4.104 (continued)

#### Solution (continued). ...

interval	$(-\infty,-2)$	(-2, 0)	(0,2)	$(2,\infty)$
f(x)	INC, CD	DEC, CD	DEC, CU	INC, CU

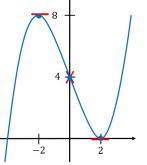
Plotting the points f(-2) = 8, f(0) = 4, f(2) = 0, and using the INC/DEC and CU/CD information, along with the fact that f is "smooth" gives:

# Exercise 4.4.104 (continued)

#### Solution (continued). ...

interval	$(-\infty,-2)$	(-2, 0)	(0,2)	$(2,\infty)$
f(x)	INC, CD	DEC, CD	DEC, CU	INC, CU

Plotting the points f(-2) = 8, f(0) = 4, f(2) = 0, and using the INC/DEC and CU/CD information, along with the fact that f is "smooth" gives:

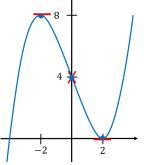


# Exercise 4.4.104 (continued)

#### Solution (continued). ...

interval	$(-\infty,-2)$	(-2, 0)	(0,2)	$(2,\infty)$
f(x)	INC, CD	DEC, CD	DEC, CU	INC, CU

Plotting the points f(-2) = 8, f(0) = 4, f(2) = 0, and using the INC/DEC and CU/CD information, along with the fact that f is "smooth" gives:



**Exercise 4.4.42.** Consider  $y = f(x) = \sqrt[3]{x^3 + 1}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First,  $f(x) = (x^3 + 1)^{1/3}$  and so  $f'(x) = (1/3)(x^3 + 1)^{-2/3}[3x^2] = x^2(x^3 + 1)^{-2/3} = \frac{x^2}{(x^3 + 1)^{2/3}}$ , so x = 0 is a critical point since f'(0) = 0 and x = -1 is a critical point since x = -1 is in the domain of f but f' is undefined at x = -1.

**Exercise 4.4.42.** Consider  $y = f(x) = \sqrt[3]{x^3 + 1}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First,  $f(x) = (x^3 + 1)^{1/3}$  and so  $f'(x) = (1/3)(x^3+1)^{-2/3}[3x^2] = x^2(x^3+1)^{-2/3} = \frac{x^2}{(x^3+1)^{2/3}}$ , so x = 0is a critical point since f'(0) = 0 and x = -1 is a critical point since x = -1 is in the domain of f but f' is undefined at x = -1. Next  $f''(x) = [2x]((x^3 + 1)^{-2/3}) + (x^2)[(-2/3)(x^3 + 1)^{-5/3}[3x^2]]$  $=\frac{2x}{(x^3+1)^{2/3}}-\frac{2x^4}{(x^3+1)^{5/3}}=\frac{2x(x^3+1)-2x^4}{(x^3+1)^{5/3}}=\frac{2x}{(x^3+1)^{5/3}},$ so f has a potential point of inflection at x = 0 and at x = -1 (notice that f'' is undefined at x = -1, but we could show that y = f(x) has a vertical tangent at x = -1).

**Exercise 4.4.42.** Consider  $y = f(x) = \sqrt[3]{x^3 + 1}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First,  $f(x) = (x^3 + 1)^{1/3}$  and so  $f'(x) = (1/3)(x^3+1)^{-2/3}[3x^2] = x^2(x^3+1)^{-2/3} = \frac{x^2}{(x^3+1)^{2/3}}$ , so x = 0is a critical point since f'(0) = 0 and x = -1 is a critical point since x = -1 is in the domain of f but f' is undefined at x = -1. Next  $f''(x) = [2x]((x^3 + 1)^{-2/3}) + (x^2)[(-2/3)(x^3 + 1)^{-5/3}](3x^2]$  $=\frac{2x}{(x^3+1)^{2/3}}-\frac{2x^4}{(x^3+1)^{5/3}}=\frac{2x(x^3+1)-2x^4}{(x^3+1)^{5/3}}=\frac{2x}{(x^3+1)^{5/3}},$ so f has a potential point of inflection at x = 0 and at x = -1 (notice that f'' is undefined at x = -1, but we could show that y = f(x) has a vertical tangent at x = -1).

# Exercise 4.4.42 (continued 1)

**Solution (continued).** We find the signs of  $f'(x) = x^2/(x^3 + 1)^{2/3}$  and  $f''(x) = 2x/(x^3 + 1)^{5/3}$  over the appropriate intervals:

interval	$(-\infty, -1)$	(-1, 0)	$(0,\infty)$
test value k	-2	-1/2	1
f'(k)	$(-2)^2/((-2)^3+1)^{2/3}$	$(-1/2)^2/((-1/2)^3+1)^{2/3}$	$(1)^2/((1)^3+1)^{2/3}$
f'(x)	(+)/(+) = +	(+)/(+) = +	(+)/(+) = +
f''(k)	$2(-2)/((-2)^3+1)^{5/3}$	$2(-1/2)/((-1/2)^3+1)^{5/3}$	$2(1)/((1)^3+1)^{5/3}$
$f^{\prime\prime}(x)$	(-)/(-) = +	(-)/(+) = -	(+)/(+) = +
f(x)	INC, CU	INC, CD	INC, CU

Since *f* is always increasing then it has no local maximum nor local minimum (by the First Derivative Test for Local Extrema, Theorem 4.3.A(3)). Notice that *f* changes concavity at x = -1 and x = 0, so the points of inflection are (-1, f(-1)) = (-1, 0) and (0, f(0)) = (0, 1).

# Exercise 4.4.42 (continued 1)

**Solution (continued).** We find the signs of  $f'(x) = x^2/(x^3 + 1)^{2/3}$  and  $f''(x) = 2x/(x^3 + 1)^{5/3}$  over the appropriate intervals:

interval	$(-\infty,-1)$	(-1, 0)	$(0,\infty)$
test value k	-2	-1/2	1
f'(k)	$(-2)^2/((-2)^3+1)^{2/3}$	$(-1/2)^2/((-1/2)^3+1)^{2/3}$	$(1)^2/((1)^3+1)^{2/3}$
f'(x)	(+)/(+) = +	(+)/(+) = +	(+)/(+) = +
f''(k)	$2(-2)/((-2)^3+1)^{5/3}$	$2(-1/2)/((-1/2)^3+1)^{5/3}$	$2(1)/((1)^3+1)^{5/3}$
$f^{\prime\prime}(x)$	(-)/(-) = +	(-)/(+) = -	(+)/(+) = +
f(x)	INC, CU	INC, CD	INC, CU

Since *f* is always increasing then it has no local maximum nor local minimum (by the First Derivative Test for Local Extrema, Theorem 4.3.A(3)). Notice that *f* changes concavity at x = -1 and x = 0, so the points of inflection are (-1, f(-1)) = (-1, 0) and (0, f(0)) = (0, 1).

## Exercise 4.4.42 (continued 2)

**Solution (continued).** Since f'(0) = 0, f' is undefined at x = -1, f(-1) = 0, f(0) = 1, and

interval	$(-\infty, -1)$	(-1, 0)	$(0,\infty)$
f(x)	INC, CU	INC, CD	INC, CU

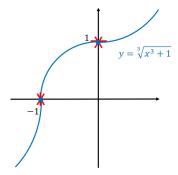
then the graph of  $y = f(x) = \sqrt[3]{x^3 + 1}$  is:

## Exercise 4.4.42 (continued 2)

Solution (continued). Since f'(0) = 0, f' is undefined at x = -1, f(-1) = 0, f(0) = 1, and

interval	$(-\infty, -1)$	(-1, 0)	$(0,\infty)$
f(x)	INC, CU	INC, CD	INC, CU

then the graph of  $y = f(x) = \sqrt[3]{x^3 + 1}$  is:



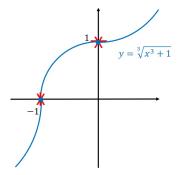
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## Exercise 4.4.42 (continued 2)

Solution (continued). Since f'(0) = 0, f' is undefined at x = -1, f(-1) = 0, f(0) = 1, and

interval	$(-\infty, -1)$	(-1, 0)	$(0,\infty)$
f(x)	INC, CU	INC, CD	INC, CU

then the graph of  $y = f(x) = \sqrt[3]{x^3 + 1}$  is:



**Exercise 4.4.54.** Consider  $y = f(x) = xe^{-x}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First  $f'(x) = [1](e^{-x}) + (x)[e^{-x}[-1]] = e^{-x}(1-x)$ , so x = 1 is a critical point since f'(1) = 0.

**Exercise 4.4.54.** Consider  $y = f(x) = xe^{-x}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First  $f'(x) = [1](e^{-x}) + (x)[e^{-x}[-1]] = e^{-x}(1-x)$ , so x = 1 is a critical point since f'(1) = 0. Next  $f''(x) = [e^{-x}[-1]](1-x) + (e^{-x})[-1] = -e^{-x}((1-x)+1) = -e^{-x}(2-x)$  so x = 2 is a potential point of inflection.

Calculus 1

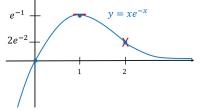
**Exercise 4.4.54.** Consider  $y = f(x) = xe^{-x}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First  $f'(x) = [1](e^{-x}) + (x)[e^{-x}[-1]] = e^{-x}(1-x)$ , so x = 1 is a critical point since f'(1) = 0. Next  $f''(x) = [e^{-x}[-1]](1-x) + (e^{-x})[-1] = -e^{-x}((1-x) + 1) = -e^{-x}(2-x)$  so x = 2 is a potential point of inflection. We perform a sign test on f' and f'':

interval	$(-\infty,1)$	(1,2)	$(2,\infty)$
test value k	0	3/2	3
f'(k)	$e^{-(0)}(1-(0))$	$e^{-(3/2)}(1-(3/2))$	$e^{-(3)}(1-(3))$
	= 1	$= -(1/2)e^{-3/2}$	$= -2e^{-3}$
f'(x)	+		
$f^{\prime\prime}(k)$	$-e^{-(0)}(2-(0))$	$-e^{-(3/2)}(2-(3/2))$	$-e^{-(3)}(2-(3))$
	= -2	$= -(1/2)e^{-3/2}$	$= e^{-3}$
$f^{\prime\prime}(x)$			+
f(x)	INC, CD	DEC, CD	DEC, CU

**Exercise 4.4.54.** Consider  $y = f(x) = xe^{-x}$ . Identity the coordinates of any local and absolute extreme points and inflection points. Graph y = f(x). Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's. **Solution.** First  $f'(x) = [1](e^{-x}) + (x)[e^{-x}[-1]] = e^{-x}(1-x)$ , so x = 1 is a critical point since f'(1) = 0. Next  $f''(x) = [e^{-x}[-1]](1-x) + (e^{-x})[-1] = -e^{-x}((1-x)+1) = -e^{-x}(2-x)$  so x = 2 is a potential point of inflection. We perform a sign test on f' and f'':

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f'(k)	$e^{-(0)}(1-(0))$	$e^{-(3/2)}(1-(3/2))$	$e^{-(3)}(1-(3))$
	= 1	$= -(1/2)e^{-3/2}$	$= -2e^{-3}$
f'(x)	+	—	—
f''(k)	$-e^{-(0)}(2-(0))$	$-e^{-(3/2)}(2-(3/2))$	$-e^{-(3)}(2-(3))$
	= -2	$= -(1/2)e^{-3/2}$	$= e^{-3}$
f''(x)	-	_	+
f(x)	INC, CD	DEC, CD	DEC, CU

## Exercise 4.4.54 (continued)



Calculus 1

**Exercise 4.4.74.** Let y = f(x) be a continuous function with  $y'(t) = \sin t$  for  $t \in [0, 2\pi]$ . Find y'' and then use Steps 2–4 of the graphing procedure to sketch the general shape of the graph of f. Indicate points where f' is 0 with horizontal hash marks.

**Solution.** First, if 
$$y'(t) = \sin t$$
 then  $y''(t) = \cos t$ .  
(2) We have  $y'$  and  $y''$  above.

**Exercise 4.4.74.** Let y = f(x) be a continuous function with  $y'(t) = \sin t$  for  $t \in [0, 2\pi]$ . Find y'' and then use Steps 2–4 of the graphing procedure to sketch the general shape of the graph of f. Indicate points where f' is 0 with horizontal hash marks.

**Solution.** First, if 
$$y'(t) = \sin t$$
 then  $y''(t) = \cos t$ .

(2) We have y' and y'' above.

(3) Since  $y'(t) = \sin t$  then the critical points of y for  $t \in [0, 2\pi]$  are  $t = 0, t = \pi$ , and  $t = 2\pi$ , since y' is 0 at each of these.

**Exercise 4.4.74.** Let y = f(x) be a continuous function with  $y'(t) = \sin t$  for  $t \in [0, 2\pi]$ . Find y'' and then use Steps 2–4 of the graphing procedure to sketch the general shape of the graph of f. Indicate points where f' is 0 with horizontal hash marks.

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(4) We perform a sign test on  $y'(t) = \sin t$ :

interval	$(0,\pi)$	$(\pi, 2\pi)$
test value k	$\pi/4$	$5\pi/4$
f'(k)	$\sin \pi / 4 = \sqrt{2} / 2$	$\sin 5\pi/4 = -\sqrt{2}/2$
f'(x)	+	
f(x)	INC	DEC

**Exercise 4.4.74.** Let y = f(x) be a continuous function with  $y'(t) = \sin t$  for  $t \in [0, 2\pi]$ . Find y'' and then use Steps 2–4 of the graphing procedure to sketch the general shape of the graph of f. Indicate points where f' is 0 with horizontal hash marks.

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interval	(0, π)	$(\pi, 2\pi)$
test value k	$\pi/4$	$5\pi/4$
f'(k)	$\sin \pi / 4 = \sqrt{2} / 2$	$\sin 5\pi/4 = -\sqrt{2}/2$
f'(x)	+	—
f(x)	INC	DEC

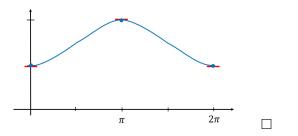
## Exercise 4.4.74 (continued)

**Exercise 4.4.74.** Let y = f(x) be a continuous function with  $y'(t) = \sin t$  for  $t \in [0, 2\pi]$ . Find y'' and then use Steps 2–4 of the graphing procedure to sketch the general shape of the graph of f. Indicate points where f' is 0 with horizontal hash marks.

## Solution (continued). ...

interval	<b>(</b> 0, π <b>)</b>	$(\pi, 2\pi)$
f(x)	INC	DEC

We don't know any function values (but suspect some periodic behavior since  $y'(t) = \sin t$ ), and so have:



Calculus 1

**Exercise 4.4.92.** Graph the rational function  $y = f(x) = \frac{x^2 - 4}{x^2 - 2}$ . Use all the steps in the graphing procedure. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

**Solution.** We throw all of our graphing knowledge at this one!

**Exercise 4.4.92.** Graph the rational function  $y = f(x) = \frac{x^2 - 4}{x^2 - 2}$ . Use all the steps in the graphing procedure. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

Solution. We throw all of our graphing knowledge at this one!

(1) With  $y = f(x) = \frac{x^2 - 4}{x^2 - 2}$ , the domain is all  $x \in \mathbb{R}$  except  $x = \pm \sqrt{2}$  (since the denominator is 0 there). That is, the domain is  $(-\infty, -\sqrt{2}) \cup (-\sqrt{2}, \sqrt{2}) \cup (\sqrt{2}, \infty)$ .

**Exercise 4.4.92.** Graph the rational function  $y = f(x) = \frac{x^2 - 4}{x^2 - 2}$ . Use all the steps in the graphing procedure. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

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**Exercise 4.4.92.** Graph the rational function  $y = f(x) = \frac{x^2 - 4}{x^2 - 2}$ . Use all the steps in the graphing procedure. Indicate points where f' is 0 with horizontal hash marks and indicate points of inflection with X's.

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## Exercise 4.4.92 (continued 1)

Solution (continued). (2) We have  

$$y' = \frac{[2x](x^2 - 2) - (x^2 - 4)[2x]}{(x^2 - 2)^2} = \frac{4x}{(x^2 - 2)^2}, \text{ and}$$

$$y'' = \frac{[4](x^2 - 2)^2 - (4x)[2(x^2 - 2)[2x]]}{((x^2 - 2)^2)^2} = \frac{4(x^2 - 2)((x^2 - 2) - 4x^2)}{(x^2 - 2)^4} = \frac{-4(3x^2 + 2)}{(x^2 - 2)^3}.$$

(3) We see from  $y' = \frac{4x}{(x^2 - 2)^2}$  that x = 0 is the only critical point (since  $\pm \sqrt{2}$  are not in the domain of f), and f'(0) = 0. Notice  $f(0) = \frac{(0)^2 - 4}{(0)^2 - 2} = 2$ .

## Exercise 4.4.92 (continued 1)

Solution (continued). (2) We have  

$$y' = \frac{[2x](x^2 - 2) - (x^2 - 4)[2x]}{(x^2 - 2)^2} = \frac{4x}{(x^2 - 2)^2}, \text{ and}$$

$$y'' = \frac{[4](x^2 - 2)^2 - (4x)[2(x^2 - 2)[2x]]}{((x^2 - 2)^2)^2} = \frac{4(x^2 - 2)((x^2 - 2) - 4x^2)}{(x^2 - 2)^4} = \frac{-4(3x^2 + 2)}{(x^2 - 2)^3}.$$

(3) We see from  $y' = \frac{4x}{(x^2 - 2)^2}$  that x = 0 is the only critical point (since  $\pm\sqrt{2}$  are not in the domain of f), and f'(0) = 0. Notice  $f(0) = \frac{(0)^2 - 4}{(0)^2 - 2} = 2$ .

## Exercise 4.4.92 (continued 2)

**Solution (continued).** (4) We perform a sign test on y' by removing the critical point from the domain of y:

interval	$(-\infty,-\sqrt{2})$	$(-\sqrt{2},0)$	$(0,\sqrt{2})$	$(\sqrt{2},\infty)$
test value k	-2	-1	1	2
f'(k)	$\frac{4(-2)}{((-2)^2-2)^2}$	$\frac{4(-1)}{((-1)^2-2)^2}$	$\frac{4(1)}{((1)^2-2)^2}$	$\frac{4(2)}{((2)^2-2)^2}$
f'(x)	_	_	+	+
f(x)	DEC	DEC	INC	INC

So y is decreasing on 
$$(-\infty, -\sqrt{2}) \cup (-\sqrt{2}, 0)$$
 and y increasing on  $(0, \sqrt{2}) \cup (\sqrt{2}, \infty)$ .

(5) We see from  $y'' = \frac{-4(3x^2+2)}{(x^2-2)^3}$  that y has no potential points of inflection (since the numerator is never 0 and the denominator is never 0 at points in the domain of y). So we perform a sign test on y'' on the domain of y...

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## Exercise 4.4.92 (continued 2)

**Solution (continued).** (4) We perform a sign test on y' by removing the critical point from the domain of y:

interval	$(-\infty,-\sqrt{2})$	$(-\sqrt{2},0)$	$(0,\sqrt{2})$	$(\sqrt{2},\infty)$
test value k	-2	-1	1	2
f'(k)	$\frac{4(-2)}{((-2)^2-2)^2}$	$\frac{4(-1)}{((-1)^2-2)^2}$	$\frac{4(1)}{((1)^2-2)^2}$	$\frac{4(2)}{((2)^2-2)^2}$
f'(x)	_	_	+	+
f(x)	DEC	DEC	INC	INC

So y is decreasing on 
$$(-\infty, -\sqrt{2}) \cup (-\sqrt{2}, 0)$$
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## Exercise 4.4.92 (continued 3)

#### Solution (continued).

interval	$(-\infty,-\sqrt{2})$	$(-\sqrt{2},\sqrt{2})$	$(\sqrt{2},\infty)$
test value k	-2	0	2
f''(k)	$\frac{-4(3(-2)^2+2)}{((-2)^2-2)^3} = \frac{-56}{8}$	$\frac{-4(3(0)^2+2)}{((0)^2-2)^3} = \frac{-8}{-8}$	$\frac{-4(3(2)^2+2)}{((2)^2-2)^3} = \frac{-56}{8}$
f''(x)	-	+	—
f(x)	CD	CU	CD

So y is CU on 
$$(-\sqrt{2},\sqrt{2})$$
 and y is CD on  $(-\infty,-\sqrt{2})\cup(\sqrt{2},\infty)$ .

(6) Now for asymptotes. Notice

$$\lim_{x \to \pm \infty} \frac{x^2 - 4}{x^2 - 2} = \lim_{x \to \pm \infty} \frac{x^2 - 4}{x^2 - 2} \frac{1/x^2}{1/x^2} = \lim_{x \to \pm \infty} \frac{(x^2 - 4)/x^2}{(x^2 - 2)/x^2}$$
$$= \lim_{x \to \pm \infty} \frac{1 - 4/x^2}{1 - 2/x^2} = \frac{1 - 4\left(\lim_{x \to \pm \infty} 1/x\right)^2}{1 - 2\left(\lim_{x \to \pm \infty} 1/x\right)^2} = \frac{1 - 4(0)^2}{1 - 2(0)^2} = 1.$$

So y = 1 is a horizontal asymptote.

## Exercise 4.4.92 (continued 3)

### Solution (continued).

interval	$(-\infty,-\sqrt{2})$	$(-\sqrt{2},\sqrt{2})$	$(\sqrt{2},\infty)$
test value k	-2	0	2
f''(k)	$\frac{-4(3(-2)^2+2)}{((-2)^2-2)^3} = \frac{-56}{8}$	$\frac{-4(3(0)^2+2)}{((0)^2-2)^3} = \frac{-8}{-8}$	$\frac{-4(3(2)^2+2)}{((2)^2-2)^3} = \frac{-56}{8}$
f''(x)	_	+	_
f(x)	CD	CU	CD

So y is CU on 
$$(-\sqrt{2},\sqrt{2})$$
 and y is CD on  $(-\infty,-\sqrt{2})\cup(\sqrt{2},\infty)$ .

(6) Now for asymptotes. Notice

$$\lim_{x \to \pm \infty} \frac{x^2 - 4}{x^2 - 2} = \lim_{x \to \pm \infty} \frac{x^2 - 4}{x^2 - 2} \frac{1/x^2}{1/x^2} = \lim_{x \to \pm \infty} \frac{(x^2 - 4)/x^2}{(x^2 - 2)/x^2}$$
$$= \lim_{x \to \pm \infty} \frac{1 - 4/x^2}{1 - 2/x^2} = \frac{1 - 4\left(\lim_{x \to \pm \infty} 1/x\right)^2}{1 - 2\left(\lim_{x \to \pm \infty} 1/x\right)^2} = \frac{1 - 4(0)^2}{1 - 2(0)^2} = 1.$$

So y = 1 is a horizontal asymptote.

## Exercise 4.4.92 (continued 4)

**Solution (continued).** By Dr. Bob's Infinite Limits Theorem,  $f(x) = \frac{x^2 - 4}{x^2 - 2} = \frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \text{ satisfies } \lim_{x \to \pm \sqrt{2}} f(x) = \pm \infty \text{ and}$ so f has vertical asymptotes at  $x = \pm \sqrt{2}$ . We consider the four sign diagrams: (1) For  $x \to -\sqrt{2}^-$  (so that x is "close to"  $-\sqrt{2}$  and less than  $-\sqrt{2}) \text{ we have } \frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(-)(-)} = -, (2) \text{ for } x \to -\sqrt{2}^+$ we have  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(+)(-)} = +, (3) \text{ for } x \to \sqrt{2}^-$  we have  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(+)(-)} = +, \text{ and } (4) \text{ for } x \to \sqrt{2}^+ \text{ we have}$  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(+)(+)} = -.$ 

## Exercise 4.4.92 (continued 4)

**Solution (continued).** By Dr. Bob's Infinite Limits Theorem,  $f(x) = \frac{x^2 - 4}{x^2 - 2} = \frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \text{ satisfies } \lim_{x \to \pm \sqrt{2}} f(x) = \pm \infty \text{ and}$ so f has vertical asymptotes at  $x = \pm \sqrt{2}$ . We consider the four sign diagrams: (1) For  $x \to -\sqrt{2}^-$  (so that x is "close to"  $-\sqrt{2}$  and less than  $-\sqrt{2}$ ) we have  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(-)(-)} = -$ , (2) for  $x \to -\sqrt{2}^+$ we have  $\frac{x^2-4}{(x+\sqrt{2})(x-\sqrt{2})} \implies \frac{(-)}{(+)(-)} = +$ , (3) for  $x \to \sqrt{2}^-$  we have  $\frac{x^2-4}{(x+\sqrt{2})(x-\sqrt{2})} \implies \frac{(-)}{(+)(-)} = +, \text{ and } (4) \text{ for } x \to \sqrt{2}^+ \text{ we have}$  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \Longrightarrow \frac{(-)}{(+)(+)} = -. \text{ So } \lim_{x \to -\sqrt{2}^-} f(x) = -\infty,$  $\lim_{x \to -\sqrt{2}^+} f(x) = \infty, \lim_{x \to \sqrt{2}^-} f(x) = \infty, \text{ and } \lim_{x \to \sqrt{2}^+} f(x) = -\infty.$  $x^2 - 4$ 

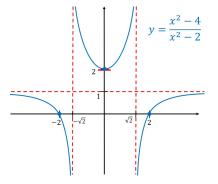
Calculus 1

## Exercise 4.4.92 (continued 4)

**Solution (continued).** By Dr. Bob's Infinite Limits Theorem,  $f(x) = \frac{x^2 - 4}{x^2 - 2} = \frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})}$  satisfies  $\lim_{x \to \pm\sqrt{2}} f(x) = \pm \infty$  and so f has vertical asymptotes at  $x = \pm \sqrt{2}$ . We consider the four sign diagrams: (1) For  $x \to -\sqrt{2}^-$  (so that x is "close to"  $-\sqrt{2}$  and less than  $-\sqrt{2}$ ) we have  $\frac{x^2-4}{(x+\sqrt{2})(x-\sqrt{2})} \implies \frac{(-)}{(-)(-)} = -$ , (2) for  $x \to -\sqrt{2}^+$ we have  $\frac{x^2-4}{(x+\sqrt{2})(x-\sqrt{2})} \implies \frac{(-)}{(+)(-)} = +$ , (3) for  $x \to \sqrt{2}^-$  we have  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(+)(-)} = +, \text{ and } (4) \text{ for } x \to \sqrt{2}^+ \text{ we have}$  $\frac{x^2 - 4}{(x + \sqrt{2})(x - \sqrt{2})} \implies \frac{(-)}{(+)(+)} = -. \text{ So } \lim_{x \to -\sqrt{2}^-} f(x) = -\infty,$  $\lim_{x \to -\sqrt{2}^+} f(x) = \infty, \lim_{x \to \sqrt{2}^-} f(x) = \infty, \text{ and } \lim_{x \to \sqrt{2}^+} f(x) = -\infty.$  $x^2 - 4$ 

## Exercise 4.4.92 (continued 5)

### Solution (continued).



Calculus 1

#### Exercise 4.4.122. Parabolas.

(a) Find the coordinates of the vertex of the parabola  $y = ax^2 + bx + c$ , where  $a \neq 0$ . (b) When is the parabola concave up? Concave down? Give reasons for your answer.

**Solution.** We have y' = 2ax + b and y'' = 2a.

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(a) Find the coordinates of the vertex of the parabola  $y = ax^2 + bx + c$ , where  $a \neq 0$ . (b) When is the parabola concave up? Concave down? Give reasons for your answer.

#### **Solution.** We have y' = 2ax + b and y'' = 2a.

(a) The vertex of a parabola  $y = ax^2 + bx + c$  is an absolute extreme of the function  $f(x) = ax^2 + bx + c$ , and hence a local extreme value. So by Theorem 4.2, "Local Extreme Values," the vertex occurs at a critical point of f. Since f'(x) = 2ax + b then the only critical point is x = -b/(2a). Since  $f\left(\frac{-b}{2a}\right) = a\left(\frac{-b}{2a}\right)^2 + b\left(\frac{-b}{2a}\right) + c = \frac{b^2}{4a} - \frac{b^2}{2a} + c = \frac{b^2}{4a} - \frac{2b^2}{4a} + \frac{4ac}{4a} = \frac{-b^2 + 4ac}{4a}$  So the coordinates of the vertex is  $\left[(-b/(2a), f(-b/(2a))) = (-b/a, (-b^2 + 4ac)/(4a))\right]$ .

#### Exercise 4.4.122. Parabolas.

(a) Find the coordinates of the vertex of the parabola  $y = ax^2 + bx + c$ , where  $a \neq 0$ . (b) When is the parabola concave up? Concave down? Give reasons for your answer.

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# Exercise 4.4.122 (continued)

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**Solution (continued). (b)** Since y'' = f''(x) = 2a then by the Second Derivative Test for Concavity (Theorem 4.4.A), the parabola is concave up everywhere when a > 0 and the parabola is

concave down everywhere when a < 0.  $\Box$ 

**Note.** Notice that the second degree polynomial function  $f(x) = ax^2 + bx + c$  has no point of inflection.

# Exercise 4.4.122 (continued)

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**Note.** Notice that the second degree polynomial function  $f(x) = ax^2 + bx + c$  has no point of inflection.

#### Exercise 4.4.124. Cubic Curves.

What can you say about the inflection points of a cubic curve  $y = ax^3 + bx^2 + cx + d$ , where  $a \neq 0$ ? Give reasons for your answer.

**Solution.** We have  $y' = 3ax^2 + 2bx + c$  and y'' = 6ax + 2b. With y = f(x) we have f''(-b/(3a)) = 0 then -b/(3a) is a potential point of inflection. So we perform a sign test on f''(x):

interval	$(-\infty, -b/(3a))$	$(-b/(3a),\infty)$
test value k	-b/(3a) - 1	-b/(3a) + 1
f''(k)	6a(-b/(3a)-1)+2b=-6a	6a(-b/(3a)+1)+2b=6a

Since  $a \neq 0$  by hypothesis, then by the Second Derivative Test for Concavity (Theorem 4.4.A) f changes concavity at x = -b/(3a). So there is only one inflection point and, since...

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$$f\left(\frac{-b}{3a}\right) = a\left(\frac{-b}{3a}\right)^3 + b\left(\frac{-b}{3a}\right)^2 + c\left(\frac{-b}{3a}\right) + c$$
$$= \frac{-b^3}{27a^2} + \frac{b^3}{9a^2} + \frac{-bc}{3a} + c = \frac{-b^3}{27a^2} + \frac{3b^3}{27a^2} + \frac{-9abc}{27a^2} + \frac{27a^2c}{27a^2}$$
$$= \frac{2b^3 - 9abc + 27a^2c}{27a^2},$$
inflection point is  $\left(\frac{-b}{3a}, \frac{2b^3 - 9abc + 27a^2c}{27a^2}\right)$ .

## Exercise 4.4.124 (continued)

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