## Introduction to Graph Theory

## Chapter 10. Graphs on Surfaces

10.3. The Genus of a Graph—Proofs of Theorems

# Pearls in Graph Theorц <br> A Comprethensive Introduction <br> Nora Hartsfield Gerhard Ringel 

## Table of contents

(1) Theorem 10.3.3
(2) Corollary 10.3.A
(3) Theorem 10.3.5
(4) Theorem 10.3.A
(5) Theorem 10.3.7. Heawood's Theorem

## Theorem 10.3.3

Theorem 10.3.3. For the complete bipartite graph $K_{m, n}$,

$$
\gamma\left(K_{m, n}\right) \geq \frac{(m-2)(n-2)}{4} .
$$

Proof. Since $K_{m, n}$ is bipartite, then for any rotation $\rho$ of $K_{m, n}$, the shortest circuit induced by $\rho$ has length at least four (see Notes 10.3.C and 10.3.D). Since each edge appears twice in the circuits (once in each direction), then $2 q \geq 4 r(\rho)$ or $q / 2 \geq r(\rho)$. In $K_{m, n}$ we have $p=m+n$ and $q=m n$, so that $q / 2=m n / 2 \geq r(\rho)$.

## Theorem 10.3.3

Theorem 10.3.3. For the complete bipartite graph $K_{m, n}$,

$$
\gamma\left(K_{m, n}\right) \geq \frac{(m-2)(n-2)}{4}
$$

Proof. Since $K_{m, n}$ is bipartite, then for any rotation $\rho$ of $K_{m, n}$, the shortest circuit induced by $\rho$ has length at least four (see Notes 10.3.C and 10.3.D). Since each edge appears twice in the circuits (once in each direction), then $2 q \geq 4 r(\rho)$ or $q / 2 \geq r(\rho)$. In $K_{m, n}$ we have $p=m+n$ and $q=m n$, so that $q / 2=m n / 2 \geq r(\rho)$. With $g=\gamma\left(K_{m, n}\right)$ we have for a maximal rotation $\rho$ of $K_{m, n}$ that $p-q+r(\rho)=2-2 g$, or $(m+n)-(m n)+(m n / 2) \geq p-q+r(\rho)=2-2 g$ or $2 g \geq m n / 2-m-n+2$ or $g \geq(m n-2 m-2 n+4) / 4$, so that $\gamma\left(K_{m, n}\right) \geq(m-2)(n-2) / 4$, as claimed.

## Theorem 10.3.3

Theorem 10.3.3. For the complete bipartite graph $K_{m, n}$,

$$
\gamma\left(K_{m, n}\right) \geq \frac{(m-2)(n-2)}{4}
$$

Proof. Since $K_{m, n}$ is bipartite, then for any rotation $\rho$ of $K_{m, n}$, the shortest circuit induced by $\rho$ has length at least four (see Notes 10.3.C and 10.3.D). Since each edge appears twice in the circuits (once in each direction), then $2 q \geq 4 r(\rho)$ or $q / 2 \geq r(\rho)$. In $K_{m, n}$ we have $p=m+n$ and $q=m n$, so that $q / 2=m n / 2 \geq r(\rho)$. With $g=\gamma\left(K_{m, n}\right)$ we have for a maximal rotation $\rho$ of $K_{m, n}$ that $p-q+r(\rho)=2-2 g$, or $(m+n)-(m n)+(m n / 2) \geq p-q+r(\rho)=2-2 g$ or $2 g \geq m n / 2-m-n+2$ or $g \geq(m n-2 m-2 n+4) / 4$, so that $\gamma\left(K_{m, n}\right) \geq(m-2)(n-2) / 4$, as claimed.

## Corollary 10.3.A

Corollary 10.3.A. For the complete bipartite graph $K_{m, n}$ where $m$ and $n$ are both even,

$$
\gamma\left(K_{m, n}\right)=\frac{(m-2)(n-2)}{4} .
$$

Proof. Let the vertex set be $\{0,2,4, \ldots, m-2\} \cup\{1,3,5, \ldots, n-1\}$ where the partite sets consider of the even labeled vertices and the odd labeled vertices, respectively. Consider the rotation $\rho$ with the scheme:

| $0(\bmod 4)$. | 1 | 3 | 5 | $\cdots$ | $n-3$ | $n-1$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| $2(\bmod 4)$. | $n-1$ | $n-3$ | $n-5$ | $\cdots$ | 3 | 1 |
| $1(\bmod 4)$. | 0 | 2 | 4 | $\cdots$ | $m-4$ | $m-2$ |
| $3(\bmod 4)$. | $m-2$ | $m-4$ | $m-6$ | $\cdots$ | 2 | 0 |

It is to be shown in Exercise 10.3.5 that every induced cycle is of length four. So by Theorem 10.3.2, $\rho$ is a maximal rotation of $K_{m, n}$.

## Corollary 10.3.A

Corollary 10.3.A. For the complete bipartite graph $K_{m, n}$ where $m$ and $n$ are both even,

$$
\gamma\left(K_{m, n}\right)=\frac{(m-2)(n-2)}{4} .
$$

Proof. Let the vertex set be $\{0,2,4, \ldots, m-2\} \cup\{1,3,5, \ldots, n-1\}$ where the partite sets consider of the even labeled vertices and the odd labeled vertices, respectively. Consider the rotation $\rho$ with the scheme:

| $0(\bmod 4)$. | 1 | 3 | 5 | $\cdots$ | $n-3$ | $n-1$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| $2(\bmod 4)$. | $n-1$ | $n-3$ | $n-5$ | $\cdots$ | 3 | 1 |
| $1(\bmod 4)$. | 0 | 2 | 4 | $\cdots$ | $m-4$ | $m-2$ |
| $3(\bmod 4)$. | $m-2$ | $m-4$ | $m-6$ | $\cdots$ | 2 | 0 |

It is to be shown in Exercise 10.3.5 that every induced cycle is of length four. So by Theorem 10.3.2, $\rho$ is a maximal rotation of $K_{m, n}$.

## Corollary 10.3.A (continued)

Corollary 10.3.A. For the complete bipartite graph $K_{m, n}$ where $m$ and $n$ are both even,

$$
\gamma\left(K_{m, n}\right)=\frac{(m-2)(n-2)}{4} .
$$

Proof (continued). With $g=\gamma\left(K_{m, n}\right)$ we have for a maximal rotation $\rho$ that $p-q+r(\rho)=2-2 g$, or $(m+n)-(m n)+(m n / 2)=p-q+r(\rho)=2-2 g$ or $2 g=m n / 2-m-n+2$ or $g=(m n-2 m-2 n+4) / 4$, so that $\gamma\left(K_{m, n}\right)=(m-2)(n-2) / 4$, as claimed.

## Theorem 10.3.5

Theorem 10.3.5. The genus of the complete graph satisfies the inequality

$$
\gamma\left(K_{n}\right) \geq \frac{(n-3)(n-4)}{12}
$$

Proof. Let $G$ be a graph with $p$ vertices, $q$ edges, and and maximal rotation $\rho$. Then be the new definition of genus $g$, we have $p-q+r(\rho)=2-2 g$. The shortest circuit possible is of length three (by Note 10.3.C), and every edge is used twice in circuits (once in each direction), so $2 q \geq r(\rho)$. Therefore, $p-q+2 q / 3 \geq 2-2 g$, or $2 g \geq 2-p+q / 3$. For $G=K_{n}$, then $p=n$ and $q=n(n-1) / 2$, so that $2 g \geq 2-n+n(n-1) / 6$ or $2 g \geq 2-n+n(n-1) / 6=\left(n^{2}-7 n+12\right) / 6$, and hence $g \geq(n-3)(n-4) / 12$ as claimed.

## Theorem 10.3.5

Theorem 10.3.5. The genus of the complete graph satisfies the inequality

$$
\gamma\left(K_{n}\right) \geq \frac{(n-3)(n-4)}{12}
$$

Proof. Let $G$ be a graph with $p$ vertices, $q$ edges, and and maximal rotation $\rho$. Then be the new definition of genus $g$, we have $p-q+r(\rho)=2-2 g$. The shortest circuit possible is of length three (by Note 10.3.C), and every edge is used twice in circuits (once in each direction), so $2 q \geq r(\rho)$. Therefore, $p-q+2 q / 3 \geq 2-2 g$, or $2 g \geq 2-p+q / 3$. For $G=K_{n}$, then $p=n$ and $q=n(n-1) / 2$, so that $2 g \geq 2-n+n(n-1) / 6$ or $2 g \geq 2-n+n(n-1) / 6=\left(n^{2}-7 n+12\right) / 6$, and hence $g \geq(n-3)(n-4) / 12$ as claimed.

## Theorem 10.3.7. Heawood's Theorem

Theorem 10.3.7. If $G$ is critical and $\gamma(G) \leq g$, where $g \geq 1$, then

$$
\chi(G) \leq \frac{7+\sqrt{1+48 g}}{2}
$$

Proof. Let $G$ be a critical graph with chromatic number $\chi$. By Theorem 2.1.4, we have $(\chi-1) p \leq 2 q$. Since for any rotation we have $\gamma(G) \leq g$, then there exists a maximal rotation $\rho$ of $G$ such that
$p-q+r(\rho) \geq 2-2 g$ or $q-r(\rho) \leq p-(2-2 g)$ or $3 q-3 r(\rho) \leq 3 p-3(2-2 g)$. The minimum possible length of a circuit is 3 , so $2 q \geq 3 r(\rho)$ and we now have $3 q \leq 3 r(p)+3 p-3(2-2 g) \leq 2 q+3 p-3(2-2 g)$ or $q \leq 3 p-6+6 g$ or $2 q \leq 6 p-12+12 g$.

## Theorem 10.3.7. Heawood's Theorem

Theorem 10.3.7. If $G$ is critical and $\gamma(G) \leq g$, where $g \geq 1$, then

$$
\chi(G) \leq \frac{7+\sqrt{1+48 g}}{2} .
$$

Proof. Let $G$ be a critical graph with chromatic number $\chi$. By Theorem 2.1.4, we have $(\chi-1) p \leq 2 q$. Since for any rotation we have $\gamma(G) \leq g$, then there exists a maximal rotation $\rho$ of $G$ such that
$p-q+r(\rho) \geq 2-2 g$ or $q-r(\rho) \leq p-(2-2 g)$ or $3 q-3 r(\rho) \leq 3 p-3(2-2 g)$. The minimum possible length of a circuit is 3 , so $2 q \geq 3 r(\rho)$ and we now have $3 q \leq 3 r(\rho)+3 p-3(2-2 g) \leq 2 q+3 p-3(2-2 g)$ or $q \leq 3 p-6+6 g$ or $2 q \leq 6 p-12+12 g$. Combining this with Theorem 2.1.4, we have $(\chi-1) p \leq 6 p-12+12 g$ or $\chi-1 \leq 6+(12 g-12) / p$. Since $g \geq 1$ (so that $12 g-12 \geq 0$ ) by hypothesis and $p \geq \chi$ (since $\chi$ involves vertex colorings; do that $/ p \leq 1 / \chi)$, then we have $\chi-1 \leq 6+(12 g-12) / \chi$ and

## Theorem 10.3.7. Heawood's Theorem

Theorem 10.3.7. If $G$ is critical and $\gamma(G) \leq g$, where $g \geq 1$, then

$$
\chi(G) \leq \frac{7+\sqrt{1+48 g}}{2}
$$

Proof. Let $G$ be a critical graph with chromatic number $\chi$. By Theorem 2.1.4, we have $(\chi-1) p \leq 2 q$. Since for any rotation we have $\gamma(G) \leq g$, then there exists a maximal rotation $\rho$ of $G$ such that
$p-q+r(\rho) \geq 2-2 g$ or $q-r(\rho) \leq p-(2-2 g)$ or $3 q-3 r(\rho) \leq 3 p-3(2-2 g)$. The minimum possible length of a circuit is 3 , so $2 q \geq 3 r(\rho)$ and we now have $3 q \leq 3 r(\rho)+3 p-3(2-2 g) \leq 2 q+3 p-3(2-2 g)$ or $q \leq 3 p-6+6 g$ or $2 q \leq 6 p-12+12 g$. Combining this with Theorem 2.1.4, we have $(\chi-1) p \leq 6 p-12+12 g$ or $\chi-1 \leq 6+(12 g-12) / p$. Since $g \geq 1$ (so that $12 g-12 \geq 0$ ) by hypothesis and $p \geq \chi$ (since $\chi$ involves vertex colorings; do that $/ p \leq 1 / \chi)$, then we have $\chi-1 \leq 6+(12 g-12) / \chi$ and $\chi^{2}-\chi \leq 5 \chi+12 g-12$ or $\chi^{2}-7 \chi-(12 g-12) \leq 0$.

## Theorem 10.3.7. Heawood's Theorem (continued)

Theorem 10.3.7. If $G$ is critical and $\gamma(G) \leq g$, where $g \geq 1$, then

$$
\chi(G) \leq \frac{7+\sqrt{1+48 g}}{2}
$$

Proof. $\ldots \chi^{2}-7 \chi-(12 g-12) \leq 0$. By the quadratic formula, we can factor the inequality as

$$
\left(\chi-\frac{7+\sqrt{1+48 g}}{2}\right)\left(\chi-\frac{7-\sqrt{1-48 g}}{2}\right) \leq 0 .
$$

Since $g \geq 1$, then $\sqrt{1+48 g} \geq 7$ and $-\frac{7-\sqrt{1+48 g}}{2} \geq 0$. Since $\chi \geq 1$, the second factor is always positive. Since the product is nonpositive, then the first factor is at most 0 and hence we have

$$
\chi-\frac{7+\sqrt{1+48 g}}{2} \leq 0 \text { or } \chi(G) \leq \frac{7+\sqrt{1+48 g}}{2} .
$$

Since $\chi(G)$ is a whole number, we can round up, as claimed.

