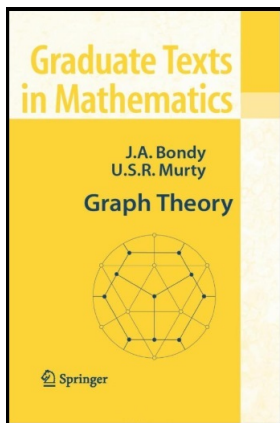


# Graph Theory

## Chapter 9. Connectivity

### 9.1. Vertex Connectivity—Proofs of Theorems



# Table of contents

- 1 Theorem 9.1. Menger's Theorem (Undirected, Vertex Version)
- 2 Theorem 9.2

# Theorem 9.1. Menger's Theorem

**Theorem 9.1.** MENGER'S THEOREM (UNDIRECTED, VERTEX VERSION).

In any graph  $G(x, y)$ , where  $x$  and  $y$  are nonadjacent, the maximum number of pairwise internally disjoint  $xy$ -paths is equal to the minimum number of vertices in an  $xy$ -vertex-cut, that is,  $p(x, y) = c(x, y)$ .

**Proof.** The proof is based on induction on the number of edges of  $G$ . First, if  $G$  has 3 vertices then  $G$  is a path of length 2 with  $x$  and  $y$  as its ends and  $p(x, y) = c(x, y) = 1$ ; this is the base case. Now suppose the result holds for all graphs on less than  $m$  edges and let  $e(G) = m$ .

# Theorem 9.1. Menger's Theorem

**Theorem 9.1.** MENGER'S THEOREM (UNDIRECTED, VERTEX VERSION).

In any graph  $G(x, y)$ , where  $x$  and  $y$  are nonadjacent, the maximum number of pairwise internally disjoint  $xy$ -paths is equal to the minimum number of vertices in an  $xy$ -vertex-cut, that is,  $p(x, y) = c(x, y)$ .

**Proof.** The proof is based on induction on the number of edges of  $G$ . First, if  $G$  has 3 vertices then  $G$  is a path of length 2 with  $x$  and  $y$  as its ends and  $p(x, y) = c(x, y) = 1$ ; this is the base case. Now suppose the result holds for all graphs on less than  $m$  edges and let  $e(G) = m$ .

First, "for convenience" we denote  $k = c(x, y) = c_G(x, y)$ . Now any  $xy$ -path in  $G$  must meet at least one vertex of an  $xy$ -cut (or else the  $xy$ -cut is not an  $xy$ -cut since there would be a path connecting  $x$  and  $y$  after the deletion of the  $xy$ -cut). So in a family  $\mathcal{P}$  of internally disjoint  $xy$ -paths, the paths meet an  $xy$ -cut in at least  $|\mathcal{P}|$  vertices. Hence  $|\mathcal{P}| \leq k$  and  $p(x, y) = p_G(x, y) \leq k = c(x, y)$ .

# Theorem 9.1. Menger's Theorem

**Theorem 9.1.** MENGER'S THEOREM (UNDIRECTED, VERTEX VERSION).

In any graph  $G(x, y)$ , where  $x$  and  $y$  are nonadjacent, the maximum number of pairwise internally disjoint  $xy$ -paths is equal to the minimum number of vertices in an  $xy$ -vertex-cut, that is,  $p(x, y) = c(x, y)$ .

**Proof.** The proof is based on induction on the number of edges of  $G$ . First, if  $G$  has 3 vertices then  $G$  is a path of length 2 with  $x$  and  $y$  as its ends and  $p(x, y) = c(x, y) = 1$ ; this is the base case. Now suppose the result holds for all graphs on less than  $m$  edges and let  $e(G) = m$ .

First, "for convenience" we denote  $k = c(x, y) = c_G(x, y)$ . Now any  $xy$ -path in  $G$  must meet at least one vertex of an  $xy$ -cut (or else the  $xy$ -cut is not an  $xy$ -cut since there would be a path connecting  $x$  and  $y$  after the deletion of the  $xy$ -cut). So in a family  $\mathcal{P}$  of internally disjoint  $xy$ -paths, the paths meet an  $xy$ -cut in at least  $|\mathcal{P}|$  vertices. Hence  $|\mathcal{P}| \leq k$  and  $p(x, y) = p_G(x, y) \leq k = c(x, y)$ .

## Theorem 9.1. Menger's Theorem (continued 1)

**Proof (continued).** So we need to show that  $p_G(x, y) \geq k = c(x, y)$ . We may assume that there is an edge  $e = uv$  incident to neither  $x$  nor  $y$  (otherwise every  $xy$ -path is of length two and then the number of internally disjoint paths equals the size of the  $xy$ -cut, since each vertex in the  $xy$ -cut is the center of one of the internally disjoint 2-paths and conversely). So  $H = G \setminus e$  so that  $e(H) = e(G) - 1 = m - 1$ .

Because  $H$  is a subgraph of  $G$  then  $p_H(x, y) \leq p_G(x, y)$ . By the induction hypothesis,  $p_H(x, y) = c_H(x, y)$ . Now an  $xy$ -vertex-cut of  $H = G \setminus e$  along with either end of  $e$  is an  $xy$ -vertex-cut of  $G$  (since the only difference between  $G$  and  $H$  is the edge  $e$ , and when an  $xy$ -vertex-cut of  $H$  along with an end of  $e$  is deleted from  $G$ , the result is graph  $H$  with the  $xy$ -vertex-cut of  $H$  deleted). Since  $c_H(x, y)$  denotes the minimum size of a vertex cut separating  $x$  and  $y$  in  $H$  then  $c_G(x, y)$  is at most  $c_H(x, y) + 1$ ; i.e.,  $c_G(x, y) \leq c_H(x, y) + 1$ . Therefore

$$p_G(x, y) \geq p_H(x, y) = c_H(x, y) \geq c_G(x, y) - 1 = k - 1. \quad (*)$$

## Theorem 9.1. Menger's Theorem (continued 1)

**Proof (continued).** So we need to show that  $p_G(x, y) \geq k = c(x, y)$ . We may assume that there is an edge  $e = uv$  incident to neither  $x$  nor  $y$  (otherwise every  $xy$ -path is of length two and then the number of internally disjoint paths equals the size of the  $xy$ -cut, since each vertex in the  $xy$ -cut is the center of one of the internally disjoint 2-paths and conversely). So  $H = G \setminus e$  so that  $e(H) = e(G) - 1 = m - 1$ .

Because  $H$  is a subgraph of  $G$  then  $p_H(x, y) \leq p_G(x, y)$ . By the induction hypothesis,  $p_H(x, y) = c_H(x, y)$ . Now an  $xy$ -vertex-cut of  $H = G \setminus e$  along with either end of  $e$  is an  $xy$ -vertex-cut of  $G$  (since the only difference between  $G$  and  $H$  is the edge  $e$ , and when an  $xy$ -vertex-cut of  $H$  along with an end of  $e$  is deleted from  $G$ , the result is graph  $H$  with the  $xy$ -vertex-cut of  $H$  deleted). Since  $c_H(x, y)$  denotes the minimum size of a vertex cut separating  $x$  and  $y$  in  $H$  then  $c_G(x, y)$  is at most  $c_H(x, y) + 1$ ; i.e.,  $c_G(x, y) \leq c_H(x, y) + 1$ . Therefore

$$p_G(x, y) \geq p_H(x, y) = c_H(x, y) \geq c_G(x, y) - 1 = k - 1. \quad (*)$$

## Theorem 9.1. Menger's Theorem (continued 2)

**Proof (continued).** ...

$$p_G(x, y) \geq p_H(x, y) = c_H(x, y) \geq c_G(x, y) - 1 = k - 1. \quad (*)$$

As discussed above,  $p_G(x, y) \leq k$  so if  $p_G(x, y) \geq k$  then we have  $p_G(x, y) = k = c_G(x, y)$  and we are done. So without loss of generality we can suppose the inequalities in (\*) are equalities so that, in particular,  $c_H(x, y) = k - 1$ . So let  $S = \{v_1, v_2, \dots, v_{k-1}\}$  be a minimum  $xy$ -vertex-cut in  $H$ . Let  $X$  be the set of vertices reachable from  $x$  in  $H - S$  (so  $y \notin X$ ), and let  $Y$  be the set of vertices reachable from  $y$  in  $H - S$  (so  $x \notin Y$ ). Because  $|S| = k - 1 < k$ , the set  $S$  is not an  $xy$ -vertex-cut of  $G$ , so there is an  $xy$ -path in  $G - S$ . This path necessarily includes edge  $e$  (or else it would be an  $xy$ -path in  $H - S$  and  $S$  would not be an  $xy$ -vertex-cut of  $H$ ). So one end of  $e$  is reachable from  $x$  in  $H - S$  and the other end of  $e$  is reachable from  $y$  in  $H - S$ ; say  $u \in X$  and  $v \in Y$ .



## Theorem 9.1. Menger's Theorem (continued 2)

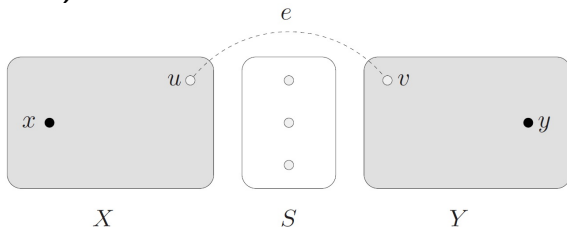
**Proof (continued).** ...

$$p_G(x, y) \geq p_H(x, y) = c_H(x, y) \geq c_G(x, y) - 1 = k - 1. \quad (*)$$

As discussed above,  $p_G(x, y) \leq k$  so if  $p_G(x, y) \geq k$  then we have  $p_G(x, y) = k = c_G(x, y)$  and we are done. So without loss of generality we can suppose the inequalities in (\*) are equalities so that, in particular,  $c_H(x, y) = k - 1$ . So let  $S = \{v_1, v_2, \dots, v_{k-1}\}$  be a minimum  $xy$ -vertex-cut in  $H$ . Let  $X$  be the set of vertices reachable from  $x$  in  $H - S$  (so  $y \notin X$ ), and let  $Y$  be the set of vertices reachable from  $y$  in  $H - S$  (so  $x \notin Y$ ). Because  $|S| = k - 1 < k$ , the set  $S$  is not an  $xy$ -vertex-cut of  $G$ , so there is an  $xy$ -path in  $G - S$ . This path necessarily includes edge  $e$  (or else it would be an  $xy$ -path in  $H - S$  and  $S$  would not be an  $xy$ -vertex-cut of  $H$ ). So one end of  $e$  is reachable from  $x$  in  $H - S$  and the other end of  $e$  is reachable from  $y$  in  $H - S$ ; say  $u \in X$  and  $v \in Y$ .

## Theorem 9.1. Menger's Theorem (continued 3)

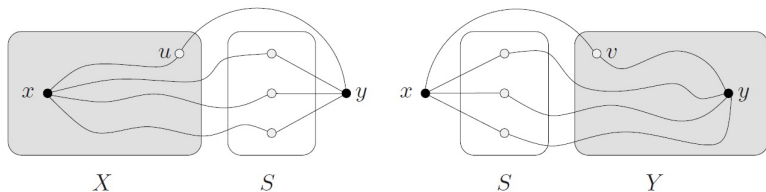
Proof (continued).

**Figure 9.3(a).** Sets  $X$  and  $Y$ , and edge  $e$ .

Now consider the graph  $G/Y$  obtained from  $G$  by shrinking  $Y$  to a single vertex  $y$ . Every  $xy$ -vertex cut  $T$  in  $G/Y$  is also an  $xy$ -vertex cut in  $G$ , because if  $P$  were an  $xy$ -path in  $G$  which avoids  $T$  then the subgraph  $P/Y$  of  $G/Y$  would contain an  $xy$ -path in  $G/Y$  which avoids  $T$  contradicting the property of  $T$  as an  $xy$ -vertex-cut in  $G/Y$ . So the minimum size of an  $xy$ -vertex-cut in  $G/Y$  is at least as big as the minimum size of an  $xy$ -vertex-cut in  $G$ :  $c_{G/Y} \geq c_G(x, y) = k$ .

## Theorem 9.1. Menger's Theorem (continued 4)

**Proof (continued).** On the other hand,  $c_{G/Y}(x, y) \leq k$  because  $S \cup \{u\}$  (where  $|S \cup \{u\}| = k$ ) is an  $xy$ -vertex-cut of  $G/Y$ :

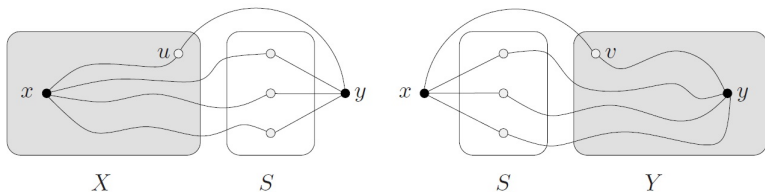


**Figure 9.3(b).** Graphs  $G/Y$  (left) and  $G/X$  (right).

So  $S \cup \{u\}$  is a minimum  $xy$ -vertex-cut of  $G/Y$  and  $c_{G/Y}(x, y) = k$ . To conclude the proof, we will find  $k$  internally disjoint  $xy$ -paths in  $G/Y$  and from these produce  $k$  internally disjoint  $xy$ -paths in  $G$ .

## Theorem 9.1. Menger's Theorem (continued 5)

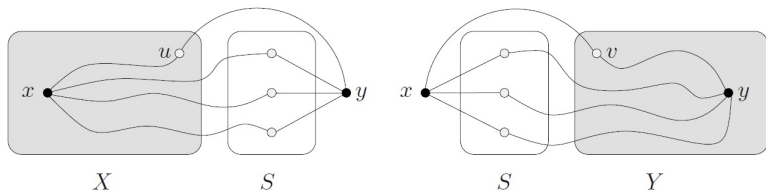
**Proof (continued).** Now vertex  $y$  of  $G$  is reachable from  $v$  (see Figure 9.1(a)) and  $v \neq y$ , so the number of edges of  $G/Y$  is less than the number of edges in  $G$ . So by the induction hypothesis, there are  $k = p_{G/Y}(x, y)$  internally disjoint  $xy$ -paths. Now the neighbors of  $y$  in  $G/Y$  are  $v_1, v_2, \dots, v_{k-1}, u$ . So the  $k$  internally disjoint  $xy$ -paths in  $G/Y$ ,  $P_1, P_2, \dots, P_k$ , must have the property that each vertex of  $S \cup \{u\}$  lies on one of them (see Figure 9.1(b) left). We take  $v_i \in V(P_i)$  for  $1 \leq i \leq k-1$  and  $u \in P_k$ .



**Figure 9.3(b).** Graphs  $G/Y$  (left) and  $G/X$  (right).

## Theorem 9.1. Menger's Theorem (continued 6)

**Proof (continued).** Likewise, there are  $k$  internally disjoint  $xy$ -paths  $Q_1, Q_2, \dots, Q_k$  in  $G/X$  obtained by shrinking  $X$  to  $x$  with  $v_i \in V(Q_i)$  for  $1 \leq i \leq k-1$  and  $v \in Q_k$  (see Figure 9.1(b) right).

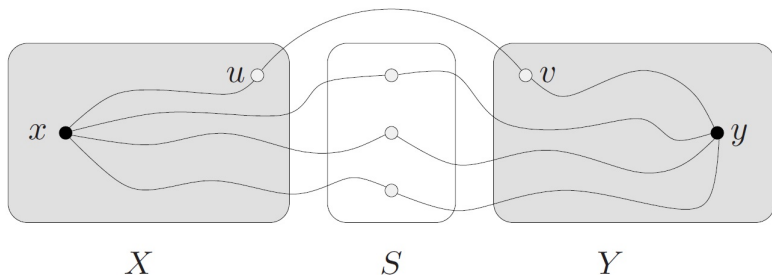


**Figure 9.3(b).** Graphs  $G/Y$  (left) and  $G/X$  (right).

We then have  $k$  internally disjoint  $xy$ -paths in  $G$ , namely  $xP_i v_i Q_i y$  for  $1 \leq i \leq k-1$ , and  $xP_k u v Q_k y$  (see Figure 9.3(c)).

## Theorem 9.1. Menger's Theorem (continued 7)

**Proof (continued).** We then have  $k$  internally disjoint  $xy$ -paths in  $G$ , namely  $xP_i v_i Q_i y$  for  $1 \leq i \leq k - 1$ , and  $xP_k uv Q_k y$  (see Figure 9.3(c)). So  $p_G(x, y) = c_G(x, y) = k$  and the result holds for graphs with  $m$  edges. Therefore, by mathematical induction on the number of edges of a graph, the claim holds for all graphs.  $\square$



**Figure 9.3(c).**  $k$  internally disjoint  $xy$ -paths in  $G$ .

## Theorem 9.2

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof.** Notice that loops do not affect  $p(u, v)$ . As observed in Note 9.1.B,  $p(u, v)$  is not affected by parallel edges when  $u$  and  $v$  are not adjacent. Hence, we may assume without loss of generality that  $G$  is simple.

## Theorem 9.2

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof.** Notice that loops do not affect  $p(u, v)$ . As observed in Note 9.1.B,  $p(u, v)$  is not affected by parallel edges when  $u$  and  $v$  are not adjacent. Hence, we may assume without loss of generality that  $G$  is simple.

By definition,  $\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v\}$ . Let this minimum be attained for the pair  $xy$  so that  $\kappa(G) = p(x, y)$ . If  $x$  and  $y$  are not adjacent then we are done. So we consider the case where  $x$  and  $y$  are adjacent.



## Theorem 9.2

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof.** Notice that loops do not affect  $p(u, v)$ . As observed in Note 9.1.B,  $p(u, v)$  is not affected by parallel edges when  $u$  and  $v$  are not adjacent. Hence, we may assume without loss of generality that  $G$  is simple.

By definition,  $\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v\}$ . Let this minimum be attained for the pair  $xy$  so that  $\kappa(G) = p(x, y)$ . If  $x$  and  $y$  are not adjacent then we are done. So we consider the case where  $x$  and  $y$  are adjacent.

Consider the graph  $H = G \setminus xy$  obtained by deleting edge  $xy$  from  $G$ . Since  $G$  is simple, then  $p_G(x, y) = p_H(x, y) + 1$ . By Menger's Theorem (Theorem 9.1),  $p_H(x, y) = c_H(x, y)$ . Let  $X$  be a minimum vertex cut in  $H$  separating  $x$  and  $y$  so that  $p_H(x, y) = c_H(x, y) = |X|$ . Hence  $p_G(x, y) = |X| + 1$ .

## Theorem 9.2

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof.** Notice that loops do not affect  $p(u, v)$ . As observed in Note 9.1.B,  $p(u, v)$  is not affected by parallel edges when  $u$  and  $v$  are not adjacent. Hence, we may assume without loss of generality that  $G$  is simple.

By definition,  $\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v\}$ . Let this minimum be attained for the pair  $xy$  so that  $\kappa(G) = p(x, y)$ . If  $x$  and  $y$  are not adjacent then we are done. So we consider the case where  $x$  and  $y$  are adjacent.

Consider the graph  $H = G \setminus xy$  obtained by deleting edge  $xy$  from  $G$ . Since  $G$  is simple, then  $p_G(x, y) = p_H(x, y) + 1$ . By Menger's Theorem (Theorem 9.1),  $p_H(x, y) = c_H(x, y)$ . Let  $X$  be a minimum vertex cut in  $H$  separating  $x$  and  $y$  so that  $p_H(x, y) = c_H(x, y) = |X|$ . Hence  $p_G(x, y) = |X| + 1$ .

## Theorem 9.2 (continued 1)

**Proof (continued).** ASSUME  $V \setminus X = \{x, y\}$ . Then

$$\begin{aligned}
 \kappa(G) &= p_G(x, y) \text{ by the choice of } x \text{ and } y \\
 &= |X| + 1 \\
 &= (n - 2) + 1 \text{ since } V \setminus X = \{x, y\} \\
 &= n - 1.
 \end{aligned}$$

But if  $\kappa(G) = n - 1$  then there are  $n - 1$  internally disjoint paths from  $x$  to  $y$ ; these include the edge  $xy$  and all paths of length 2 from  $x$  to  $y$  and through a third vertex. Since  $n - 1$  is a minimum for  $p_G(x, y)$  then  $G$  must be complete, but this CONTRADICTS the hypothesis that  $G$  has a pair of nonadjacent vertices. So the assumption that  $V \setminus X = \{x, y\}$  is false and hence there must be a vertex  $z$  of  $G$  such that  $\{x, y, z\} \subseteq V \setminus X$ .

## Theorem 9.2 (continued 2)

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof (continued).** ... there must be a vertex  $z$  of  $G$  such that  $\{x, y, z\} \subseteq V \setminus X$ . We suppose (interchanging the roles of  $x$  and  $y$  if necessary) that  $x$  and  $z$  belong to different components of  $H - X$ . Then  $x$  and  $z$  are nonadjacent in  $G$  (since a vertex cut cannot separate adjacent vertices). So  $X \cup \{y\}$  is a vertex cut of  $G$  separating  $x$  and  $z$  (since this vertex cut removes edge  $xy$ ,  $H = G \setminus xy$ , and  $x$  and  $z$  are in different components of  $H - X$ ). So  $c(x, z) \leq |X \cup \{y\}| = |X| + 1 = p_G(x, y)$  (since  $p_G(x, y) = |X| + 1$ , as shown above).

## Theorem 9.2 (continued 2)

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof (continued).** ... there must be a vertex  $z$  of  $G$  such that  $\{x, y, z\} \subseteq V \setminus X$ . We suppose (interchanging the roles of  $x$  and  $y$  if necessary) that  $x$  and  $z$  belong to different components of  $H - X$ . Then  $x$  and  $z$  are nonadjacent in  $G$  (since a vertex cut cannot separate adjacent vertices). So  $X \cup \{y\}$  is a vertex cut of  $G$  separating  $x$  and  $z$  (since this vertex cut removes edge  $xy$ ,  $H = G \setminus xy$ , and  $x$  and  $z$  are in different components of  $H - X$ ). So  $c(x, z) \leq |X \cup \{y\}| = |X| + 1 = p_G(x, y)$  (since  $p_G(x, y) = |X| + 1$ , as shown above). On the other hand, by Menger's Theorem (Theorem 9.1),  $p(x, z) = c(x, z)$ . Hence  $p(x, z) \leq p(x, y)$ . We chose  $\{x, y\}$  such that  $p(x, y) = \kappa(G)$  so we now have  $p(x, z) = p(x, y) = \kappa(G)$  (since  $\kappa$  is a minimum of  $p(u, v)$ ). Because  $x$  and  $z$  are nonadjacent, then  $\kappa(G) = p(x, z) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}$ , as claimed.  $\square$

## Theorem 9.2 (continued 2)

**Theorem 9.2.** If  $G$  has at least one pair of nonadjacent vertices, then

$$\kappa(G) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}. \quad (9.3)$$

**Proof (continued).** ... there must be a vertex  $z$  of  $G$  such that  $\{x, y, z\} \subseteq V \setminus X$ . We suppose (interchanging the roles of  $x$  and  $y$  if necessary) that  $x$  and  $z$  belong to different components of  $H - X$ . Then  $x$  and  $z$  are nonadjacent in  $G$  (since a vertex cut cannot separate adjacent vertices). So  $X \cup \{y\}$  is a vertex cut of  $G$  separating  $x$  and  $z$  (since this vertex cut removes edge  $xy$ ,  $H = G \setminus xy$ , and  $x$  and  $z$  are in different components of  $H - X$ ). So  $c(x, z) \leq |X \cup \{y\}| = |X| + 1 = p_G(x, y)$  (since  $p_G(x, y) = |X| + 1$ , as shown above). On the other hand, by Menger's Theorem (Theorem 9.1),  $p(x, z) = c(x, z)$ . Hence  $p(x, z) \leq p(x, y)$ . We chose  $\{x, y\}$  such that  $p(x, y) = \kappa(G)$  so we now have  $p(x, z) = p(x, y) = \kappa(G)$  (since  $\kappa$  is a minimum of  $p(u, v)$ ). Because  $x$  and  $z$  are nonadjacent, then  $\kappa(G) = p(x, z) = \min\{p(u, v) \mid u, v \in V, u \neq v, uv \notin E\}$ , as claimed.  $\square$