### The Prime Number Theorem

#### Section 1.2. Arithmetic Functions—Proofs of Theorems



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**Proposition 1.2.1.** Suppose that n > 1, with prime factorization  $n = \prod_{j=1}^{m} p_j^{k_j}$ . Then

$$\tau(n) = \prod_{j=1}^{m} (k_j + 1), \ \omega(n) = m, \ \Omega(n) = \sum_{j=1}^{m} k_j.$$

**Proof.** The expressions for  $\omega(n)$  and  $\Omega(n)$  are just symbolic representations the definition of each. Divisors of  $n = \prod_{j=1}^{m} p_j^{k_j}$  are of the

form  $\prod_{j=1}^{m} p_j^{r_j}$  where for each j,  $0 \le r_j \le k_j$ . To count the factors, we notice that there are  $k_j + 1$  possible values for  $k_j$  (namely,  $0, 1, \ldots, k_j$ ) and this holds for each  $1 \le j \le m$ .

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# Proposition 1.2.1 (continued)

**Proposition 1.2.1.** Suppose that n > 1, with prime factorization  $n = \prod_{j=1}^{m} p_j^{k_j}$ . Then

$$\tau(n) = \prod_{j=1}^{m} (k_j + 1), \ \omega(n) = m, \ \Omega(n) = \sum_{j=1}^{m} k_j.$$

**Proof (continued).** So there are  $(k_1 + 1)$  choices for  $k_1$ ,  $(k_2 + 1)$  choices for  $k_2$ , ...,  $(k_m + 1)$  choices for  $k_m$ . By The Fundamental Counting Principle (see Section 2.2. Counting Methods in my online notes for Foundations of Probability and Statistics—Calculus Based [MATH 2250] or Section 1.1. The Fundamental Counting Principle in my online notes for Applied Combinatorics and Problem Solving [MATH 3340]) this means that there are  $\prod_{i=1}^{m} (k_i + 1)$  possible different divisors of n, as claimed.

**Proposition 1.2.2.** Write  $S_{\tau}(x) = \sum_{n \leq x} \tau(n)$  and  $S_{\omega}(x) = \sum_{n \leq x} \omega(n)$ . Then

$$S_{ au}(x) = \sum_{j \leq x} \left\lfloor rac{x}{j} 
ight
ceil \ ext{ and } S_{\omega}(x) = \sum_{p \in P[x]} \left\lfloor rac{x}{p} 
ight
ceil.$$

**Proof.** Since  $\tau(n)$  is the number of divisors of *n*, then we have

 $\tau(n) = |\{j \mid 1 \le j \le n, j \mid n\}| = |\{(j, n) \mid 1 \le j \le n, j \mid n\}|.$ 

Let  $x \in \mathbb{R}$  (where we may as well take  $x \ge 1$ ). Fix j where  $1 \le j \le x$ . Then  $|\{(j, n) \mid j \mid n\}| = |\{(j, n) \mid n = rj\}| = |\{r \mid n = rj\}| = |\{r \mid rj \le x\}|$ . The number of multiples of j that are less than or equal to x is [x/j]. That is,  $|\{r \mid rj \le x\}| = [x/j]$ . Summing over all  $1 \le j \le x$ , we get the total number of divisors of positive integers less than or equal to x:

$$S_{\tau}(x) = \sum_{n \leq x} \tau(n) = \sum_{j \leq x} \left\lfloor \frac{x}{j} \right\rfloor$$
, as claimed.

**Proposition 1.2.2.** Write  $S_{\tau}(x) = \sum_{n \leq x} \tau(n)$  and  $S_{\omega}(x) = \sum_{n \leq x} \omega(n)$ . Then

**Proof.** Since  $\tau(n)$  is the number of divisors of *n*, then we have

$$\tau(n) = |\{j \mid 1 \le j \le n, j \mid n\}| = |\{(j, n) \mid 1 \le j \le n, j \mid n\}|.$$

Let  $x \in \mathbb{R}$  (where we may as well take  $x \ge 1$ ). Fix j where  $1 \le j \le x$ . Then  $|\{(j,n) \mid j \mid n\}| = |\{(j,n) \mid n = rj\}| = |\{r \mid n = rj\}| = |\{r \mid rj \le x\}|$ . The number of multiples of j that are less than or equal to x is [x/j]. That is,  $|\{r \mid rj \le x\}| = [x/j]$ . Summing over all  $1 \le j \le x$ , we get the total number of divisors of positive integers less than or equal to x:

$$S_{\tau}(x) = \sum_{n \leq x} \tau(n) = \sum_{j \leq x} \left\lfloor \frac{x}{j} \right\rfloor$$
, as claimed.

### Proposition 1.2.2 (continued)

**Proposition 1.2.2.** Write  $S_{\tau}(x) = \sum_{n \leq x} \tau(n)$  and  $S_{\omega}(x) = \sum_{n \leq x} \omega(n)$ . Then

$$S_{ au}(x) = \sum_{j \leq x} \left[rac{x}{j}
ight] \quad ext{and} \quad S_{\omega}(x) = \sum_{p \in P[x]} \left[rac{x}{p}
ight].$$

**Proof (continued).** Since  $\omega(n)$  is the number of prime divisors of n, then we have  $\omega(n) = |\{p \mid p \text{ is prime}, p \mid n\}| = |\{(p, n) \mid p \text{ is prime}, p \mid n\}|$ . Let  $x \in \mathbb{R}$  (where we may as well take  $x \ge 1$ ). Fix p prime where  $1 \le p \le x$ . Then  $|\{(p, n) \mid p \mid n\}| = |\{(p, n) \mid p \text{ is prime}, n = rp\}| = |\{r \mid n = rp, p \text{ is prime}\}| = |\{r \mid rp \le x, p \text{ is prime}\}|$ . The number of multiples of pthat are less than or equal to x is [x/p]. That is,  $|\{r \mid rp \le x\}| = [x/p]$ . Summing over all prime  $p \le x$ , we get the total number of prime divisors of positive integers less than or equal to x:

$$S_{\omega}(x) = \sum_{n \leq x} \omega(n) = \sum_{p \in P[x]} \left\lfloor \frac{x}{p} \right\rfloor$$
, as claimed.