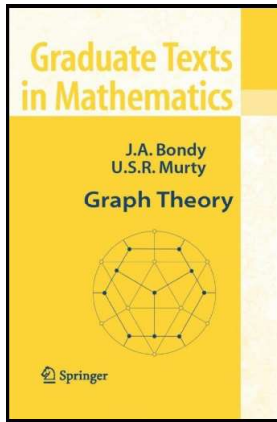


# Graph Theory

## Chapter 14. Vertex Colourings

### 14.3. Girth and Chromatic Number—Proofs of Theorems



## Theorem 14.11

**Theorem 14.11.** For each positive integer  $k$ , there exists a graph with girth at least  $k$  and chromatic number at least  $k$ .

**Proof.** Let positive integer  $k$  be given. Recall that  $\mathcal{G}_{n,p}$  denotes the probability space of all graphs on  $n$  vertices where any two given vertices of a graph are adjacent with (fixed) probability  $p$  (see [Section 13.1. Random Graphs](#)). Consider  $G \in \mathcal{G}_{n,p}$  and define  $t$  as  $t = \lceil 2p^{-1} \log n \rceil$ . By Theorem 13.6, almost surely the stability number  $\alpha$  satisfies  $\alpha(t) \leq t$ . Let  $X$  be the number of cycles of  $G$  of length less than  $k$ . The expected number of cycles of length  $i$  can be computed by first choosing a first vertex, a second vertex,  $\dots$ , and an  $i$ th vertex, which can be done in  $n(n-1)(n-2)\dots(n-i+1)$  ways. Next, we observe that any vertex can act as the “first” vertex of a cycle, so we must divide by the length of the cycle,  $i$ . Also, the order of the vertices can be reversed and still yield the same cycle so we also divide by 2.

## Theorem 14.11 (continued 1)

**Proof (continued).** Therefore, the number of possible cycles of length  $i$  are

$$\frac{n(n-1)(n-2)\dots(n-i+1)}{2i} = \frac{\binom{n}{i}}{2i} \text{ where } \binom{n}{i} \text{ denotes } \frac{n!}{(n-i)!}.$$

Now the probability that all of the necessary  $i$  edges are present to form the cycle is  $p^i$ . Hence, the expected number of cycles of length  $i$  is  $\frac{\binom{n}{i}}{2i} p^i$ .

By the linearity of expectation (see equation (13.4) in [Section 13.2. Expectation](#)), the expected number of cycles of length less than  $k$  is

$$E(X) = \sum_{i=3}^{k-1} \frac{\binom{n}{i}}{2i} p^i < \sum_{i=3}^{k-1} \frac{n^i}{1} p^i < \sum_{i=0}^k (np)^i = \frac{(np)^k - 1}{(np) - 1},$$

since  $(np)^i$  forms a geometric sequence with first term 1 (when  $i = 0$ ), last term  $(np)^k$  (when  $i = k$ ), and ratio  $(np)$  (recall that the sum of geometric sequence  $a_1, a_2, \dots, a_n$  with ratio  $r$  is  $a_1(1 - r^n)/(1 - r)$ ).

## Theorem 14.11 (continued 2)

**Proof (continued).** By Markov's Inequality (Proposition 13.4),  $P(X > n/2) < \frac{E(X)}{n/2}$ , so that  $P(X > n/2) < \frac{E(X)}{n/2} < \frac{2((np)^k - 1)}{n(np - 1)}$ . If we take  $p = n^{-(k-1)/k}$  so that  $np = n^1 n^{-(k-1)/k} = n^{1/k}$  and  $(np)^k = (n^{1/k})^k = n$  (notice that we are free to choose  $p$  to be any value in  $[0, 1]$ ; this just defines the probability space), then we have

$$P(X > n/2) < \frac{2(n-1)}{n(n^{1/k} - 1)} = \frac{2(n-1)}{n^{1+1/k} - n}.$$

So

$$\lim_{n \rightarrow \infty} P(X > n/2) \leq \lim_{n \rightarrow \infty} \left( \frac{2(n-1)}{2^{1+1/k} - n} \right) = 0.$$

That is,  $G$  almost surely has no more than  $n/2$  cycles of length less than  $k$ . So for  $n$  sufficiently large, there exists a graph  $G$  on  $v$  vertices with stability number at most  $t = \lceil 2p^{-1} \log n \rceil$  and no more than  $n/2$  cycles of length less than  $k$ .

## Theorem 14.11 (continued 3)

**Theorem 14.11.** For each positive integer  $k$ , there exists a graph with girth at least  $k$  and chromatic number at least  $k$ .

**Proof (continued).** We now modify this graph  $G$ . We delete one vertex of  $G$  from each cycle of length less than  $k$ . This means that at most  $n/2$  vertices are deleted, yielding a graph  $G'$  on at least  $n/2$  vertices with girth at least  $k$ . Recall that the stability number of a graph is the size of a largest stable set (or “independent set”). By deleting vertices from graph  $G$ , we create graph  $G'$  with a smaller stability number (deleting a vertex and all edges incident to it can only delete a vertex from some stable set and cannot add any vertices to a stable set of  $G$ ), so that  $\alpha(G') \leq \alpha(G)$ . So  $\chi(G') \leq \chi(G) \leq t$ . Since  $\chi(G') \geq v(G')/\alpha(G')$  (see equation (14.1) of Section 14.1. Chromatic Number), then  $\chi(G') \geq \frac{v(G')}{\alpha(G')} \geq \frac{n/2}{t}$ .

## Theorem 14.11 (continued 4)

**Proof (continued).** Now

$$\chi(G') \geq \frac{n}{2t} = \frac{n}{2\lceil 2p^{-1} \log n \rceil} \geq \frac{n}{2(2p^{-1} \log n + 1)}$$

and

$$\begin{aligned} \lim_{n \rightarrow \infty} \frac{\left(\frac{n}{2(2p^{-1} \log n + 1)}\right)}{\left(\frac{n^{1/k}}{8 \log n}\right)} &= \lim_{n \rightarrow \infty} \frac{8n \log n}{2n^{1/k}(2p^{-1} \log n + 1)} \\ &= \lim_{n \rightarrow \infty} \frac{4n^{1-1/k} \log n}{2p^{-1} + 1/\log n} = \lim_{n \rightarrow \infty} \frac{4n^{1-1/k}}{2p^{-1} + 1/\log n} = \infty. \end{aligned}$$

So  $\chi(G')$  can be made as large as desired by making  $n$  sufficiently large (to describe the infinite limit informally). In particular, for any positive integer  $k$ , there is a graph  $G'$  such that  $\chi(G') \geq k$  and the girth of  $G'$  is at least  $k$ , as claimed.  $\square$

## Theorem 14.12

**Theorem 14.12.** For any positive integer  $k$ , there exists a triangle-free  $k$ -chromatic graph.

**Proof.** For  $k = 1$  and  $k = 2$ , the graphs  $K_1$  and  $K_2$  have the required property. We use these as base cases in an induction proof based on the value of  $k$ . For the induction step, suppose that a triangle-free graph  $G_k$  with chromatic number  $k \geq 2$  exists. Let the vertices of  $G_k$  be  $v_1, v_2, \dots, v_n$ . Form the graph  $G_{k+1}$  from  $G_k$  as: add  $n + 1$  new vertices  $u_1, u_2, \dots, u_n, v$ , and then for  $1 \leq i \leq n$ , join  $u_i$  to the neighbors of  $v_i$  in  $G_k$  and also join  $u_i$  to  $v$ . Notice that  $u_1, u_2, \dots, u_n$  is a stable set in  $G_{k+1}$ .

As an example, if  $G_2 = K_2$  with vertices  $v_1$  and  $v_2$ , then  $G_3$  has the new vertices  $u_1, u_2, v$  with  $u_1$  adjacent to  $v_2$  and  $v$ , and  $u_2$  adjacent to  $v_1$  and  $v$  to give  $G_3$  as a 5-cycle (see Figure 14.6 left, where the labels  $v_3, v_5, v_4$  should be replaced with the labels  $u_1, u_2, v$ , respectively).

## Theorem 14.12 (continued 1)

**Proof (continued).** Also, for  $G_4$  we label the vertices of  $G_3$  as  $v_1, v_2, v_3, v_4, v_5$  and add the new vertices  $u_1, u_2, u_3, u_4, u_5, v$  with  $u_i$  adjacent to the neighbors of  $v_i$  in  $G_3$  and also adjacent to  $v$ . This gives the graph in Figure 14.6 right.

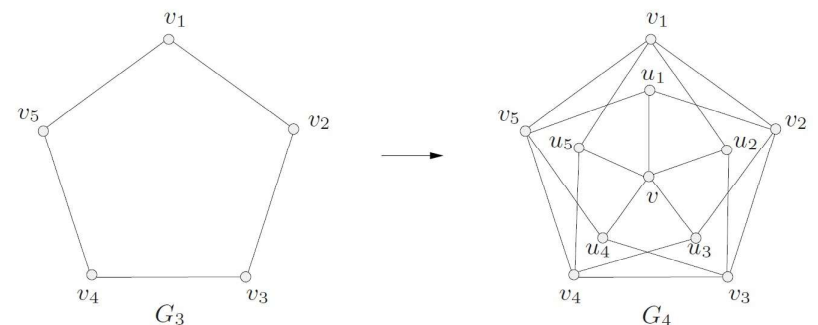


Fig. 14.6. Mycielski's construction

## Theorem 14.12 (continued 2)

**Proof (continued).** We claim that  $G_{k+1}$  is triangle-free. Since  $u_1, u_2, \dots, u_n$  is a stable set in  $G_{k+1}$ , then no triangle can contain more than one  $u_i$  and since  $v$  is only adjacent to  $u_i$ 's then it cannot be in a triangle. If  $u_i v_j v_k u_i$  were a triangle in  $G_{k+1}$  then  $v_j v_k v_i$  would be a triangle in  $G_k$  (since  $u_i$  is adjacent to the neighbors of  $v_i$ ). But this is a triangle in  $G_k$ , contradicting to the induction hypothesis. So  $G_{k+1}$  is triangle-free, as claimed.

We claim  $G_{k+1}$  is  $(k+1)$ -chromatic. First,  $G_{k+1}$  is  $(k+1)$ -colourable because  $G_k$  is  $k$ -colourable by the induction hypothesis and vertex  $u_i$  can be assigned the same colour as  $v_i$  (since  $u_i$  and  $v_i$  are not adjacent, but the neighbors of  $v_i$  are also neighbors of  $u_i$ ). Then  $v$  can be assigned a new,  $(k+1)$ -st, colour. Second, ASSUME  $G_{k+1}$  is  $k$ -colourable. The colouring restricted to the vertices  $\{v_1, v_2, \dots, v_n\}$  of  $G_k$  is a  $k$ -colouring of  $k$ -chromatic  $G_k$ . By Exercise 14.1.3(a), for each colour  $j$  there is a vertex  $v_j$  of colour  $j$  which is adjacent in  $G_k$  to vertices of every other colour.

## Theorem 14.12 (continued 3)

**Theorem 14.12.** For any positive integer  $k$ , there exists a triangle-free  $k$ -chromatic graph.

**Proof (continued).** Since  $u_i$  has precisely the same neighbors in  $G_{k+1}$  which are vertices of  $G_k$  as  $v_i$  has in  $G_k$ , then vertex  $u_i$  must also have colour  $j$ . So each of the  $k$  colours appears on at least one of the vertices  $u_i$ . But vertex  $v$  is adjacent to all of the  $u_i$  and so it cannot be assigned any of the  $k$  colours in a proper colouring of  $G_{k+1}$ , a CONTRADICTION. So the assumption that  $G_{k+1}$  is  $k$ -colourable is false. Therefore,  $G_{k+1}$  is triangle-free and has chromatic number  $k+1$ . This establishes the induction step. Therefore, by induction, the claim holds for all  $k \in \mathbb{N}$ , as needed.  $\square$