## Graph Theory

## Chapter 15. Colourings of Maps

15.3. List Colourings of Planar Graphs—Proofs of Theorems


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(i) $|L(x)|=|L(y)|=1$, where $L(x) \neq L(y)$,
(ii) $|L(v)| \geq 3$ for all $v \in V(C) \backslash\{x, y\}$, and
(iii) $|L(v)| \geq 5$ for all $v \in V(G) \backslash V(C)$.

Then $G$ is $L$-colourable.
Proof. We give an inductive proof on the number $v(G)$ of vertices of $G$ (notice that because of the triangulation condition, $v(G) \geq 3$ ). For the base case $v(G)=3$, we have $G=C$ and the result holds trivially. For the induction hypothesis, suppose the result holds for all graphs $G$ satisfying the hypotheses such that $3 \leq v(G) \leq \ell$. Suppose $G$ is a graph satisfying the hypotheses where $v(G)=\ell+1$ (so $v(G)>3)$. Let $z$ and $x^{\prime}$ be the immediate predecessors of $x$ on $C$ (see Figure 15.12(a) below).

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## Theorem 15.3.8 (continued 1)

## Proof (continued).

Consider first the case where $x^{\prime}$ has a neighbor $y^{\prime}$ on $C$ other than $x$ and $z$ (Figure 15.12(a)). In this case $C_{1}=x^{\prime} C y^{\prime} x^{\prime}$ and $C_{2}=x^{\prime} y^{\prime} C x^{\prime}$ are two cycles of $G$, and $G$ is the union of the near-triangulation $G_{1}$ consisting of $C_{1}$ together with its interior, and the neartriangulation $G_{2}$ consisting of $C_{2}$ together with its interior. Now let $L_{2}$ be the functions on $V\left(G_{2}\right)$ defined by $L_{2}\left(x^{\prime}\right)=\left\{c_{1}\left(x^{\prime}\right)\right\}, L_{2}\left(y^{\prime}\right)=\left\{c_{1}\left(y^{\prime}\right)\right\}$, and $L_{2}(v)=L(v)$ for $v \in V\left(G_{2}\right) \backslash\left\{x^{\prime}, y^{\prime}\right\}$


Fig. 15.12. (a)

Then the hypotheses of the theorem are satisfied by $G_{2}$ and $L_{2}$ (where $x^{\prime}$ and $y^{\prime}$ of $G_{2}$ play the roles of $x$ and $y$, respectively, in the hypotheses).

## Theorem 15.3.8 (continued 1)

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a neighbor $y^{\prime}$ on $C$ other than $x$ and $z$ (Figure 15.12(a)). In this case $C_{1}=x^{\prime} C y^{\prime} x^{\prime}$ and $C_{2}=x^{\prime} y^{\prime} C x^{\prime}$ are two cycles of $G$, and $G$ is the union of the near-triangulation $G_{1}$ consisting of $C_{1}$ together with its interior, and the neartriangulation $G_{2}$ consisting of $C_{2}$ together with its interior. Now let $L_{2}$ be the functions on $V\left(G_{2}\right)$ defined by $L_{2}\left(x^{\prime}\right)=\left\{c_{1}\left(x^{\prime}\right)\right\}, L_{2}\left(y^{\prime}\right)=\left\{c_{1}\left(y^{\prime}\right)\right\}$, and $L_{2}(v)=L(v)$ for $v \in V\left(G_{2}\right) \backslash\left\{x^{\prime}, y^{\prime}\right\}$.


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## Theorem 15.3.8 (continued 2)

Proof (continued). Since $v\left(G_{2}\right) \leq \ell$, by the induction hypothesis there is an $L_{2}$-colouring $c_{2}$ of $G_{2}$. By the definition of $L_{2}$, the colourings $c_{1}$ and $c_{2}$ assign the same colours to $x^{\prime}$ and $y^{\prime}$ (the only two vertices common to $G_{1}$ and $G_{2}$; we are establishing that the colouring we define on $G$ is, in the terminology of modern algebra, well-defined). Thus the function $c$ defined by $c(v)=c_{1}(v)$ for $v \in V\left(G_{1}\right)$ and $c(v)=c_{2}(v)$ for $v \in V\left(G_{2}\right) \backslash V\left(G_{1}\right)$ is an $L$-colouring of $G$. So the induction step is verified in this first case.

Consider the second case that the neighbors of $x^{\prime}$ other than $x$ and $z$ lie on a path $x P z$ internally disjoint from $C$ (such a path exists because of the triangulation property of $G$ ). See Figure 15.12(b) below. In this case, $G^{\prime}=G-x^{\prime}$ is a near-triangulation whose outer face is bounded by the cycle $C^{\prime}=x C z \stackrel{\leftarrow}{P} x$ (we need to reverse the $x P z$ path for this).

## Theorem 15.3.8 (continued 2)

Proof (continued). Since $v\left(G_{2}\right) \leq \ell$, by the induction hypothesis there is an $L_{2}$-colouring $c_{2}$ of $G_{2}$. By the definition of $L_{2}$, the colourings $c_{1}$ and $c_{2}$ assign the same colours to $x^{\prime}$ and $y^{\prime}$ (the only two vertices common to $G_{1}$ and $G_{2}$; we are establishing that the colouring we define on $G$ is, in the terminology of modern algebra, well-defined). Thus the function $c$ defined by $c(v)=c_{1}(v)$ for $v \in V\left(G_{1}\right)$ and $c(v)=c_{2}(v)$ for $v \in V\left(G_{2}\right) \backslash V\left(G_{1}\right)$ is an $L$-colouring of $G$. So the induction step is verified in this first case.

Consider the second case that the neighbors of $x^{\prime}$ other than $x$ and $z$ lie on a path $x P z$ internally disjoint from $C$ (such a path exists because of the triangulation property of $G$ ). See Figure 15.12(b) below. In this case, $G^{\prime}=G-x^{\prime}$ is a near-triangulation whose outer face is bounded by the cycle $C^{\prime}=x C_{z} \overleftarrow{P} x$ (we need to reverse the $x P z$ path for this).

## Theorem 15.3.8 (continued 3)

## Proof (continued).

In this case, $G^{\prime}=G-x^{\prime}$ is a near-triangulation whose outer face is bounded by the cycle $C^{\prime}=x C_{z} \overleftarrow{P} x$ (we need to reverse the $x P z$ path for this). By hypothesis, $\left|L\left(x^{\prime}\right)\right| \geq 3$ and $|L(x)|=1$, so $\left|L\left(x^{\prime}\right) \backslash L(x)\right| \geq 2$. Let $\alpha$ and $\beta$ be two distinct colours in $L\left(x^{\prime}\right) \backslash L(x)$. Define $L^{\prime}$ on $V\left(G^{\prime}\right)$ as $L^{\prime}(v)=L(v) \backslash\{\alpha, \beta\} C$ for $v \in V(P) \backslash\{x, z\}$ and $L^{\prime}(v)=L(v)$ for all other vertices of $G^{\prime}$. Then $L^{\prime}$ satisfies the hypotheses of the theorem (notice that for


Fig. 15.12.(b) $v \in V(P) \backslash\{x, z\}$ we have $\left|L^{\prime}(v)\right|=|L(v) \backslash\{\alpha, \beta\}| \geq|L(v)|-\mid\{\alpha, \beta\}$ $\geq 5-2=3$, as needed since such $v$ are on cycle $\left.C^{\prime}\right)$. By the induction hypothesis (since $v\left(G^{\prime}\right)=\ell$ ), there is an $L^{\prime}$-colouring $c^{\prime}$ of $G^{\prime}$.

## Theorem 15.3.8 (continued 3)

## Proof (continued).

In this case, $G^{\prime}=G-x^{\prime}$ is a near-triangulation whose outer face is bounded by the cycle $C^{\prime}=x C_{z} \overleftarrow{P} x$ (we need to reverse the $x P z$ path for this).
By hypothesis, $\left|L\left(x^{\prime}\right)\right| \geq 3$ and $|L(x)|=1$, so $\left|L\left(x^{\prime}\right) \backslash L(x)\right| \geq 2$. Let $\alpha$ and $\beta$ be two distinct colours in $L\left(x^{\prime}\right) \backslash L(x)$.


Fig. 15.12.(b) the hypotheses of the theorem (notice that for $v \in V(P) \backslash\{x, z\}$ we have $\left|L^{\prime}(v)\right|=|L(v) \backslash\{\alpha, \beta\}| \geq|L(v)|-|\{\alpha, \beta\}|$ $\geq 5-2=3$, as needed since such $v$ are on cycle $\left.C^{\prime}\right)$. By the induction hypothesis (since $v\left(G^{\prime}\right)=\ell$ ), there is an $L^{\prime}$-colouring $c^{\prime}$ of $G^{\prime}$.

## Theorem 15.3.8 (continued 4)

Theorem 15.3.8. Let $G$ be a near-triangulation whose outer face is bounded by a cycle of $C$, and let $x$ and $y$ be consecutive vertices of $C$. Suppose that $L: V \rightarrow 2^{\mathbb{N}}$ (where $2^{\mathbb{N}}$ denotes the power set of $\mathbb{N}$ ) is an assignment of lists of colours to the vertices of $G$ such that:
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(iii) $|L(v)| \geq 5$ for all $v \in V(G) \backslash V(C)$.

Then $G$ is $L$-colourable.
Proof (continued). One of the colours $\alpha$ and $\beta$ is different from $c^{\prime}(z)$ (and by the choice no other neighbors of $x^{\prime}$ in $G$ is colour $\alpha$ or $\beta$ ), so we can assign that colour that colour to $x^{\prime}$. This extension of $c^{\prime}$ is an $L$-colouring $c$ of $G$. So the induction step is verified in this second case. So, by mathematical induction, the result holds for all graphs satisfying the hypotheses.

