Introduction to Topology

Chapter 2. Topological Spaces and Continuous Functions Section 20. The Metric Topology—Proofs of Theorems





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Theorem 20.1. Let X be a metric space with metric d. Define $\overline{d}: X \times X \to \mathbb{R}$ by $\overline{d}(x, y) = \min\{d(x, y), 1\}$. Then \overline{d} is a metric that induces the same topology as d.

Proof. "Clearly" the first two parts of the definition of metric are satisfied by \overline{d} . The the third part, we need to confirm the Triangle Inequality:

$$\overline{d}(x,z) \leq \overline{d}(x,y) + \overline{d}(y,z).$$

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<u>Case 2.</u> If both d(x, y) < 1 and d(y, z) < 1 then

 $\begin{aligned} d(x,z) &\leq d(x,y) + d(y,z) \text{ by the Triangle Inequality for } d \\ &= \overline{d}(x,y) + \overline{d}(y,z). \end{aligned}$

Since $\overline{d}(x, z) < d(x, z)$, we have the Triangle Inequality for \overline{d} . So \overline{d} is in fact a metric.

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We consider two cases.

<u>Case 1.</u> If either $d(x, y) \ge 1$ or $d(y, z) \ge 1$ then the right side of this inequality is at least 1 and so the inequality holds. Case 2. If both d(x, y) < 1 and d(y, z) < 1 then

 $d(x,z) \leq d(x,y) + d(y,z)$ by the Triangle Inequality for $d = \overline{d}(x,y) + \overline{d}(y,z)$.

Since $\overline{d}(x, z) < d(x, z)$, we have the Triangle Inequality for \overline{d} . So \overline{d} is in fact a metric.

Proof (continued). Let \mathcal{B} be the basis for the topology induced by d and let \mathcal{B}' be the basis for the topology induced by \overline{d} . By Lemma 13.1, the topology generated by a basis consists of all unions of basis elements. Notice that \mathcal{B}' consists of all ε -balls where $\varepsilon < 1$, so $\mathcal{B}' \subset \mathcal{B}$ and the topology generated by \mathcal{B}' is a subset of the topology generated by \mathcal{B} .

Proof (continued). Let \mathcal{B} be the basis for the topology induced by d and let \mathcal{B}' be the basis for the topology induced by \overline{d} . By Lemma 13.1, the topology generated by a basis consists of all unions of basis elements. Notice that \mathcal{B}' consists of all ε -balls where $\varepsilon < 1$, so $\mathcal{B}' \subset \mathcal{B}$ and the topology generated by \mathcal{B}' is a subset of the topology generated by \mathcal{B} . But for any $B_d(x,\varepsilon) \in \mathcal{B}$ we know that $B_d(x,\varepsilon)$ can be written as a union over all elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements 1 (here we use Lemma 20.A):

$$B_{x}(x,\varepsilon) = \bigcup_{y \in B_{d}(x,\varepsilon)} B_{d}(y,\delta_{y})$$

where $\delta_y = \min{\{\delta, 1\}}$ and $B_d(y, \delta) \subset B_d(x, \varepsilon)$ as in Lemma 20.A.

Proof (continued). Let \mathcal{B} be the basis for the topology induced by d and let \mathcal{B}' be the basis for the topology induced by \overline{d} . By Lemma 13.1, the topology generated by a basis consists of all unions of basis elements. Notice that \mathcal{B}' consists of all ε -balls where $\varepsilon < 1$, so $\mathcal{B}' \subset \mathcal{B}$ and the topology generated by \mathcal{B}' is a subset of the topology generated by \mathcal{B} . But for any $B_d(x,\varepsilon) \in \mathcal{B}$ we know that $B_d(x,\varepsilon)$ can be written as a union over all elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements 1 (here we use Lemma 20.A):

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Proof (continued). Let \mathcal{B} be the basis for the topology induced by d and let \mathcal{B}' be the basis for the topology induced by \overline{d} . By Lemma 13.1, the topology generated by a basis consists of all unions of basis elements. Notice that \mathcal{B}' consists of all ε -balls where $\varepsilon < 1$, so $\mathcal{B}' \subset \mathcal{B}$ and the topology generated by \mathcal{B}' is a subset of the topology generated by \mathcal{B} . But for any $B_d(x,\varepsilon) \in \mathcal{B}$ we know that $B_d(x,\varepsilon)$ can be written as a union over all elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements of $B_d(x,\varepsilon)$ of balls centered at these elements 1 (here we use Lemma 20.A):

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Lemma 20.2. Let d and d' be two metrics on the set X. Let \mathcal{T} and \mathcal{T}' be the topologies they induce, respectively. Then \mathcal{T}' is finer than \mathcal{T} is and only if for such $x \in X$ and each $\varepsilon > 0$, there exists a $\delta > 0$ such that $B_{d'}(x, \delta) \subset B_d(x, \varepsilon)$.

Proof. Suppose that \mathcal{T}' is finer than \mathcal{T} . Let $B_d(x, \varepsilon)$ be a basis element for the metric topology \mathcal{T} .

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Proof. Suppose that \mathcal{T}' is finer than \mathcal{T} . Let $B_d(x,\varepsilon)$ be a basis element for the metric topology \mathcal{T} . By Lemma 13.3 (the $(1)\Rightarrow(2)$ part) there is a basis element $\mathcal{B}' \subset B_d(x,\varepsilon)$. By Lemma 20.B, there is $B_{d'}(x,\delta) \subset B' \subset B_d(x,\varepsilon)$ and the first claim holds.

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Suppose the δ/ε condition holds. Given a basis element B for the metric topology for \mathcal{T} containing x, by Lemma 20.B there is a basis element $B_d(x,\varepsilon) \subset B$.

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Suppose the δ/ε condition holds. Given a basis element B for the metric topology for \mathcal{T} containing x, by Lemma 20.B there is a basis element $B_d(x,\varepsilon) \subset B$. By the hypothesized δ/ε condition there is $B' = B_{d'}(x,\delta) \subset B_d(x,\varepsilon) \subset B$. By Lemma 13.3 (the (2) \Rightarrow (1) part), \mathcal{T}' is finer than \mathcal{T} .

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Suppose the δ/ε condition holds. Given a basis element *B* for the metric topology for \mathcal{T} containing *x*, by Lemma 20.B there is a basis element $B_d(x,\varepsilon) \subset B$. By the hypothesized δ/ε condition there is $B' = B_{d'}(x,\delta) \subset B_d(x,\varepsilon) \subset B$. By Lemma 13.3 (the (2) \Rightarrow (1) part), \mathcal{T}' is finer than \mathcal{T} .

Theorem 20.3. The topologies on \mathbb{R}^n induced by the Euclidean metric d and the square metric ρ are the same as the product topology on \mathbb{R}^n .

Proof. Let $\mathbf{x} = (x_1, x_2, \dots, x_n)$ and $\mathbf{y} = y_1, y_2, \dots, y_n)$ be points in \mathbb{R}^n . Then

$$\rho(\mathbf{x}, \mathbf{y}) = \max_{1 \le i \le n} \{ |x_i - y_i| \} \le \left(\sum_{i=1}^n |x_i - y_i|^2 \right)^{1/2} = d(\mathbf{x}, \mathbf{y})$$
$$\le \left(\sum_{i=1}^n \max_{1 \le i \le n} |x_i - y_i|^2 \right)^{1/2} = \left(\sum_{i=1}^n \rho(\mathbf{x}, \mathbf{y})^2 \right)^{1/2} = \sqrt{n} \rho(\mathbf{x}, \mathbf{y}). \quad (*)$$

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Now for $\mathbf{y} \in B_d(\mathbf{x}, \varepsilon)$ we have $d(\mathbf{x}, \mathbf{y}) < \varepsilon$ and so $\rho(\mathbf{x}, \varepsilon) < d(\mathbf{x}, \mathbf{y}) < \varepsilon$ (by (*)) and so $\mathbf{y} \in B_{\rho}(\mathbf{x}, \varepsilon)$. Therefore $B_d(\mathbf{x}, \varepsilon) \subset B_{\rho}(\mathbf{x}, \varepsilon)$ and by Lemma 20.2, the metric topology induced by d is finer than the metric topology induced by ρ .

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Proof (continued). Therefore $B_{\rho}(\mathbf{x}, \varepsilon) \subset B_d(\mathbf{x}, \varepsilon)$ and by Lemma 20.2, the metric topology induced by ρ is finer than the metric topology induced by d. Hence, the metric topologies under d and ρ are the same. Now to show that the product topology is the same as the metric topology induced by ρ . First, let $B = (a_1, b_1) \times (a_2, b_2) \times \cdots \times (a_n, b_n)$ be a basis element for the product topology. Let $\mathbf{x} = (x_1, x_2, \dots, x_n) \in B$.

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 $B_{\rho}(x,\varepsilon) \subset (x_1 - \varepsilon_1, x_1 + \varepsilon_1) \times (x_2 - \varepsilon_2, x_2 + \varepsilon_2) \times \cdots \times (x_n - \varepsilon_n, x_n + \varepsilon_n) \subset B.$

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So by Lemma 13.3 (the (2) \Rightarrow (1) part), the metric topology induced by ρ is finer than the product topology.

Proof (continued). Therefore $B_{\rho}(\mathbf{x}, \varepsilon) \subset B_d(\mathbf{x}, \varepsilon)$ and by Lemma 20.2, the metric topology induced by ρ is finer than the metric topology induced by d. Hence, the metric topologies under d and ρ are the same. Now to show that the product topology is the same as the metric topology induced by ρ . First, let $B = (a_1, b_1) \times (a_2, b_2) \times \cdots \times (a_n, b_n)$ be a basis element for the product topology. Let $\mathbf{x} = (x_1, x_2, \dots, x_n) \in B$. Then $x_i \in (a_i, b_i)$ for each i and so there is $\varepsilon_i > 0$ such that $(x_i - \varepsilon_i, x_i + \varepsilon_i) \subset (a_i, b_i)$ (take, for example, $\varepsilon_i = \min\{x_i - a_i, b_i - x_i\}$). Set $\varepsilon = \min_{1 \le i \le n} \{\varepsilon_i\}$. Then

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Theorem 20.3. The topologies on \mathbb{R}^n induced by the Euclidean metric d and the square metric ρ are the same as the product topology on \mathbb{R}^n .

Proof (continued). Conversely, let $B_{\rho}(\mathbf{x}, \varepsilon)$ be a basis element for the metric topology induced by ρ . Let $\mathbf{y} \in B_{\rho}(\mathbf{x}, \varepsilon)$. Let

 $B = B_{\rho}(\mathbf{x},\varepsilon) = (x_1 - \varepsilon_1, x_1 + \varepsilon_1) \times (x_2 - \varepsilon_2, x_2 + \varepsilon_2) \times \cdots \times (x_n - \varepsilon_n, x_n + \varepsilon_n).$

Then $B \subset B_{\rho}(\mathbf{x}, \varepsilon)$ and B is a basis element for the product topology.

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Then $B \subset B_{\rho}(\mathbf{x}, \varepsilon)$ and B is a basis element for the product topology. So by Lemma 13.3 (the $(2) \Rightarrow (1)$ part), the product topology is finer than the metric topology induced by ρ . Therefore the box topology and the metric topology induced by ρ (AND the metric topology induced by d, as shown above) are the same.

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Theorem 20.4. The uniform topology on \mathbb{R}^J is finer than the product topology and coarser than the box topology. These three topologies are all different if J is infinite.

Proof. Let $\mathbf{x} = (x_{\alpha})_{\alpha \in J} \in \mathbb{R}^{J}$ and let B be a basis element for the product topology which contains \mathbf{x} . Then by Theorem 19.1, $B = \prod U_{\alpha}$ where each U_{α} is open in \mathbb{R} and $U_{\alpha} = \mathbb{R}$ for all but finitely many values of α (say $\alpha_{1}, \alpha_{2}, \ldots, \alpha_{n}$).

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Proof. Let $\mathbf{x} = (\mathbf{x}_{\alpha})_{\alpha \in J} \in \mathbb{R}^{J}$ and let B be a basis element for the product topology which contains \mathbf{x} . Then by Theorem 19.1, $B = \prod U_{\alpha}$ where each U_{α} is open in \mathbb{R} and $U_{\alpha} = \mathbb{R}$ for all but finitely many values of α (say $\alpha_{1}, \alpha_{2}, \ldots, \alpha_{n}$). For each $i = 1, 2, \ldots, n$, choose $\varepsilon_{i} > 0$ so that $B_{\overline{d}}(x_{i}, \varepsilon_{i}) \subset U_{\alpha_{i}}$ (which can be done since U_{α} is open in \mathbb{R} under the standard topology and d and \overline{D} induce the same topology on \mathbb{R} by Theorem 20.1). Let $\varepsilon = \min{\{\varepsilon_{1}, \varepsilon_{2}, \ldots, \varepsilon_{n}\}}$. Then $B_{\overline{\rho}}(\mathbf{x}, \varepsilon) \subset \prod U_{\alpha}$. Since $B_{\overline{\rho}}(\mathbf{x}, \varepsilon)$ is a basis element for the metric topology on \mathbb{R}^{J} induced by metric $\overline{\rho}$ (i.e., the uniform topology). By Lemma 13.3 (the $(2) \Rightarrow (1)$ part), the uniform topology is finer than the product topology.

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Proof (continued). Now let $B = B_{\overline{\rho}}(\mathbf{x}, \varepsilon)$ be a basis element for the uniform topology. Then the open set

$$U = \prod_{\alpha \in J} (x_{\alpha} - \varepsilon/2, x_{\alpha} + \varepsilon/2)$$

is a basis element for the box topology and $\mathbf{x} \in U \subset B$. So by Lemma 13.3, the box topology is finer than the uniform topology.

The fact that the three topologies are different when J is infinite is left as a homework exercise.

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Theorem 20.5

Theorem 20.5. Let $\overline{d}(a, b) = \min\{|a - b|, 1\}$ be the standard bounded metric on \mathbb{R} . If **x** and **y** are two points in $\mathbb{R}^{\omega} = \mathbb{R}^{\mathbb{N}}$, define

$$D(\mathbf{x},\mathbf{y}) = \sup_{i\in\mathbb{N}} \left\{ rac{\overline{d}(x_i,y_i)}{i}
ight\}.$$

Then D is a metric that induces the product topology on \mathbb{R}^{ω} . That is, \mathbb{R}^{ω} under the product topology is metrizable.

Proof. The first two parts of the definition of metric are clearly satisfied by D. Notice that for all $i \in \mathbb{N}$, by the Triangle Inequality for \overline{d} ,

$$\frac{\overline{d}(x_i, z_i)}{i} \leq \frac{\overline{d}(x_i, y_i)}{i} + \frac{\overline{d}(y_i, z_i)}{i} \leq D(\mathbf{x}, \mathbf{y}) + D(\mathbf{y}, \mathbf{z}).$$

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and the Triangle Inequality holds for D and D is a metric on \mathbb{R}^{ω} .

Proof (continued). Let U be an open set in the metric topology induced by D and let $\mathbf{x} \in U$. Choose $\varepsilon > 0$ such that $B_D(\mathbf{x}, \varepsilon) \subset U$ and choose $N \in \mathbb{N}$ such that $1/N < \varepsilon$. Let V be the basis element for the product topology

$$V = (x_1 - \varepsilon, x_1 + \varepsilon) \times (x_2 - \varepsilon, x_2 + \varepsilon) \times \cdots \times (x_N - \varepsilon, x_N + \varepsilon) \times \mathbb{R} \times \mathbb{R} \times \cdots$$

Then for any $\mathbf{y} \in \mathbb{R}^{\omega}$ we have

$$\frac{\overline{d}(x_i, y_i)}{i} = \frac{\min\{|x_i - y_i|, 1\}}{i} \le \frac{1}{i} \le \frac{1}{N} \text{ for } i \ge N.$$

Therefore

$$D(\mathbf{x},\mathbf{y}) = \sup_{i \in \mathbb{N}} \left\{ \frac{\overline{d}(x_i, y_i)}{i} \right\} \le \max\left\{ \frac{\overline{d}(x_1, y_1)}{1}, \frac{\overline{d}(x_2, y_2)}{2}, \dots, \frac{\overline{d}(x_N, y_N)}{N}, \frac{1}{N} \right\}$$

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If $\mathbf{y} \in V$ then this maximum is less than ε and so $V \subset B_D(\mathbf{x}, \varepsilon) \subset U$. So for every open set U in the metric topology induced by D, there is a basis element V of the product topology such that $V \subset U$.

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Conversely, let U be a basis element for the product topology. Then by Theorem 19.1, $U = \prod_{i \in \mathbb{N}} U_i$ where each U_i is open in \mathbb{R} for all $i \in \mathbb{N}$ and $U_i = \mathbb{R}$ for all i except for finitely many, say $i = \alpha_1, \alpha_2, \ldots, \alpha_n$. Let $\mathbf{x} \in U$.

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