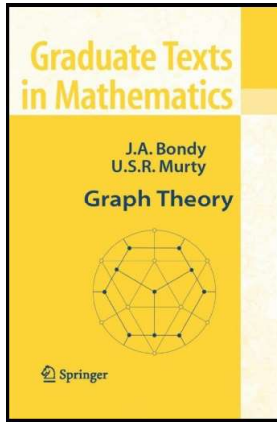


# Graph Theory

## Chapter 12. Stable Sets and Cliques 12.2. Turán's Theorem—Proofs of Theorems



## Theorem 12.3. Turán's Theorem

### Theorem 12.3. Turán's Theorem.

Let  $G$  be a simple graph which contains no  $K_k$ , where  $k \geq 2$ . Then  $e(G) \leq e(T_{k-1,n})$ , with equality if and only if  $G \cong T_{k-1,n}$ .

**Proof.** We give an induction proof on  $k$ . For  $k = 2$ , the hypothesis that  $G$  contains no  $K_k$  implies that  $G$  has no edges, so the inequality holds; the Turán graph  $T_{1,n}$  is a “1-partite” with  $n$  vertices and no edges, so that the equality holds. For the induction hypothesis, suppose the claim holds for all positive integers greater than or equal to 2 and less than  $k$  (so we are taking  $k \geq 3$  now). Let  $G$  be a simple graph which contains no  $K_k$ . Choose a vertex  $x$  of  $G$  of maximum degree  $\Delta$ , set  $X = N(x)$  (the set of neighbors of  $x$ ), and set  $Y = V \setminus X$ . Then  $e(G) = e(X) + e(X, Y) + e(Y)$  (recall that  $e(X)$  is the number of edges in  $G[X]$  and  $e(X, Y)$  is the number of edges in the bipartite graph  $G[X, Y]$ ). Since  $G$  contains no  $K_k$  by hypothesis, then  $G[X]$  contains no  $K_{k-1}$  (for, if it did, then  $G[X \cup \{x\}] = G[N(x) \cup \{x\}]$  would contain a  $K_k$ ).

## Theorem 12.3. Turán's Theorem (continued)

**Proof (continued).** So by the induction hypothesis,  $e(X) \leq e(T_{k-2,\Delta})$  with equality if and only if  $G[X] \cong T_{k-2,\Delta}$ . Since  $Y = V \setminus X$  then each edge of  $G$  incident with a vertex of  $Y$  belongs to either  $E(X, Y)$  (when the edge is also incident to a vertex in  $X$ ) or  $E(Y)$  (when both ends of the edge are in  $Y = V \setminus X$ ), then  $e(X, Y) + e(Y) \leq \Delta(n - \Delta)$  with equality if and only if  $Y$  is a stable set all members of which have degree  $\Delta$  (by Exercise 12.2.A). So

$$e(G) = e(X) + e(X, Y) + e(Y) \leq e(T_{k-2,\Delta}) + \Delta(n - \Delta),$$

and  $e(G) \leq e(H)$  where  $H$  is the graph obtained from a copy of  $T_{k-2,\Delta}$  (on  $\Delta$  vertices) by adding a stable set of  $n - \Delta$  vertices and joining each vertex of this set to each vertex of  $T_{k-2,\Delta}$ . Observe that  $H$  is then a complete  $(k - 1)$ -partite graph on  $(n - \Delta) + \Delta = n$  vertices. By Exercise 1.1.11(a),  $e(H) \leq e(T_{k-1,n})$  with equality if and only if  $H \cong T_{k-1,n}$ . Therefore  $e(G) \leq e(H) \leq e(T_{k-1,n})$  with equality if and only if  $G \cong H \cong T_{k-1,n}$ , as claimed.  $\square$

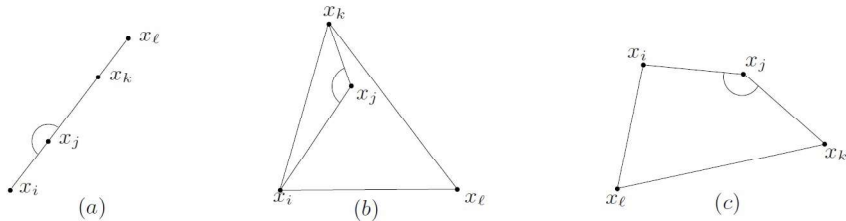
## Theorem 12.4

**Theorem 12.4.** Let  $S$  be a finite set of diameter one in the plane. Then the number of pairs of points of  $S$  whose distance is greater than  $1/\sqrt{2}$  is at most  $\lfloor n^2/3 \rfloor$ , where  $n = |S|$ . Moreover, for each  $n \geq 2$ , there is a set of  $n$  points of diameter one in which exactly  $\lfloor n^2/3 \rfloor$  pairs of points are at distance greater than  $1/\sqrt{2}$ .

**Proof.** Let  $S = \{x_1, x_2, \dots, x_n\}$  be a finite set of points in the plane with diameter 1. Consider the graph  $G$  with vertex set  $S$  and edge set  $\{x_i x_j \mid d(x_i, x_j) > 1/\sqrt{2}\}$ , where  $d(x_i, x_j)$  denotes the Euclidean distance between  $x_i$  and  $x_j$  as points in the Cartesian plane. We'll show that  $G$  cannot contain a copy of  $K_4$ . The convex hull determined by four points is either a line, a triangle, or a quadrilateral (see Figure 12.9).

## Theorem 12.4 (continued 1)

**Proof (continued).**



In each case, some three of the points, say  $x_i, x_j, x_k$ , form an angle  $\widehat{x_i x_j x_k}$  of at least  $90^\circ$ . If  $d(x_i, x_j) > 1/\sqrt{2}$  and  $d(x_j, x_k) > 1/\sqrt{2}$ , then by the Law of Cosines (since  $90^\circ \leq \widehat{x_i x_j x_k} \leq 180^\circ$ )

$$\begin{aligned} (d(x_i, x_k))^2 &= (d(x_i, x_j))^2 + (d(x_j, x_k))^2 - 2d(x_i, x_j)d(x_j, x_k)\cos(\widehat{x_i x_j x_k}) \\ &\geq (d(x_i, x_j))^2 + (d(x_j, x_k))^2 > (1/\sqrt{2})^2 + (1/\sqrt{2})^2 = 1. \end{aligned}$$

But this is a contradiction to the fact that the diameter of  $S$  is at most 1.

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## Theorem 12.4 (continued 2)

**Proof (continued).** So for any four points in  $S$ , at least two of the points cannot be adjacent in  $G$  (the points  $x_i$  and  $x_k$ , as labeled in Figure 12.9). Hence,  $G$  cannot contain a copy of  $K_2$ . By Turán's Theorem (Theorem 12.7) with  $k = 4$ , we have that  $e(G) \leq e(T_{3,n})$ . By Exercise 1.1.11,  $e(T_{3,n}) = \lfloor n^2/3 \rfloor$  so that  $e(G) \leq \lfloor n^2/3 \rfloor$ , as claimed.

Next, we construct a set such that exactly  $\lfloor n^2/3 \rfloor$  pairs of points are at a distance greater than  $1/\sqrt{2}$  apart. Choose  $r$  such that  $0 < r < (1 - 1/\sqrt{2})/4$ . Consider three circles of radius  $r$  whose centers are  $1 - 2r$  from one another. See Figure 12.10.

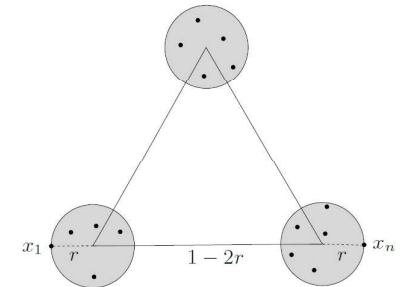


Figure 12.10

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## Theorem 12.4 (continued 3)

**Theorem 12.4.** Let  $S$  be a finite set of diameter one in the plane. Then the number of pairs of points of  $S$  whose distance is greater than  $1/\sqrt{2}$  is at most  $\lfloor n^2/3 \rfloor$ , where  $n = |S|$ . Moreover, for each  $n \geq 2$ , there is a set of  $n$  points of diameter one in which exactly  $\lfloor n^2/3 \rfloor$  pairs of points are at distance greater than  $1/\sqrt{2}$ .

**Proof (continued).** Set  $p = \lfloor n/3 \rfloor$ . Place points  $x_1, x_2, \dots, x_p$  in one circle, points  $x_{p+1}, x_{p+2}, \dots, x_{2p}$  in another, and points  $x_{2p+1}, x_{2p+2}, \dots, x_n$  in the third circle. So so in such a way that  $d(x_1, x_n) = 1$  and  $x_2, x_3, \dots, x_{n-1}$  are in the interiors of their circles. Notice from the geometry that any two points are at most 1 unit apart (and the diameter of the set of points is 1). Two points in different circles are at a distance greater than  $1 - 4r > 1 - (1 - 1/\sqrt{2}) = 1/\sqrt{2}$  apart (and only if the points are in different circles). There are  $p^2 + 2p(n - 2p) = \lfloor n/3 \rfloor^2 + 2\lfloor n/3 \rfloor(\lceil n/3 \rceil) = \lfloor n^2/3 \rfloor$  pairs of points at least  $1/\sqrt{2}$  apart, and hence  $\lfloor n^2/3 \rfloor$  edges of  $G$ , as claimed.  $\square$

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