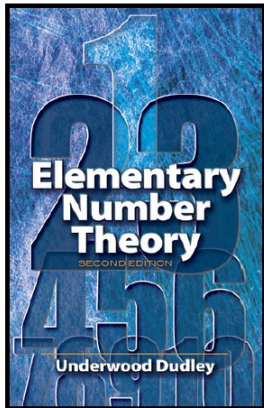
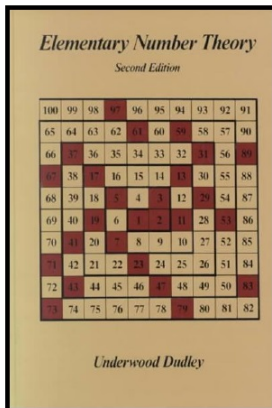


# Elementary Number Theory

## Section 22. Formulas for Primes—Proofs of Theorems



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# Theorem 22.A

**Theorem 22.A.** An arithmetic progression of prime numbers must be finite in length.

**Proof.** Suppose the arithmetic progression is given by the function  $f(n) = an + b$ . Let  $p$  be prime and suppose  $p \nmid a$ , so that  $(a, p) = 1$ . So by Lemma 5.2 there is (exactly one) integer  $r$  such that  $ax \equiv -b \pmod{p}$ . Then  $a(r + kp) + b \equiv ar + b \equiv 0 \pmod{p}$  for all  $k \in \{0, 1, 2, \dots\}$ . So every  $p$ th term in the sequence is divisible by  $p$  (that is,  $ar + b$  is divisible by  $p$ ,  $ar + b + p$  is divisible by  $p$ ,  $ar + b + 2p$  is divisible by  $p$ , etc.).

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## Theorem 22.C

**Theorem 22.C.** If  $f(n) = a_k n^k + a_{k-1} n^{k-1} + \cdots + a_2 n^2 + a_1 n + a_0$  is a polynomial function with integer coefficients, and if  $r$  is such that  $f(r) \equiv 0 \pmod{p}$  for some  $p$ , then  $f(r + mp) \equiv f(r) \equiv 0 \pmod{p}$  for all  $m \in \mathbb{N}$ . That is, no polynomial can have only prime values.

**Proof.** Notice that we cannot have  $f(r) \in \{-1, 0, 1\}$  for all  $r \in \mathbb{N}$ , unless  $f$  is a constant function (and constant functions don't count as polynomial functions). So there is  $r \in \mathbb{N}$  such that  $f(r) \notin \{-1, 0, 1\}$ . For  $p$  a prime divisor of such  $f(r)$ , we have  $f(r) \equiv 0 \pmod{p}$ .

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# Theorem 22.1

**Theorem 22.1.** There is a real number  $\theta$  such that  $[\theta^{3^n}]$  is a prime for all  $n \in \mathbb{N}$ .

**Proof.** Let  $p_1$  be any prime greater than integer  $A$  given in Theorem 2.2.D. Define a sequence of prime numbers recursively for  $n = 1, 2, \dots$  where  $p_{n+1}$  satisfies  $p_n^3 < p_{n+1} < (p_n + 1)^3 - 1$ . Notice that such  $p_{n+1}$  always exists by Theorem 2.2.D. Let  $u_n = p_n^{3^{-n}}$  and  $v_n = (p_n + 1)^{3^{-n}}$  for  $n = 1, 2, \dots$ . Since  $p_{n+1} > p_n^3$  and  $3^{-n-1}$  is a positive exponent, then  $p_{n+1}^{3^{-n-1}} > (p_n^3)^{3^{-n-1}}$  and so as  $n$  increases,  $u_n$  increases because:

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## Theorem 22.1 (continued)

**Theorem 22.1.** There is a real number  $\theta$  such that  $[\theta^{3^n}]$  is a prime for all  $n \in \mathbb{N}$ .

**Proof (continued).** Now  $u_n = p_n^{3^{-n}} < (p_n + 1)^{3^{-n}} = v_n$ , so we now have  $u_n < v_n < v_{n-1} < \cdots < v_1$ . So  $u_n < v_1$  for all  $n \in \mathbb{N}$ . That is,  $\{u_n\}$  is an increasing sequence (and hence nondecreasing) of real numbers that is bounded above by  $v_1$ . So by Lemma 22.A,  $\{u_n\}$  has a limit, say  $\lim_{n \rightarrow \infty} u_n = \theta$ . Similarly,  $v_n > u_n > u_{n-1} > \cdots > u_1$ , so we also have  $v_n > u_1$  for all  $n \in \mathbb{N}$ . That is,  $\{v_n\}$  is a decreasing sequence (and hence nonincreasing) of real numbers that is bounded below by  $u_1$ . So by Lemma 22.B,  $\{v_n\}$  has a limit, say  $\lim_{n \rightarrow \infty} v_n = \varphi$ . Since  $\{u_n\}$  increases and  $\{v_n\}$  decreases, we have  $u_n < \theta \leq \varphi < v_n$  for all  $n \in \mathbb{N}$ . Thus  $u_n^{3^n} < \theta^{3^n} \leq \varphi^{3^n} < v_n^{3^n}$  for all  $n \in \mathbb{N}$ . Since  $u_n^{3^n} = p_n$  and  $v_n^{3^n} = p_n + 1$ , then we have  $p_n < \theta^{3^n} < p_n + 1$ . So  $\theta^{3^n}$  lies between two consecutive integers, and hence  $[\theta^{3^n}] = p_n$ . That is,  $[\theta^{3^n}]$  is prime for all  $n \in \mathbb{N}$ , as claimed. □

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# Lemma 22.E

**Lemma 22.E.** For  $n \geq 2$ , we have  $\prod_{p \leq n} p \leq 2^{2^n}$  where  $p$  is prime.

**Proof.** First, observe that by the Binomial Theorem,

$$2^{2m+1} = (1+1)^{2m+1} = 1 + \binom{2m+1}{1} + \binom{2m+1}{2} + \cdots + \binom{2m+1}{m} + \binom{2m+1}{m+1}$$

$$+ \cdots + \binom{2m+1}{2m} + 1 \geq \binom{2m+1}{m} + \binom{2m+1}{m+1} = 2 \binom{2m+1}{m},$$

and so  $2^{2m} \geq \binom{2m+1}{m} = \frac{(2m+1)(2m) \cdots (m+2)}{m(m-1) \cdots (2)(1)}$ .

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and so  $2^{2m} \geq \binom{2m+1}{m} = \frac{(2m+1)(2m) \cdots (m+2)}{m(m-1) \cdots (2)(1)}$ . Now  $\binom{2m+1}{m}$  is divisible by each prime  $p$  such that  $m+1 < p \leq 2m+1$ , so

$$\prod_{m+1 < p \leq 2m+1} p \leq \binom{2m+1}{m} \leq 2^{2m}. \quad (*)$$

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# Lemma 22.E (continued 1)

**Lemma 22.E.** For  $n \geq 2$ , we have  $\prod_{p \leq n} p \leq 2^{2^n}$  where  $p$  is prime.

**Proof (continued).** We now prove the claim by induction. The claim holds for  $n = 2$  since  $\prod_{p \leq 2} p = 2 \leq 4 = 2^{2(2)}$ , so the base case is established. For the induction hypothesis, suppose the claim holds for all  $n \leq k$ . If  $k$  is odd, then  $k + 1$  is even and

$$\begin{aligned} \prod_{p \leq k+1} p &= \prod_{p \leq k} p \leq 2^{2^k} \text{ by the induction hypothesis} \\ &\leq 2^{2^{(k+1)}}, \end{aligned}$$

and the induction step holds when  $k$  is odd.

# Lemma 22.E (continued 2)

**Lemma 22.E.** For  $n \geq 2$ , we have  $\prod_{p \leq n} p \leq 2^{2^n}$  where  $p$  is prime.

**Proof (continued).** If  $k$  is even, say  $k = 2m$ , then

$$\begin{aligned} \prod_{p \leq k+1} p &= \left( \prod_{p \leq m+1} p \right) \left( \prod_{m+1 < p \leq 2m+1} p \right) \\ &\leq 2^{2(m+1)} 2^{2m} \text{ by the induction hypothesis and } (*) \\ &= 2^{4m+2} = 2^{2(2m+1)} = 2^{2(k+1)}, \end{aligned}$$

and the induction step holds when  $k$  is even. So by induction, the claim holds for all  $n \geq 2$ . □

## Lemma 22.F

**Lemma 22.F.** For  $n \geq 1$ , we have  $\binom{2n}{n} \geq \frac{2^{2n}}{2n}$ .

**Proof.** We prove the claim by induction. For the base case, with  $n = 1$  we have  $\binom{2n}{n} = \binom{2}{1} = 2 = \frac{4}{2} = \frac{2^{2(1)}}{2(1)} = \frac{2^{2n}}{2n}$ . For the induction hypothesis, suppose the claim holds for  $n = k$  so that  $\binom{2k}{k} \geq \frac{2^{2k}}{2k}$ .

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$$\begin{aligned} \binom{2(k+1)}{k+1} &= \binom{2k+2}{k+1} = \frac{(2k+2)!}{(k+1)!(k+1)!} = \frac{(2k+2)(2k+1)(2k)!}{(k+1)^2 k! k!} \\ &= \frac{2(k+1)(2k+1)(2k)!}{(k+1)^2 k! k!} = \frac{2(k+1)(2k+1)}{(k+1)^2} \binom{2k}{k} \\ &\geq \frac{2(k+1)(2k+1)}{(k+1)^2} \frac{2^{2k}}{2k} \text{ by the induction hypothesis} \end{aligned}$$

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**Lemma 22.F.** For  $n \geq 1$ , we have  $\binom{2n}{n} \geq \frac{2^{2n}}{2n}$ .

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**Lemma 22.F.** For  $n \geq 1$ , we have  $\binom{2n}{n} \geq \frac{2^{2n}}{2n}$ .

**Proof (continued).** ...

$$\begin{aligned} \binom{2(k+1)}{k+1} &\geq \frac{2(k+1)(2k+1)}{(k+1)^2} \frac{2^{2k}}{2k} \\ &= \frac{2k+1}{k+1} \frac{2^{2k+1}}{2k} = \frac{(2k+2)(2k+1)}{(2k+2)(k+1)} \frac{2^{2k+1}}{2k} \\ &= \frac{2(k+1)}{k+1} \frac{2k+1}{2k} \frac{2^{2k+1}}{2k+2} \geq \frac{2^{2(k+1)}}{2(k+1)}, \end{aligned}$$

so the induction step is established. Hence, the claim holds by induction for all  $n \in \mathbb{N}$ . □

# Theorem 22.2. Bertrand's Theorem

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For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

**Proof.** ASSUME that for some  $n \in \mathbb{N}$  there are no primes  $p$  such that  $n < p < 2n$  or, equivalently, such that  $n < p \leq 2n$ . For this value of  $n$ , let

$$N = \binom{2n}{n} = \frac{(2n)(2n-1)(2n-2)\cdots(n+1)}{n(n-1)(n-2)\cdots(2)(1)}.$$

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$$N = \binom{2n}{n} = \frac{(2n)(2n-1)(2n-2)\cdots(n+1)}{n(n-1)(n-2)\cdots(2)(1)}.$$

So if  $2n/3 < p \leq n$ , then  $p$  is a factor of the denominator, and since  $2p > 4n/3 \geq n+1$ , then  $2p$  is a factor of the numerator. The two  $p$ 's cancel and, since  $3p > 2n$ , there are no more factors of  $p$  in the numerator. Thus all prime divisors of  $N$  are at most  $2n/3$ , so that by Lemma 22.E

$$\prod_{p|N} p \leq \prod_{p \leq 2n/3} p \leq 2^{2(2n/3)} = 2^{4n/3}. \quad (*)$$



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## Theorem 22.2. Bertrand's Theorem.

For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

**Proof.** ASSUME that for some  $n \in \mathbb{N}$  there are no primes  $p$  such that  $n < p < 2n$  or, equivalently, such that  $n < p \leq 2n$ . For this value of  $n$ , let

$$N = \binom{2n}{n} = \frac{(2n)(2n-1)(2n-2)\cdots(n+1)}{n(n-1)(n-2)\cdots(2)(1)}.$$

So if  $2n/3 < p \leq n$ , then  $p$  is a factor of the denominator, and since  $2p > 4n/3 \geq n+1$ , then  $2p$  is a factor of the numerator. The two  $p$ 's cancel and, since  $3p > 2n$ , there are no more factors of  $p$  in the numerator. Thus all prime divisors of  $N$  are at most  $2n/3$ , so that by Lemma 22.E

$$\prod_{p|N} p \leq \prod_{p \leq 2n/3} p \leq 2^{2(2n/3)} = 2^{4n/3}. \quad (*)$$

## Theorem 22.2. Bertrand's Theorem (continued 1)

**Theorem 22.2. Bertrand's Theorem.**

For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

**Proof (continued).** By Lemma 21.4, each prime power in the prime-power decomposition of  $N = \binom{2n}{n}$  is at most  $2n$ . So, if  $p$  appears in the prime-power decomposition of  $N$  to a power greater than 1, then  $p^2 \leq 2n$  (in fact if  $p^k$  is in the prime-power decomposition then  $p^k \leq 2n$ , but we only need the case  $k = 2$  since if  $p^k \leq 2n$ , where  $k \geq 2$ , then also  $p^2 \leq 2n$ ) and so  $p \leq \sqrt{2n}$ . There are at most  $\sqrt{2n}$  such primes, and since each prime power is at most  $2n$ , so their total contribution to the prime-power decomposition is at most  $(2n)^{\sqrt{2n}}$ . All of the other primes appear to the power 1 and, from (\*), their product is at most  $2^{4n/3}$ . That is, the prime divisors of  $N$  that appear to the power 1 in the prime-power decomposition of  $N$  are bounded by  $2^{4n/3}$ , and those that appear to a power greater than 1 have a product bounded by  $(2n)^{\sqrt{2n}}$ .

## Theorem 22.2. Bertrand's Theorem (continued 1)

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For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

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## Theorem 22.2. Bertrand's Theorem (continued 2)

**Theorem 22.2. Bertrand's Theorem.**

For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

**Proof (continued).** Thus  $N = \binom{2n}{n} \leq 2^{4/3}(2n)^{\sqrt{2n}}$ . By Lemma 22.F,  $\binom{2n}{n} \geq \frac{2^{2n}}{2n}$ , so we now have  $\frac{2^{2n}}{2n} \leq 2^{4n/3}(2n)^{\sqrt{2n}}$ . Taking logarithms of this inequality (remember, the log function is increasing and so preserves inequalities), we get  $2n \log 2 - \log 2n \leq (4n/3) \log 2 + \sqrt{2n} \log 2n$ , or

$$(2n/3) \log 2 \leq (\sqrt{2n} + 1) \log 2n \leq (\sqrt{2n} + \sqrt{2n}) \log 2n = 2\sqrt{2}\sqrt{n} \log 2n,$$

or  $\sqrt{n} \leq \frac{2\sqrt{2} \log 2n}{\log 2}$ .

## Theorem 22.2. Bertrand's Theorem (continued 3)

**Theorem 22.2. Bertrand's Theorem.**

For all integers  $n \geq 2$ , there is a prime  $p$  such that  $n < p < 2n$ .

**Proof (continued).** ...  $\sqrt{n} \leq \frac{2\sqrt{2} \log 2n}{\log 2}$ . But  $\sqrt{n}$  increases more rapidly than  $\log 2n$ , then this inequality is false for  $n$  sufficiently large. In fact, we can numerically verify that for  $n > 2787$  the inequality is false, and we have CONTRADICTION. So the assumption that there are no primes  $p$  such that  $n < p < 2n$  is false for  $n > 2787$ , and so the claim holds provided  $n > 2787$ . By Note 22.C, we see that the claim holds for  $n \leq 9973$ , and hence the claim holds for all  $n \in \mathbb{N}$ , as needed.  $\square$

## Theorem 22.3

**Theorem 22.3.** There exists a real number  $\theta$  such that  $[2^\theta]$ ,  $[2^{2^\theta}]$ ,  $[2^{2^{2^\theta}}]$ ,  $\dots$  are all prime.

**Proof.** Let  $p_1$  be any prime, and for  $n \in \mathbb{N}$  let  $p_{n+1}$  be a prime such that  $2^{p_2} < p_{n+1} < 2^{p_n+1}$ ; notice that such a  $p_{n+1}$  exists by Theorem 22.2. Let  $u_n = \log^{(n)} p_n$  and  $v_n = \log^{(n)} \log^{(n)}(p_n + 1)$ , where the function  $\log^{(n)}$  is defined recursively as:  $\log^{(1)} k = \log_2 k$  and  $\log^{(n)} k = \log_2(\log^{(n-1)} k)$ . Since  $2^{p_2} < p_{n+1} < 2^{p_n+1}$ , we have by taking logarithms base 2 that

$$\log_2 2^{p_2} < \log_2 p_{n+1} < \log_2 2^{p_n+1} \text{ or } p_n < \log_2 p_{n+1} < p_n + 1.$$

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$$\log_2 2^{p_2} < \log_2 p_{n+1} < \log_2 2^{p_n+1} \text{ or } p_n < \log_2 p_{n+1} < p_n + 1.$$

Since  $p_{n+1} + 1 \leq 2^{p_n+1}$  (because  $p_{n+1} < 2^{p_n+1}$ ) then we have

$$p_n < \log^{(1)} p_{n+1} < \log^{(1)}(p_{n+1} + 1) \leq \log^{(1)}(2^{p_n+1}) = p_n + 1.$$

Taking logarithms base 2 of this inequality  $n$  times gives

$$\log^{(n)} p_n < \log^{(n+1)} p_{n+1} < \log^{(n+1)}(p_{n+1} + 1) \leq \log^{(n)}(p_n + 1)$$

or  $u_n < u_{n+1} < v_{n+1} \leq v_n$ .

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**Proof.** Let  $p_1$  be any prime, and for  $n \in \mathbb{N}$  let  $p_{n+1}$  be a prime such that  $2^{p_2} < p_{n+1} < 2^{p_n+1}$ ; notice that such a  $p_{n+1}$  exists by Theorem 22.2. Let  $u_n = \log^{(n)} p_n$  and  $v_n = \log^{(n)} \log^{(n)}(p_n + 1)$ , where the function  $\log^{(n)}$  is defined recursively as:  $\log^{(1)} k = \log_2 k$  and  $\log^{(n)} k = \log_2(\log^{(n-1)} k)$ . Since  $2^{p_2} < p_{n+1} < 2^{p_n+1}$ , we have by taking logarithms base 2 that

$$\log_2 2^{p_2} < \log_2 p_{n+1} < \log_2 2^{p_n+1} \text{ or } p_n < \log_2 p_{n+1} < p_n + 1.$$

Since  $p_{n+1} + 1 \leq 2^{p_n+1}$  (because  $p_{n+1} < 2^{p_n+1}$ ) then we have

$$p_n < \log^{(1)} p_{n+1} < \log^{(1)}(p_{n+1} + 1) \leq \log^{(1)}(2^{p_n+1}) = p_n + 1.$$

Taking logarithms base 2 of this inequality  $n$  times gives

$$\log^{(n)} p_n < \log^{(n+1)} p_{n+1} < \log^{(n+1)}(p_{n+1} + 1) \leq \log^{(n)}(p_n + 1)$$

or  $u_n < u_{n+1} < v_{n+1} \leq v_n$ .



## Theorem 22.3 (continued)

**Theorem 22.3.** There exists a real number  $\theta$  such that  $[2^\theta]$ ,  $[2^{2^\theta}]$ ,  $[2^{2^{2^\theta}}]$ ,  $\dots$  are all prime.

**Proof (continued).**  $\dots u_n < u_{n+1} < v_{n+1} \leq v_n$ . So sequence  $\{u_n\}$  is an increasing (that is, nondecreasing) sequence which is bounded above by  $v_1$ , so that it converges by Lemma 22.A, say  $\lim_{n \rightarrow \infty} u_n = \theta$ . Sequence  $\{v_n\}$  is a nonincreasing sequence which is bounded below by  $u_1$ , so that it converges by Lemma 22.B, say  $\lim_{n \rightarrow \infty} v_n = \varphi$ . Define  $\exp^{(n)} k$  recursively as:  $\exp^{(1)} k = 2^k$  and  $\exp^{(n)} k = 2^{\exp^{(n-1)} k}$ . Since  $u_n < \theta < v_n$  for all  $n \in \mathbb{N}$ , then  $\exp^{(n)} u_n < \exp^{(n)} \theta < \exp^{(n)} v_n$ , or  $p_n < \exp^{(n)} \theta < p_n + 1$ . Since  $\exp^{(n)} \theta$  lies between two consecutive integers, then  $[\exp^{(n)} \theta] = p_n$ . That is,  $[\exp^{(n)} \theta]$  is prime for all  $n \in \mathbb{N}$ . Since  $\exp^{(n)} k$  is defined as an iterated composition of base 2 exponential functions, then we have that each of  $[2^\theta]$ ,  $[2^{2^\theta}]$ ,  $[2^{2^{2^\theta}}]$ ,  $\dots$  are prime, as claimed.  $\square$

## Theorem 22.3 (continued)

**Theorem 22.3.** There exists a real number  $\theta$  such that  $[2^\theta]$ ,  $[2^{2^\theta}]$ ,  $[2^{2^{2^\theta}}]$ ,  $\dots$  are all prime.

**Proof (continued).**  $\dots u_n < u_{n+1} < v_{n+1} \leq v_n$ . So sequence  $\{u_n\}$  is an increasing (that is, nondecreasing) sequence which is bounded above by  $v_1$ , so that it converges by Lemma 22.A, say  $\lim_{n \rightarrow \infty} u_n = \theta$ . Sequence  $\{v_n\}$  is a nonincreasing sequence which is bounded below by  $u_1$ , so that it converges by Lemma 22.B, say  $\lim_{n \rightarrow \infty} v_n = \varphi$ . Define  $\exp^{(n)} k$  recursively as:  $\exp^{(1)} k = 2^k$  and  $\exp^{(n)} k = 2^{\exp^{(n-1)} k}$ . Since  $u_n < \theta < v_n$  for all  $n \in \mathbb{N}$ , then  $\exp^{(n)} u_n < \exp^{(n)} \theta < \exp^{(n)} v_n$ , or  $p_n < \exp^{(n)} < p_n + 1$ . Since  $\exp^{(n)} \theta$  lies between two consecutive integers, then  $[\exp^{(n)} \theta] = p_n$ . That is,  $[\exp^{(n)} \theta]$  is prime for all  $n \in \mathbb{N}$ . Since  $\exp^{(n)} k$  is defined as an iterated composition of base 2 exponential functions, then we have that each of  $[2^\theta]$ ,  $[2^{2^\theta}]$ ,  $[2^{2^{2^\theta}}]$ ,  $\dots$  are prime, as claimed.  $\square$