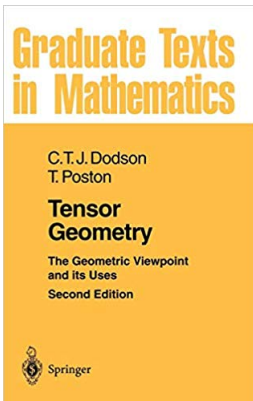


# Differential Geometry

## Chapter IV. Metric Vector Spaces

### IV.4. Diagonalizing Symmetric Operators —Proofs of Theorems



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## Lemma IV.4.02

**Lemma IV.4.02.** If  $\mathbf{x}$  is a maximal vector of a symmetric operator  $\mathbf{A}$  on an inner product space  $(X, \mathbf{G})$  then  $\mathbf{x}$  is an eigenvector of the operator  $\mathbf{A}^2$ , belonging to the eigenvalue  $\|\mathbf{A}\|^2$ .

**Proof.** We have

$$\begin{aligned}
 \|\mathbf{A}\|^2 &= \|\mathbf{Ax}\|^2 \text{ since } \mathbf{x} \text{ is a maximal vector} \\
 &= \mathbf{Ax} \cdot \mathbf{Ax} = \mathbf{A}^T \mathbf{Ax} \cdot \mathbf{x} \text{ by definition of transpose} \\
 &= \mathbf{A}^2 \mathbf{x} \cdot \mathbf{x} \text{ since } \mathbf{A} \text{ is symmetric, } \mathbf{A}^T = \mathbf{A} \\
 &\leq \|\mathbf{A}^2 \mathbf{x}\| \|\mathbf{x}\| \text{ by Schwarz's Inequality (Lemma IV.1.07)} \\
 &= \|\mathbf{A}^2 \mathbf{x}\| \text{ since } \|\mathbf{x}\| = 1 \\
 &= \|\mathbf{A}(\mathbf{Ax})\| \leq \|\mathbf{A}\| \|\mathbf{Ax}\| \text{ by Exercise IV.4.1} \\
 &\leq \|\mathbf{A}\| (\|\mathbf{A}\| \|\mathbf{x}\|) \text{ by Exercise IV.4.1} \\
 &= \|\mathbf{A}\|^2 \text{ since } \|\mathbf{x}\| = 1.
 \end{aligned}$$

## Lemma IV.4.02

**Lemma IV.4.02.** If  $\mathbf{x}$  is a maximal vector of a symmetric operator  $\mathbf{A}$  on an inner product space  $(X, \mathbf{G})$  then  $\mathbf{x}$  is an eigenvector of the operator  $\mathbf{A}^2$ , belonging to the eigenvalue  $\|\mathbf{A}\|^2$ .

**Proof.** We have

$$\begin{aligned}
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 &= \mathbf{A}^2 \mathbf{x} \cdot \mathbf{x} \text{ since } \mathbf{A} \text{ is symmetric, } \mathbf{A}^T = \mathbf{A} \\
 &\leq \|\mathbf{A}^2 \mathbf{x}\| \|\mathbf{x}\| \text{ by Schwarz's Inequality (Lemma IV.1.07)} \\
 &= \|\mathbf{A}^2 \mathbf{x}\| \text{ since } \|\mathbf{x}\| = 1 \\
 &= \|\mathbf{A}(\mathbf{Ax})\| \leq \|\mathbf{A}\| \|\mathbf{Ax}\| \text{ by Exercise IV.4.1} \\
 &\leq \|\mathbf{A}\| (\|\mathbf{A}\| \|\mathbf{x}\|) \text{ by Exercise IV.4.1} \\
 &= \|\mathbf{A}\|^2 \text{ since } \|\mathbf{x}\| = 1.
 \end{aligned}$$

## Lemma IV.4.02 (continued)

**Lemma IV.4.02.** If  $\mathbf{x}$  is a maximal vector of a symmetric operator  $\mathbf{A}$  on an inner product space  $(X, \mathbf{G})$  then  $\mathbf{x}$  is an eigenvector of the operator  $\mathbf{A}^2$ , belonging to the eigenvalue  $\|\mathbf{A}\|^2$ .

**Proof (continued).** But the all inequalities must in fact be equalities. This means  $\mathbf{A}^2\mathbf{x} \cdot \mathbf{x} = \|\mathbf{A}^2\mathbf{x}\| \|\mathbf{x}\|$  so that we have equality in Schwarz's Inequality and hence (by Lemma IV.1.07) we have  $\mathbf{A}^2\mathbf{x} = a\mathbf{x}$  for some  $a \in \mathbb{R}$ . So  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}^2$  with eigenvalue  $a$  where, by the equalities above,  $a = a(\mathbf{x} \cdot \mathbf{x}) = (\mathbf{x}a) \cdot \mathbf{x} = (\mathbf{A}^2\mathbf{x}) \cdot \mathbf{x} = \|\mathbf{A}\|^2$ , as claimed. □

## Lemma IV.4.03

**Lemma IV.4.03.** A symmetric operator  $\mathbf{A}$  on a finite dimensional inner product space has an eigenvector belonging to an eigenvalue  $+\|\mathbf{A}\|$  on  $-\|\mathbf{A}\|$ .

**Proof.** Let  $\mathbf{x}$  be a maximal vector of  $\mathbf{A}$  (which exists since the inner product space is finite dimensional). Then by Lemma IV.4.02,  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}^2$  with eigenvalue  $\|\mathbf{A}\|^2$ , so  $\mathbf{A}^2\mathbf{x} = \mathbf{x}\|\mathbf{A}\|^2$  and  $(\mathbf{A} - \|\mathbf{A}\|^2\mathbf{I})\mathbf{x} = \mathbf{0}$ .

## Lemma IV.4.03

**Lemma IV.4.03.** A symmetric operator  $\mathbf{A}$  on a finite dimensional inner product space has an eigenvector belonging to an eigenvalue  $+\|\mathbf{A}\|$  on  $-\|\mathbf{A}\|$ .

**Proof.** Let  $\mathbf{x}$  be a maximal vector of  $\mathbf{A}$  (which exists since the inner product space is finite dimensional). Then by Lemma IV.4.02,  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}^2$  with eigenvalue  $\|\mathbf{A}\|^2$ , so  $\mathbf{A}^2\mathbf{x} = \mathbf{x}\|\mathbf{A}\|^2$  and  $(\mathbf{A} - \|\mathbf{A}\|^2\mathbf{I})\mathbf{x} = \mathbf{0}$ . Hence  $(\mathbf{A} + \|\mathbf{A}\|\mathbf{I})(\mathbf{A} - \|\mathbf{A}\|\mathbf{I})\mathbf{x} = \mathbf{0}$ . So either  $(\mathbf{A} - \|\mathbf{A}\|\mathbf{I})\mathbf{x} = \mathbf{0}$ , in which case  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}$  with eigenvalue  $\|\mathbf{A}\|$ , or  $(\mathbf{A} + \|\mathbf{A}\|\mathbf{I})\mathbf{x}$  is an eigenvector of  $\mathbf{A}$  with eigenvalue  $-\|\mathbf{A}\|$ , as claimed. □

## Lemma IV.4.03

**Lemma IV.4.03.** A symmetric operator  $\mathbf{A}$  on a finite dimensional inner product space has an eigenvector belonging to an eigenvalue  $+\|\mathbf{A}\|$  on  $-\|\mathbf{A}\|$ .

**Proof.** Let  $\mathbf{x}$  be a maximal vector of  $\mathbf{A}$  (which exists since the inner product space is finite dimensional). Then by Lemma IV.4.02,  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}^2$  with eigenvalue  $\|\mathbf{A}\|^2$ , so  $\mathbf{A}^2\mathbf{x} = \mathbf{x}\|\mathbf{A}\|^2$  and  $(\mathbf{A} - \|\mathbf{A}\|^2\mathbf{I})\mathbf{x} = \mathbf{0}$ . Hence  $(\mathbf{A} + \|\mathbf{A}\|\mathbf{I})(\mathbf{A} - \|\mathbf{A}\|\mathbf{I})\mathbf{x} = \mathbf{0}$ . So either  $(\mathbf{A} - \|\mathbf{A}\|\mathbf{I})\mathbf{x} = \mathbf{0}$ , in which case  $\mathbf{x}$  is an eigenvector of  $\mathbf{A}$  with eigenvalue  $\|\mathbf{A}\|$ , or  $(\mathbf{A} + \|\mathbf{A}\|\mathbf{I})\mathbf{x}$  is an eigenvector of  $\mathbf{A}$  with eigenvalue  $-\|\mathbf{A}\|$ , as claimed. □



## Theorem IV.4.04

**Lemma IV.4.04.** If  $\mathbf{x}$  is an eigenvector of a self-adjoint linear operator  $\mathbf{A}$  on a metric vector space then  $\mathbf{x} \cdot \mathbf{y} = 0$  implies  $\mathbf{x} \cdot \mathbf{A}\mathbf{y} = 0$ . That is,  $\mathbf{A}(\mathbf{x}^\perp) \subseteq \mathbf{x}^\perp$  and so the map  $\mathbf{y} \mapsto \mathbf{A}\mathbf{y}$  is an operator on  $\mathbf{x}^\perp$ , called the operator on  $\mathbf{x}^\perp$  *induced* by  $\mathbf{A}$ .

**Proof.** Let  $\lambda$  be the eigenvalue corresponding to eigenvector  $\mathbf{x}$ . Then  $\mathbf{x} \cdot \mathbf{y} = 0$  implies  $\lambda(\mathbf{x} \cdot \mathbf{y}) = 0$ , or  $(\lambda\mathbf{x}) \cdot \mathbf{y} = 0$  or  $(\mathbf{A}\mathbf{x}) \cdot \mathbf{y} = 0$ . Therefore  $\mathbf{x} \cdot \mathbf{A}^T\mathbf{y} = 0$  by the definition of  $\mathbf{A}^T$  and, since  $\mathbf{A}$  is hypothesized to be symmetric,  $\mathbf{x} \cdot (\mathbf{A}\mathbf{y}) = 0$ , as claimed. □

## Theorem IV.4.04

**Lemma IV.4.04.** If  $\mathbf{x}$  is an eigenvector of a self-adjoint linear operator  $\mathbf{A}$  on a metric vector space then  $\mathbf{x} \cdot \mathbf{y} = 0$  implies  $\mathbf{x} \cdot \mathbf{A}\mathbf{y} = 0$ . That is,  $\mathbf{A}(\mathbf{x}^\perp) \subseteq \mathbf{x}^\perp$  and so the map  $\mathbf{y} \mapsto \mathbf{A}\mathbf{y}$  is an operator on  $\mathbf{x}^\perp$ , called the operator on  $\mathbf{x}^\perp$  induced by  $\mathbf{A}$ .

**Proof.** Let  $\lambda$  be the eigenvalue corresponding to eigenvector  $\mathbf{x}$ . Then  $\mathbf{x} \cdot \mathbf{y} = 0$  implies  $\lambda(\mathbf{x} \cdot \mathbf{y}) = 0$ , or  $(\mathbf{x}\lambda) \cdot \mathbf{y} = 0$  or  $(\mathbf{A}\mathbf{x}) \cdot \mathbf{y} = 0$ . Therefore  $\mathbf{x} \cdot \mathbf{A}^T\mathbf{y} = 0$  by the definition of  $\mathbf{A}^T$  and, since  $\mathbf{A}$  is hypothesized to be symmetric,  $\mathbf{x} \cdot (\mathbf{A}\mathbf{y}) = 0$ , as claimed. □

## Theorem IV.4.05

**Theorem IV.4.05.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space  $X$ , then  $X$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof.** Let  $\dim(X) = n$ . By Lemma IV.4.03 there is some eigenvector  $\mathbf{x}_1$  of  $\mathbf{A}$  corresponding to real eigenvalue  $\pm\|\mathbf{A}\|$ . Set  $\mathbf{b} = \mathbf{x}_1/\|\mathbf{x}_1\|$  so that  $\mathbf{b}$  is a unit eigenvector. Since  $\mathbf{A}$  is symmetric by hypothesis, then it is self-adjoint by the definition of symmetric. By Lemma IV.4.04 there is a linear operator  $\mathbf{A}' : \mathbf{x}^\perp \rightarrow \mathbf{b}^\perp$  defined as  $\mathbf{A}'(\mathbf{x}) = \mathbf{A}\mathbf{x}$ . Since  $\mathbf{A}$  is symmetric on  $X$  then  $\mathbf{A}'$  (which is just  $\mathbf{A}$  restricted to  $\mathbf{B}^\perp$ ) is symmetric on  $\mathbf{b}^\perp$  (with respect to the inner product restricted to  $\mathbf{b}^\perp$ ).

## Theorem IV.4.05

**Theorem IV.4.05.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space  $X$ , then  $X$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof.** Let  $\dim(X) = n$ . By Lemma IV.4.03 there is some eigenvector  $\mathbf{x}_1$  of  $\mathbf{A}$  corresponding to real eigenvalue  $\pm\|\mathbf{A}\|$ . Set  $\mathbf{b} = \mathbf{x}_1/\|\mathbf{x}_1\|$  so that  $\mathbf{b}$  is a unit eigenvector. Since  $\mathbf{A}$  is symmetric by hypothesis, then it is self-adjoint by the definition of symmetric. By Lemma IV.4.04 there is a linear operator  $\mathbf{A}' : \mathbf{x}^\perp \rightarrow \mathbf{b}^\perp$  defined as  $\mathbf{A}'(\mathbf{x}) = \mathbf{A}\mathbf{x}$ . Since  $\mathbf{A}$  is symmetric on  $X$  then  $\mathbf{A}'$  (which is just  $\mathbf{A}$  restricted to  $\mathbf{B}^\perp$ ) is symmetric on  $\mathbf{b}^\perp$  (with respect to the inner product restricted to  $\mathbf{b}^\perp$ ). By Lemma IV.4.03 applied to inner product space  $\mathbf{b}^\perp$  and linear operator  $\mathbf{A}'$  there is an eigenvector  $\mathbf{b}_2$  (a unit vector, without loss of generality, since eigenvectors are by definition nonzero) of  $\mathbf{A}'$  corresponding to real eigenvalue  $\pm\|\mathbf{A}'\|$ . Since  $\mathbf{b}_2 \in \mathbf{b}^\perp$  then  $\mathbf{A}'\mathbf{b}_2 = \mathbf{A}\mathbf{b}_2$  and so  $\mathbf{b}_2$  is also an eigenvector for  $\mathbf{A}$  with the same eigenvalue.

## Theorem IV.4.05

**Theorem IV.4.05.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space  $X$ , then  $X$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof.** Let  $\dim(X) = n$ . By Lemma IV.4.03 there is some eigenvector  $\mathbf{x}_1$  of  $\mathbf{A}$  corresponding to real eigenvalue  $\pm\|\mathbf{A}\|$ . Set  $\mathbf{b} = \mathbf{x}_1/\|\mathbf{x}_1\|$  so that  $\mathbf{b}$  is a unit eigenvector. Since  $\mathbf{A}$  is symmetric by hypothesis, then it is self-adjoint by the definition of symmetric. By Lemma IV.4.04 there is a linear operator  $\mathbf{A}' : \mathbf{x}^\perp \rightarrow \mathbf{b}^\perp$  defined as  $\mathbf{A}'(\mathbf{x}) = \mathbf{A}\mathbf{x}$ . Since  $\mathbf{A}$  is symmetric on  $X$  then  $\mathbf{A}'$  (which is just  $\mathbf{A}$  restricted to  $\mathbf{B}^\perp$ ) is symmetric on  $\mathbf{b}^\perp$  (with respect to the inner product restricted to  $\mathbf{b}^\perp$ ). By Lemma IV.4.03 applied to inner product space  $\mathbf{b}^\perp$  and linear operator  $\mathbf{A}'$  there is an eigenvector  $\mathbf{b}_2$  (a unit vector, without loss of generality, since eigenvectors are by definition nonzero) of  $\mathbf{A}'$  corresponding to real eigenvalue  $\pm\|\mathbf{A}'\|$ . Since  $\mathbf{b}_2 \in \mathbf{b}^\perp$  then  $\mathbf{A}'\mathbf{b}_2 = \mathbf{A}\mathbf{b}_2$  and so  $\mathbf{b}_2$  is also an eigenvector for  $\mathbf{A}$  with the same eigenvalue.

## Theorem IV.4.05 (continued)

**Theorem IV.4.05.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space  $X$ , then  $X$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof (continued).** So we have an orthonormal set  $\{\mathbf{b}_1, \mathbf{b}_2\}$  of eigenvectors of  $\mathbf{A}$ . Let  $S_1 = (\text{span}(\mathbf{b}_1))^\perp$  and  $S_2 = (\text{span}(\mathbf{b}_1, \mathbf{b}_2))^\perp$ , so that, by Corollary IV.2.05,  $\dim(S_1) = n - 1$  and  $\dim(S_2) = n - 2$ . We can now inductively find eigenvectors  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  of  $\mathbf{A}$  with real eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n$ , respectively (and subspaces  $S_1, S_2, \dots, S_n$  of  $X$  such that  $\dim(S_k) = n - k$ ), just as we did for  $\mathbf{b}_1$  and  $\mathbf{b}_2$ . Since  $\{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\}$  is an orthonormal set of eigenvectors in  $X$  where  $\dim(X) = n$ , then  $\{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\}$  is an orthonormal basis of eigenvectors of  $\mathbf{A}$  for  $X$ .  $\square$

## Corollary IV.4.07

**Corollary IV.4.07.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space with orthonormal basis  $\beta$  and if  $\mu$  is a root of multiplicity  $m$  of the characteristic equation  $\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = 0$  then the eigenspace belonging to  $\mu$  has dimension  $m$ .

**Proof.** By Corollary IV.4.06,  $[\mathbf{A}]_{\beta}^{\beta}$  is a diagonal matrix with diagonal entries  $\lambda_1, \lambda_2, \dots, \lambda_n$  (the not-necessarily-distinct eigenvalues of  $\mathbf{A}$ ). Then by the Fundamental Theorem of Algebra

$$\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = \det([\mathbf{A}]_{\beta}^{\beta} - \lambda[\mathbf{I}]_{\beta}^{\beta}) = (\lambda_1 - \lambda)(\lambda_2 - \lambda) \cdots (\lambda_n - \lambda).$$

## Corollary IV.4.07

**Corollary IV.4.07.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space with orthonormal basis  $\beta$  and if  $\mu$  is a root of multiplicity  $m$  of the characteristic equation  $\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = 0$  then the eigenspace belonging to  $\mu$  has dimension  $m$ .

**Proof.** By Corollary IV.4.06,  $[\mathbf{A}]_{\beta}^{\beta}$  is a diagonal matrix with diagonal entries  $\lambda_1, \lambda_2, \dots, \lambda_n$  (the not-necessarily-distinct eigenvalues of  $\mathbf{A}$ ). Then by the Fundamental Theorem of Algebra

$$\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = \det([\mathbf{A}]_{\beta}^{\beta} - \lambda[\mathbf{I}]_{\beta}^{\beta}) = (\lambda_1 - \lambda)(\lambda_2 - \lambda) \cdots (\lambda_n - \lambda).$$

Since  $\mu$  is a root of the characteristic equation of multiplicity  $m$ , then say  $\lambda_{j_1} \lambda_{j_2} = \cdots = \lambda_{j_m} = \mu$ . Then the eigenspace of  $\mu$  is spanned by  $\mathbf{b}_{j_1}, \mathbf{b}_{j_2}, \dots, \mathbf{b}_{j_m}$  and since these vectors are orthonormal then they are linearly independent and hence a basis for the eigenspace of  $\mu$ . So the dimensional of this eigenspace is  $m$ , as claimed. □



## Corollary IV.4.07

**Corollary IV.4.07.** If  $\mathbf{A}$  is a symmetric linear operator on a finite dimensional inner product space with orthonormal basis  $\beta$  and if  $\mu$  is a root of multiplicity  $m$  of the characteristic equation  $\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = 0$  then the eigenspace belonging to  $\mu$  has dimension  $m$ .

**Proof.** By Corollary IV.4.06,  $[\mathbf{A}]_{\beta}^{\beta}$  is a diagonal matrix with diagonal entries  $\lambda_1, \lambda_2, \dots, \lambda_n$  (the not-necessarily-distinct eigenvalues of  $\mathbf{A}$ ). Then by the Fundamental Theorem of Algebra

$$\det([\mathbf{A} - \lambda\mathbf{I}]_{\beta}^{\beta}) = \det([\mathbf{A}]_{\beta}^{\beta} - \lambda[\mathbf{I}]_{\beta}^{\beta}) = (\lambda_1 - \lambda)(\lambda_2 - \lambda) \cdots (\lambda_n - \lambda).$$

Since  $\mu$  is a root of the characteristic equation of multiplicity  $m$ , then say  $\lambda_{j_1} \lambda_{j_2} = \cdots = \lambda_{j_m} = \mu$ . Then the eigenspace of  $\mu$  is spanned by  $\mathbf{b}_{j_1}, \mathbf{b}_{j_2}, \dots, \mathbf{b}_{j_m}$  and since these vectors are orthonormal then they are linearly independent and hence a basis for the eigenspace of  $\mu$ . So the dimensional of this eigenspace is  $m$ , as claimed. □

## Corollary IV.4.09

**Corollary IV.4.09.** In an inner product space  $(X, \mathbf{G})$  for any symmetric bilinear form  $\mathbf{h}$  on  $X$  we can find an orthonormal basis  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  for  $X$  such that  $\mathbf{h}(\mathbf{b}_i, \mathbf{b}_j) = 0$  for  $i \neq j$ .

**Proof.** Let  $\mathbf{x} \in X$  and define  $\mathbf{h}_x : X \rightarrow \mathbb{R}$  as  $\mathbf{h}_x(\mathbf{y}) = \mathbf{h}(\mathbf{x}, \mathbf{y})$  for all  $\mathbf{y} \in X$  (so  $\mathbf{h}_x \in X^*$ ). Next define  $\mathbf{A}_h : X \rightarrow X$  as  $\mathbf{A}_h(\mathbf{x}) = \mathbf{G}_\uparrow(\mathbf{h}_x)$  for all  $\mathbf{x} \in X$ . Then we have for all  $\mathbf{y} \in X$ ,

$$\begin{aligned}
 (\mathbf{A}_h \mathbf{x}) \cdot \mathbf{y} &= \mathbf{G}_\uparrow(\mathbf{h}_x) \cdot \mathbf{y} = \mathbf{G}(\mathbf{G}_\uparrow(\mathbf{h}_x), \mathbf{y}) \\
 &= \mathbf{h}_x(\mathbf{y}) \text{ since } \mathbf{G}_\uparrow(\mathbf{x}^*) \text{ where } \mathbf{x}^*(\mathbf{y}) = \mathbf{G}(\mathbf{x}, \mathbf{y}) = \mathbf{x} \cdot \mathbf{y} \text{ for all } \\
 &\quad \mathbf{y} \in X; \text{ see Theorem IV.1.09 and Note IV.1.A (here } \mathbf{x}^* = \mathbf{h}_x) \\
 &= \mathbf{h}(\mathbf{x}, \mathbf{y}) = \mathbf{h}(\mathbf{y}, \mathbf{x}) \text{ since } \mathbf{h} \text{ is symmetric by hypothesis} \\
 &= \mathbf{y}(\mathbf{x}) = (\mathbf{A}_h \mathbf{y}) \cdot \mathbf{x} \text{ as just established} \\
 &\quad \text{(with } \mathbf{x} \text{ and } \mathbf{y} \text{ interchanged)} \\
 &= \mathbf{x} \cdot (\mathbf{A}_h \mathbf{y}) \text{ since } \mathbf{G} \text{ (and so dot product) is symmetric because} \\
 &\quad (X, \mathbf{G}) \text{ is an inner product space.}
 \end{aligned}$$

## Corollary IV.4.09

**Corollary IV.4.09.** In an inner product space  $(X, \mathbf{G})$  for any symmetric bilinear form  $\mathbf{h}$  on  $X$  we can find an orthonormal basis  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  for  $X$  such that  $\mathbf{h}(\mathbf{b}_i, \mathbf{b}_j) = 0$  for  $i \neq j$ .

**Proof.** Let  $\mathbf{x} \in X$  and define  $\mathbf{h}_x : X \rightarrow \mathbb{R}$  as  $\mathbf{h}_x(\mathbf{y}) = \mathbf{h}(\mathbf{x}, \mathbf{y})$  for all  $\mathbf{y} \in X$  (so  $\mathbf{h}_x \in X^*$ ). Next define  $\mathbf{A}_h : X \rightarrow X$  as  $\mathbf{A}_h(\mathbf{x}) = \mathbf{G}_\uparrow(\mathbf{h}_x)$  for all  $\mathbf{x} \in X$ . Then we have for all  $\mathbf{y} \in X$ ,

$$\begin{aligned}
 (\mathbf{A}_h \mathbf{x}) \cdot \mathbf{y} &= \mathbf{G}_\uparrow(\mathbf{h}_x) \cdot \mathbf{y} = \mathbf{G}(\mathbf{G}_\uparrow(\mathbf{h}_x), \mathbf{y}) \\
 &= \mathbf{h}_x(\mathbf{y}) \text{ since } \mathbf{G}_\uparrow(\mathbf{x}^*) \text{ where } \mathbf{x}^*(\mathbf{y}) = \mathbf{G}(\mathbf{x}, \mathbf{y}) = \mathbf{x} \cdot \mathbf{y} \text{ for all } \\
 &\quad \mathbf{y} \in X; \text{ see Theorem IV.1.09 and Note IV.1.A (here } \mathbf{x}^* = \mathbf{h}_x) \\
 &= \mathbf{h}(\mathbf{x}, \mathbf{y}) = \mathbf{h}(\mathbf{y}, \mathbf{x}) \text{ since } \mathbf{h} \text{ is symmetric by hypothesis} \\
 &= \mathbf{y}(\mathbf{x}) = (\mathbf{A}_h \mathbf{y}) \cdot \mathbf{x} \text{ as just established} \\
 &\quad \text{(with } \mathbf{x} \text{ and } \mathbf{y} \text{ interchanged)} \\
 &= \mathbf{x} \cdot (\mathbf{A}_h \mathbf{y}) \text{ since } \mathbf{G} \text{ (and so dot product) is symmetric because} \\
 &\quad (X, \mathbf{G}) \text{ is an inner product space.}
 \end{aligned}$$

## Corollary IV.4.09 (continued)

**Corollary IV.4.09.** In an inner product space  $(X, \mathbf{G})$  for any symmetric bilinear form  $\mathbf{h}$  on  $X$  we can find an orthonormal basis  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n$  for  $X$  such that  $\mathbf{h}(\mathbf{b}_i, \mathbf{b}_j) = 0$  for  $i \neq j$ .

**Proof (continued).** Since  $(\mathbf{A}_h \mathbf{x}) \cdot \mathbf{y} = \mathbf{x} \cdot (\mathbf{A}_h \mathbf{y})$  for all  $\mathbf{x}, \mathbf{y} \in X$  then  $\mathbf{A}_h$  is self-adjoint and since  $(X, \mathbf{G})$  is an inner product space then, by definition,  $\mathbf{A}_h$  is a symmetric linear operator. By Theorem IV.4.05, there is an orthonormal basis  $\{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\}$  for  $X$  of eigenvectors of  $\mathbf{A}_h$ ; say  $\mathbf{A}_h \mathbf{b}_i = \lambda_i \mathbf{b}_i$  for each  $i$ . Then for this orthonormal basis,

$$\begin{aligned} \mathbf{h}(\mathbf{b}_i, \mathbf{b}_j) &= (\mathbf{A}_h \mathbf{b}_i) \cdot \mathbf{b}_j \text{ since } (\mathbf{A}_h \mathbf{x}) \cdot \mathbf{y} = \mathbf{h}(\mathbf{x}, \mathbf{y}), \text{ as established above} \\ &= (\lambda_i \mathbf{b}_i) \cdot \mathbf{b}_j = \lambda_i (\mathbf{b}_i \cdot \mathbf{b}_j) = 0 \text{ if } i \neq j, \end{aligned}$$

as claimed. □

## Lemma IV.4.11

**Lemma IV.4.11.** If  $\mathbf{h}$  is isotropic, then  $\mathbf{h} = \lambda \mathbf{G}$  for some  $\lambda \in \mathbb{R}$  and  $\mathbf{A}_\mathbf{h} = \lambda \mathbf{I}$ .

**Proof.** If  $\mathbf{h}$  is isotropic then there is  $\lambda \in \mathbb{R}$  ( $\lambda$  is real by Corollary IV.2.08, since  $\mathbf{A}_\mathbf{h}$  is symmetric as established in the proof of Theorem IV.4.09) such that  $\mathbf{A}_\mathbf{h}\mathbf{x} = \lambda\mathbf{x}$  for all  $\mathbf{x} \in X$ . Then  $\mathbf{A}_\mathbf{h}\mathbf{x} - \lambda\mathbf{x} = (\mathbf{A}_\mathbf{h} - \lambda\mathbf{I})\mathbf{x} = \mathbf{0}$  for all  $\mathbf{x} \in X$ ; that is,  $\mathbf{A}_\mathbf{h} - \lambda\mathbf{I} = \mathbf{0}$  (the  $\mathbf{0}$  operator) and  $\mathbf{A}_\mathbf{h} = \lambda\mathbf{I}$ , as claimed.

# Lemma IV.4.11

**Lemma IV.4.11.** If  $\mathbf{h}$  is isotropic, then  $\mathbf{h} = \lambda\mathbf{G}$  for some  $\lambda \in \mathbb{R}$  and  $\mathbf{A}_\mathbf{h} = \lambda\mathbf{I}$ .

**Proof.** If  $\mathbf{h}$  is isotropic then there is  $\lambda \in \mathbb{R}$  ( $\lambda$  is real by Corollary IV.2.08, since  $\mathbf{A}_\mathbf{h}$  is symmetric as established in the proof of Theorem IV.4.09) such that  $\mathbf{A}_\mathbf{h}\mathbf{x} = \lambda\mathbf{x}$  for all  $\mathbf{x} \in X$ . Then  $\mathbf{A}_\mathbf{h}\mathbf{x} - \lambda\mathbf{x} = (\mathbf{A}_\mathbf{h} - \lambda\mathbf{I})\mathbf{x} = \mathbf{0}$  for all  $\mathbf{x} \in X$ ; that is,  $\mathbf{A}_\mathbf{h} - \lambda\mathbf{I} = \mathbf{0}$  (the  $\mathbf{0}$  operator) and  $\mathbf{A}_\mathbf{h} = \lambda\mathbf{I}$ , as claimed.

As shown in the proof of Theorem IV.4.09,  $\mathbf{h}(\mathbf{x}, \mathbf{y}) = (\mathbf{A}_\mathbf{h}\mathbf{x}) \cdot \mathbf{y}$  for all  $\mathbf{x}, \mathbf{y} \in X$ , so  $\mathbf{h}(\mathbf{x}, \mathbf{y}) = \lambda\mathbf{x} \cdot \mathbf{y} = \lambda(\mathbf{x}, \mathbf{y}) = \lambda\mathbf{G}(\mathbf{x}, \mathbf{y})$  for all  $\mathbf{x}, \mathbf{y} \in X$  and hence  $\mathbf{h} = \lambda\mathbf{G}$ , as claimed. □

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As shown in the proof of Theorem IV.4.09,  $\mathbf{h}(\mathbf{x}, \mathbf{y}) = (\mathbf{A}_\mathbf{h}\mathbf{x}) \cdot \mathbf{y}$  for all  $\mathbf{x}, \mathbf{y} \in X$ , so  $\mathbf{h}(\mathbf{x}, \mathbf{y}) = \lambda\mathbf{x} \cdot \mathbf{y} = \lambda(\mathbf{x}, \mathbf{y}) = \lambda\mathbf{G}(\mathbf{x}, \mathbf{y})$  for all  $\mathbf{x}, \mathbf{y} \in X$  and hence  $\mathbf{h} = \lambda\mathbf{G}$ , as claimed. □

## Lemma IV.4.13

**Lemma IV.4.13.** If a self-adjoint linear operator  $\mathbf{A}$  on Lorentz space  $\mathbb{L}^4$  has a timelike eigenvector  $\mathbf{v}$  (i.e.,  $\mathbf{v} \cdot \mathbf{v} > 0$ ), then  $\mathbb{L}^4$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof.** Since  $\mathbb{L}^4$  is a metric vector space and  $\mathbf{A}$  is self-adjoint, then by Lemma IV.2.04 the restriction of  $\mathbf{A}$  to  $\mathbf{v}^\perp$  is a linear operator on  $\mathbf{v}^\perp$ .



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We claim that the metric tensor  $\mathbf{G}$  on  $\text{span}(\mathbf{x})$  is non-degenerate. If for  $\mathbf{x} \in \text{span}(\mathbf{v})$  we have  $\mathbf{G}(\mathbf{x}, \mathbf{v}a) = 0$  for all  $\mathbf{v}a \in \text{span}(\mathbf{v})$ , or equivalently for all  $a \in \mathbb{R}$ , implies  $\mathbf{G}(\mathbf{v}b, \mathbf{v}a) = 0$  for all  $a \in \mathbb{R}$  where  $\mathbf{x} = \mathbf{v}b$ . So  $ab\mathbf{G}(\mathbf{v}, \mathbf{v}) = 0$  for all  $a \in \mathbb{R}$ . Since  $\mathbf{v}$  is timelike then  $\mathbf{G}(\mathbf{v}, \mathbf{v}) = \mathbf{v} \cdot \mathbf{v} > 0$ , so we must have  $b = 0$  and  $\mathbf{x} = \mathbf{v}b = \mathbf{0}$ . That is,  $\mathbf{G}$  is non-degenerate on  $\text{span}(\mathbf{v})$ . So by Corollary IV.2.06,  $\mathbf{G}$  is non-degenerate on  $\mathbf{v}^\perp$ .

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With  $\beta = \{(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0), (0, 0, 0, 1)\}$  as an orthonormal basis of  $\mathbb{L}^4$  we have  $k = 1$ , in the notation of Theorem IV.2.08, since  $(1, 0, 0, 0) \cdot (1, 0, 0, 0) = 1$ ,  $(0, 1, 0, 0) \cdot (0, 1, 0, 0) = -1$ ,  $(0, 0, 1, 0) \cdot (0, 0, 1, 0) = -1$ , and  $(0, 0, 0, 1) \cdot (0, 0, 0, 1) = -1$ .

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## Lemma IV.4.13 (continued)

**Lemma IV.4.13.** If a self-adjoint linear operator  $\mathbf{A}$  on Lorentz space  $\mathbb{L}^4$  has a timelike eigenvector  $\mathbf{v}$  (i.e.,  $\mathbf{v} \cdot \mathbf{v} > 0$ ), then  $\mathbb{L}^4$  has an orthonormal basis of eigenvectors of  $\mathbf{A}$ .

**Proof.** So any orthonormal basis of  $\mathbb{L}^4$  will have  $k = 1$  by Theorem IV.3.08; that is, any orthonormal basis of  $\mathbb{L}^4$  will consist of 1 timelike vector and 3 spacelike vectors. As shown in the proof of Theorem IV.3.08 (with  $W = \text{span}(\mathbf{v})$  and  $N = \mathbf{v}^\perp$  in the notation of the proof) we have that  $\mathbf{G}$  is negative definite on  $\mathbf{v}^\perp$ ; that is,  $\mathbf{G}$  is an inner product on  $\mathbf{v}^\perp$  (this is the first time we have used a negative definite inner product).

## Lemma IV.4.13 (continued)

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