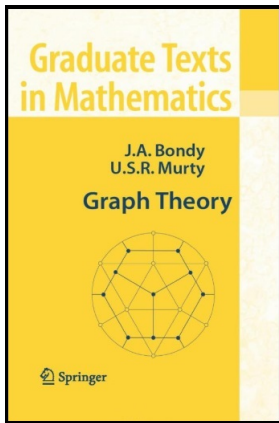


# Graph Theory

## Chapter 14. Vertex Colourings

### 14.1. Chromatic Number—Proofs of Theorems



# Table of contents

- 1 Lemma 14.1.A
- 2 Theorem 14.4. Brooks' Theorem
- 3 Theorem 14.5. The Gallai-Roy Theorem

# Lemma 14.1.A

**Lemma 14.1.A.** The number of colours needed in the Greedy Colouring Heuristic (Heuristic 14.3) is at most  $\Delta + 1$ . Therefore, for any graph  $G$ ,  $\chi(G) \leq \Delta + 1$ .

**Proof.** Let  $G$  be a graph. At Step 2 of the heuristic, when vertex  $v$  is about to be coloured, the number of its neighbors already coloured is at most  $d(v) \leq \Delta$ . So one of the colours  $1, 2, \dots, \Delta + 1$  is available to be assigned to  $v$ . Since  $v$  is an arbitrary vertex of  $G$ , then colours  $1, 2, \dots, \Delta + 1$  are sufficient for the heuristic. So any graph  $G$  is  $\Delta + 1$  colourable and  $\chi(G) \leq \Delta + 1$ , as claimed.  $\square$

# Lemma 14.1.A

**Lemma 14.1.A.** The number of colours needed in the Greedy Colouring Heuristic (Heuristic 14.3) is at most  $\Delta + 1$ . Therefore, for any graph  $G$ ,  $\chi(G) \leq \Delta + 1$ .

**Proof.** Let  $G$  be a graph. At Step 2 of the heuristic, when vertex  $v$  is about to be coloured, the number of its neighbors already coloured is at most  $d(v) \leq \Delta$ . So one of the colours  $1, 2, \dots, \Delta + 1$  is available to be assigned to  $v$ . Since  $v$  is an arbitrary vertex of  $G$ , then colours  $1, 2, \dots, \Delta + 1$  are sufficient for the heuristic. So any graph  $G$  is  $\Delta + 1$  colourable and  $\chi(G) \leq \Delta + 1$ , as claimed. □

# Theorem 14.4. Brooks' Theorem

## Theorem 14.4. Brooks' Theorem.

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof.** First, we consider the case that  $G$  is not regular. Let  $x$  be a vertex of (minimum) degree  $\delta$  and let  $T$  be a DFS-tree of  $G$  rooted at  $x$ . We colour the vertices with colours  $1, 2, \dots, \Delta$  using the Greedy Colouring Heuristic (Heuristic 14.3). To apply it, we select at each step a leaf of the subtree of  $T$  induced by the vertices not yet coloured. That is, we use the spanning DFS-tree  $T$  to imply a linear ordering of the vertices of  $G$  based on this sequential idea of using leaves in subtrees of  $T$ . Notice that the root will be the last vertex in the ordering.

# Theorem 14.4. Brooks' Theorem

## Theorem 14.4. Brooks' Theorem.

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof.** First, we consider the case that  $G$  is not regular. Let  $x$  be a vertex of (minimum) degree  $\delta$  and let  $T$  be a DFS-tree of  $G$  rooted at  $x$ . We colour the vertices with colours  $1, 2, \dots, \Delta$  using the Greedy Colouring Heuristic (Heuristic 14.3). To apply it, we select at each step a leaf of the subtree of  $T$  induced by the vertices not yet coloured. That is, we use the spanning DFS-tree  $T$  to imply a linear ordering of the vertices of  $G$  based on this sequential idea of using leaves in subtrees of  $T$ . Notice that the root will be the last vertex in the ordering.

When a vertex  $v \neq x$  is about to be coloured by the heuristic, it is adjacent in  $T$  to at least one uncoloured vertex and so is adjacent in  $G$  to at most  $d(v) - 1$  coloured vertices (and  $d(v) - 1 \leq \Delta - 1$ ). So vertex  $v \neq x$  can be assigned one of the colours  $1, 2, \dots, \Delta$ .

# Theorem 14.4. Brooks' Theorem

## Theorem 14.4. Brooks' Theorem.

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof.** First, we consider the case that  $G$  is not regular. Let  $x$  be a vertex of (minimum) degree  $\delta$  and let  $T$  be a DFS-tree of  $G$  rooted at  $x$ . We colour the vertices with colours  $1, 2, \dots, \Delta$  using the Greedy Colouring Heuristic (Heuristic 14.3). To apply it, we select at each step a leaf of the subtree of  $T$  induced by the vertices not yet coloured. That is, we use the spanning DFS-tree  $T$  to imply a linear ordering of the vertices of  $G$  based on this sequential idea of using leaves in subtrees of  $T$ . Notice that the root will be the last vertex in the ordering.

When a vertex  $v \neq x$  is about to be coloured by the heuristic, it is adjacent in  $T$  to at least one uncoloured vertex and so is adjacent in  $G$  to at most  $d(v) - 1$  coloured vertices (and  $d(v) - 1 \leq \Delta - 1$ ). So vertex  $v \neq x$  can be assigned one of the colours  $1, 2, \dots, \Delta$ .

## Theorem 14.4 (continued 1)

**Proof (continued).** Hence  $G - x$  can be properly coloured with  $\Delta$  colours. We chose root  $x$  to satisfy  $d(x) = \delta \leq \Delta - 1$  (notice that  $\delta \neq \Delta$  since  $G$  is not regular; this is where we use the fact that  $G$  is not regular). So  $x$  can also be assigned one of the colours  $1, 2, \dots, \Delta$ . So the Greedy Colouring Heuristic applied in this way (with the linear ordering given by the DFS-tree in fact, any spanning tree rooted at a vertex of degree  $\delta$  could be used here) produces a  $\Delta$ -colouring of  $G$ . So if  $G$  is not regular, then the claim holds.

Second we consider the case that  $G$  is regular. If  $G$  has a cut vertex  $x$  then  $G = G_1 \cup G_2$  where  $G_1$  and  $G_2$  are connected and  $G_1 \cap G_2 = \{x\}$ . Because the degree of  $x$  in  $G_1$  and in  $G_2$  is less than  $\Delta(G)$  (since  $G$  is regular then all vertices of  $G$  are of degree  $\Delta$ ), so neither  $G_1$  nor  $G_2$  is regular. So by the “not regular” case above,  $\chi(G_i) \leq \Delta(G_i) = \Delta(G)$  for  $i \in \{1, 2\}$ . Then by Exercise 14.1.2 we have  $\chi(G) = \max\{\chi(G_1), \chi(G_2)\} \leq \Delta(G)$ . So the result holds for  $G$  regular with a cut vertex.



## Theorem 14.4 (continued 1)

**Proof (continued).** Hence  $G - x$  can be properly coloured with  $\Delta$  colours. We chose root  $x$  to satisfy  $d(x) = \delta \leq \Delta - 1$  (notice that  $\delta \neq \Delta$  since  $G$  is not regular; this is where we use the fact that  $G$  is not regular). So  $x$  can also be assigned one of the colours  $1, 2, \dots, \Delta$ . So the Greedy Colouring Heuristic applied in this way (with the linear ordering given by the DFS-tree in fact, any spanning tree rooted at a vertex of degree  $\delta$  could be used here) produces a  $\Delta$ -colouring of  $G$ . So if  $G$  is not regular, then the claim holds.

Second we consider the case that  $G$  is regular. If  $G$  has a cut vertex  $x$  then  $G = G_1 \cup G_2$  where  $G_1$  and  $G_2$  are connected and  $G_1 \cap G_2 = \{x\}$ . Because the degree of  $x$  in  $G_1$  and in  $G_2$  is less than  $\Delta(G)$  (since  $G$  is regular then all vertices of  $G$  are of degree  $\Delta$ ), so neither  $G_1$  nor  $G_2$  is regular. So by the “not regular” case above,  $\chi(G_i) \leq \Delta(G_i) = \Delta(G)$  for  $i \in \{1, 2\}$ . Then by Exercise 14.1.2 we have  $\chi(G) = \max\{\chi(G_1), \chi(G_2)\} \leq \Delta(G)$ . So the result holds for  $G$  regular with a cut vertex.

## Theorem 14.4 (continued 2)

**Proof (continued).** Hence, without loss of generality we can assume that  $G$  is regular and does not have a cut vertex (that is,  $G$  is regular and 2-connected).

If every DFS-tree of  $G$  is a Hamilton path rooted at one of its ends, then by Exercise 6.1.11 (as mentioned above in the notes)  $G$  is a cycle, a complete graph, or a complete bipartite graph  $K_{n,n}$ . By hypothesis,  $G$  is neither an odd cycle nor a complete graph. Since  $\chi(K_{n,n}) = 2 \leq \Delta(G)$  then the result holds for such graphs. (We use the fact that  $T$  is a DFS-tree for the first time here.)

## Theorem 14.4 (continued 2)

**Proof (continued).** Hence, without loss of generality we can assume that  $G$  is regular and does not have a cut vertex (that is,  $G$  is regular and 2-connected).

If every DFS-tree of  $G$  is a Hamilton path rooted at one of its ends, then by Exercise 6.1.11 (as mentioned above in the notes)  $G$  is a cycle, a complete graph, or a complete bipartite graph  $K_{n,n}$ . By hypothesis,  $G$  is neither an odd cycle nor a complete graph. Since  $\chi(K_{n,n}) = 2 \leq \Delta(G)$  then the result holds for such graphs. (We use the fact that  $T$  is a DFS-tree for the first time here.)

Finally, suppose  $G$  is regular, 2-connected, that  $T$  is a DFS-tree of  $G$ , but that  $T$  is not a path. Let  $x$  be a vertex of  $T$  with at least two children,  $y$  and  $z$  (such  $x$  exists since  $T$  is not a path; for the genealogical terminology, which is based on the rooted spanning tree, see [Section 6.1. Tree Search](#)). Since  $G$  is 2-connected, then both  $G - y$  and  $G - z$  are connected.

## Theorem 14.4 (continued 2)

**Proof (continued).** Hence, without loss of generality we can assume that  $G$  is regular and does not have a cut vertex (that is,  $G$  is regular and 2-connected).

If every DFS-tree of  $G$  is a Hamilton path rooted at one of its ends, then by Exercise 6.1.11 (as mentioned above in the notes)  $G$  is a cycle, a complete graph, or a complete bipartite graph  $K_{n,n}$ . By hypothesis,  $G$  is neither an odd cycle nor a complete graph. Since  $\chi(K_{n,n}) = 2 \leq \Delta(G)$  then the result holds for such graphs. (We use the fact that  $T$  is a DFS-tree for the first time here.)

Finally, suppose  $G$  is regular, 2-connected, that  $T$  is a DFS-tree of  $G$ , but that  $T$  is not a path. Let  $x$  be a vertex of  $T$  with at least two children,  $y$  and  $z$  (such  $x$  exists since  $T$  is not a path; for the genealogical terminology, which is based on the rooted spanning tree, see [Section 6.1. Tree Search](#)). Since  $G$  is 2-connected, then both  $G - y$  and  $G - z$  are connected.

## Theorem 14.4 (continued 3)

**Theorem 14.4. Brooks' Theorem.**

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof (continued).** Thus  $y$  and  $z$  are either leaves of  $T$  or have proper descendants in  $T$ . If  $y$  (respectively  $z$ ) is a leaf of  $T$  then  $G - y$  (respectively  $G - z$ ) is connected. In the event that  $y$  or  $z$  have proper descendants in  $T$ , we partition the vertices of  $G$  into three sets: those that are descendants of  $y$ , those that are descendants of  $z$ , and those that are neither descendants of  $y$  nor descendants of  $z$ . These three sets induce three subtrees of  $T$ : the subtree  $T_y$  of  $T$  rooted at  $y$  induced by the descendants of  $y$ , the subtree  $T_z$  of  $T$  rooted at  $z$  induced by the descendants of  $z$ , and the subtree  $T_r$  of  $T$  induced by the other vertices. Notice that  $T_r$  results from  $T$  by “pruning”  $T$  by removing edge  $xy$  and  $T_x$ , and removing edge  $xz$  and  $T_z$ . See the figure on the next slide.

## Theorem 14.4 (continued 3)

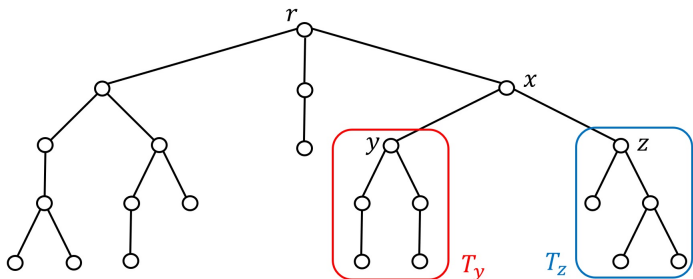
**Theorem 14.4. Brooks' Theorem.**

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof (continued).** Thus  $y$  and  $z$  are either leaves of  $T$  or have proper descendants in  $T$ . If  $y$  (respectively  $z$ ) is a leaf of  $T$  then  $G - y$  (respectively  $G - z$ ) is connected. In the event that  $y$  or  $z$  have proper descendants in  $T$ , we partition the vertices of  $G$  into three sets: those that are descendants of  $y$ , those that are descendants of  $z$ , and those that are neither descendants of  $y$  nor descendants of  $z$ . These three sets induce three subtrees of  $T$ : the subtree  $T_y$  of  $T$  rooted at  $y$  induced by the descendants of  $y$ , the subtree  $T_z$  of  $T$  rooted at  $z$  induced by the descendants of  $z$ , and the subtree  $T_r$  of  $T$  induced by the other vertices. Notice that  $T_r$  results from  $T$  by “pruning”  $T$  by removing edge  $xy$  and  $T_x$ , and removing edge  $xz$  and  $T_z$ . See the figure on the next slide.

## Theorem 14.4 (continued 4)

Proof (continued).



In Exercise 14.1.A it is to be shown, using  $T_r$ ,  $T_y$ , and  $T_z$ , that  $G' = G - \{y, z\}$  is connected. Since  $G$  is regular and to create  $G'$ , we removed two vertices from  $G$ , then the most the degree of a vertex can decrease from  $G$  to  $G'$  is 2, and  $x$  satisfies  $d_G(x) - 2 = d_{G'}(x)$  so that  $x$  is a vertex of (minimum) degree  $\delta$  in  $G'$ . Also,  $G'$  is not regular. Let  $T'$  be a DFS-tree rooted at  $x$  in  $G'$ .

## Theorem 14.4 (continued 5)

**Theorem 14.4. Brooks' Theorem.**

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof (continued).** Notice that  $y$  and  $z$  are not related (recall that two vertices are “related” if one is an ancestor of the other) in  $T$ . By Theorem 6.6, for  $T$  a DFS-tree of  $G$  every edge of  $G$  joins vertices which are related, so  $y$  and  $z$  are not adjacent in  $G$ . By the first part of the proof, the Greedy Colouring Heuristic lets us colour the vertices of  $T'$ , ending with the root  $x$ , giving a  $\Delta$ -colouring of  $G'$ . Since  $y$  and  $z$  are not adjacent adjacent in  $G$ , then  $d_G(y) \leq \Delta - 1$  and  $d_G(z) \leq \Delta - 1$ , so we can assign a colour  $1, 2, \dots, \Delta$  to  $y$  and such a colour to  $z$ , yielding a  $\Delta$ -colouring of  $G$ . So the case for  $G$  a regular graph, the claim holds. Therefore, the claim holds for all connected graphs that are neither an odd cycle nor a complete graph.  $\square$



## Theorem 14.4 (continued 5)

**Theorem 14.4. Brooks' Theorem.**

If  $G$  is a connected graph, and is neither an odd cycle nor a complete graph, then  $\chi \leq \Delta$ .

**Proof (continued).** Notice that  $y$  and  $z$  are not related (recall that two vertices are “related” if one is an ancestor of the other) in  $T$ . By Theorem 6.6, for  $T$  a DFS-tree of  $G$  every edge of  $G$  joins vertices which are related, so  $y$  and  $z$  are not adjacent in  $G$ . By the first part of the proof, the Greedy Colouring Heuristic lets us colour the vertices of  $T'$ , ending with the root  $x$ , giving a  $\Delta$ -colouring of  $G'$ . Since  $y$  and  $z$  are not adjacent adjacent in  $G$ , then  $d_G(y) \leq \Delta - 1$  and  $d_G(z) \leq \Delta - 1$ , so we can assign a colour  $1, 2, \dots, \Delta$  to  $y$  and such a colour to  $z$ , yielding a  $\Delta$ -colouring of  $G$ . So the case for  $G$  a regular graph, the claim holds. Therefore, the claim holds for all connected graphs that are neither an odd cycle nor a complete graph.  $\square$

# Theorem 14.5. The Gallai-Roy Theorem

## Theorem 14.5. The Gallai-Roy Theorem.

Every digraph  $D$  contains a directed path with  $\chi(D)$  vertices.

**Proof.** Let  $k$  be the number of vertices in a longest directed path of  $D$ . Consider a maximal acyclic subgraph  $D'$  of  $D$  (i.e.,  $D'$  does not contain a directed cycle and is a subgraph of  $D$  with the most arcs which satisfies this property). Because  $D'$  is a subgraph of  $D$ , each directed path in  $D'$  has at most  $k$  vertices. We  $k$ -colour  $D$  by assigning to vertex  $v$  the colour  $c(v)$ , where  $c(v) \in \{1, 2, \dots, k\}$  is the number of vertices of a longest directed path in  $D'$  starting at  $v$ . We claim this vertex colouring is proper.

# Theorem 14.5. The Gallai-Roy Theorem

## Theorem 14.5. The Gallai-Roy Theorem.

Every digraph  $D$  contains a directed path with  $\chi(D)$  vertices.

**Proof.** Let  $k$  be the number of vertices in a longest directed path of  $D$ . Consider a maximal acyclic subgraph  $D'$  of  $D$  (i.e.,  $D'$  does not contain a directed cycle and is a subgraph of  $D$  with the most arcs which satisfies this property). Because  $D'$  is a subgraph of  $D$ , each directed path in  $D'$  has at most  $k$  vertices. We  $k$ -colour  $D$  by assigning to vertex  $v$  the colour  $c(v)$ , where  $c(v) \in \{1, 2, \dots, k\}$  is the number of vertices of a longest directed path in  $D'$  starting at  $v$ . We claim this vertex colouring is proper.

Let  $(u, v)$  be an arbitrary arc of  $D$ . (1) If  $(u, v)$  is an arc of  $D'$  then let  $vPw$  be a longest directed  $v$ -path in  $D'$ . If  $u \in V(P)$  then there would be a directed cycle in  $D'$  (see the figure below), hence  $u \notin V(P)$ . Thus  $uvPw$  is a directed  $u$ -path in  $D'$  and we have  $c(u) > c(v)$ .

# Theorem 14.5. The Gallai-Roy Theorem

## Theorem 14.5. The Gallai-Roy Theorem.

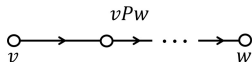
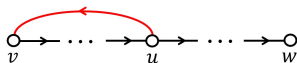
Every digraph  $D$  contains a directed path with  $\chi(D)$  vertices.

**Proof.** Let  $k$  be the number of vertices in a longest directed path of  $D$ . Consider a maximal acyclic subgraph  $D'$  of  $D$  (i.e.,  $D'$  does not contain a directed cycle and is a subgraph of  $D$  with the most arcs which satisfies this property). Because  $D'$  is a subgraph of  $D$ , each directed path in  $D'$  has at most  $k$  vertices. We  $k$ -colour  $D$  by assigning to vertex  $v$  the colour  $c(v)$ , where  $c(v) \in \{1, 2, \dots, k\}$  is the number of vertices of a longest directed path in  $D'$  starting at  $v$ . We claim this vertex colouring is proper.

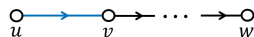
Let  $(u, v)$  be an arbitrary arc of  $D$ . (1) If  $(u, v)$  is an arc of  $D'$  then let  $vPw$  be a longest directed  $v$ -path in  $D'$ . If  $u \in V(P)$  then there would be a directed cycle in  $D'$  (see the figure below), hence  $u \notin V(P)$ . Thus  $uvPw$  is a directed  $u$ -path in  $D'$  and we have  $c(u) > c(v)$ .

## Theorem 14.5 (continued 1)

## Proof (continued).

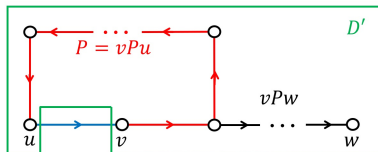
Arc in  $D'$ Longest directed  $v$ -path in  $D'$ 

For  $u \in V(P)$  then there is a **directed cycle** in  $D'$ .



If  $u \notin V(P)$ , there is a  $u$ -path in  $D'$  longer than  $vPw$ , implying  $c(u) > c(v)$ .

(2) If  $(u, v)$  is not an arc of  $D'$ , then  $D' + (u, v)$  contains a directed cycle (because  $D'$  is maximally acyclic), so  $D'$  contains a directed  $(v, u)$ -path  $P$ .

Arc in  $D$  but not in  $D'$ 

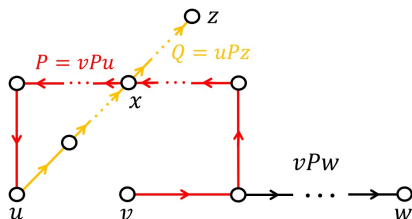
Since  $D'$  is maximally acyclic then  $D' + (u, v)$  contains a **directed cycle**.

## Theorem 14.5 (continued 2)

**Theorem 14.5. The Gallai-Roy Theorem.**

Every digraph  $D$  contains a directed path with  $\chi(D)$  vertices.

**Proof (continued).** Let  $Q$  be a longest directed  $u$ -path in  $D'$ . Because  $D'$  is acyclic,  $V(P) \cap V(Q) = \{u\}$  (for if there were a second vertex  $x$  in  $V(P) \cap V(Q)$  then there would be a directed cycle in  $D'$  from  $u$ , along  $Q$  to  $w$ , then along  $P$  back to  $u$ ; see the figure below).



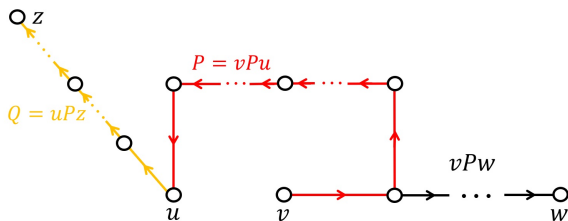
If  $u \neq x \in V(P) \cap V(Q)$  then there is a **directed cycle** in  $D'$ .

## Theorem 14.5 (continued 3)

**Theorem 14.5. The Gallai-Roy Theorem.**

Every digraph  $D$  contains a directed path with  $\chi(D)$  vertices.

**Proof (continued).**



Directed  $v$ -path  $vPuQz = PQ$  in  $D'$  is longer than the longest  $u$ -path,  $Q$ , in  $D'$ .

Thus  $PQ$  is a directed  $v$  path in  $D'$  longer than  $Q$  (see the figure above), so that  $c(v) > c(u)$ . In both cases,  $c(u) \neq c(v)$ . Since  $(u, v)$  is an arbitrary arc of  $D$ , then the colouring is proper. Since  $k \geq \chi(D)$ , then  $D$  has a directed path of length (at least)  $\chi(D)$ , as claimed.  $\square$