# Introduction to Topology

### Chapter 4. Countability and Separation Axioms Section 33. The Urysohn Lemma—Proofs of Theorems

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#### Theorem 33.1. The Urysohn Lemma.

Let X be a normal space. Let A and B be disjoint closed subsets of X. Let  $[a, b]$  be a closed interval in the real line. Then there exists a continuous map  $f : X \to [a, b]$  such that  $f(x) = a$  for every  $x \in A$ , and  $f(x) = b$  for every  $x \in B$ .

<span id="page-2-0"></span>**Proof.** Without loss of generality, we take  $[a, b] = [0, 1]$ . We use normality to construct a nested family of open sets which is indexed by the rational numbers in  $[0, 1]$ . Continuous f is then defined using the sets. We follow Munkres' four steps.

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Step 1. let  $P = [0, 1] \cap \mathbb{Q}$ . Since P is countable, there is mapping  $N: \mathbb{Q} \to \mathbb{N}$  which is a bijection. Take  $N(1) = 1$  and  $N(2) = 0$ . We now define indexed sets  $U_{N(\rho)}$  for  $\rho \in P.$ 

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**Proof (continued).** In general, let  $P_n = \{N(1), N(2, \ldots, N(n)\}\)$  and suppose for  $p, q \in P_n$  with  $p < q$ , we have already defined open  $U_p, U_q$ with  $\overline{U}_p \subset U_q$ . We now inductively define  $U_{N(n+1)}$ . Let  $N(n+1) = r$  and  $P_{n+1} = P_n \cup \{N(n+1)\} = P \cup \{r\}$ . Now  $r \neq 0, 1$  and so r has an immediate predecessor in  $P_{n+1}$ , say p, and an immediate successor in  $P_{n+1}$ , say q (this follows from Theorem 10.1). Since  $p, q \in P_n$  then we are supposing that  $U_p$  and  $U_q$  are already defined with  $\overline{U}_p \subset U_q$ . By the normality of X, there is open  $U_r \subset X$  such that  $\overline{U}_p \subset U_r$  and  $\overline{U}_r \subset U_q$  by Lemma 31.1(b).

**Proof (continued).** In general, let  $P_n = \{N(1), N(2, \ldots, N(n)\}\)$  and suppose for  $p, q \in P_n$  with  $p < q$ , we have already defined open  $U_p, U_q$ with  $\overline{U}_p \subset U_q$ . We now inductively define  $U_{N(n+1)}$ . Let  $N(n+1) = r$  and  $P_{n+1} = P_n \cup \{N(n+1)\} = P \cup \{r\}$ . Now  $r \neq 0, 1$  and so r has an immediate predecessor in  $P_{n+1}$ , say p, and an immediate successor in  $P_{n+1}$ , say q (this follows from Theorem 10.1). Since  $p, q \in P_n$  then we are supposing that  $U_p$  and  $U_q$  are already defined with  $\overline{U}_p \subset U_q$ . By the normality of X, there is open  $U_r \subset X$  such that  $\overline{U}_p \subset U_r$  and  $\overline{U}_r \subset U_q$  by **Lemma 31.1(b).** In this way, we have  $U_{N(n)}$  defined for all  $n \in \mathbb{N}$ ; that is, for all  $p \in P$  we have defined open  $U_p$ . We claim that  $p < q$  implies  $\overline{U}_p \subset U_q$  for all  $p, q \in P$ . Let  $p, q \in P_{n+1}$  with  $p < q$ . If  $p, q \in P_n$  then  $\overline{U}_p \subset U_q$  by the induction hypothesis.

**Proof (continued).** In general, let  $P_n = \{N(1), N(2, \ldots, N(n)\}\)$  and suppose for  $p, q \in P_n$  with  $p < q$ , we have already defined open  $U_p, U_q$ with  $\overline{U}_p \subset U_q$ . We now inductively define  $U_{N(n+1)}$ . Let  $N(n+1) = r$  and  $P_{n+1} = P_n \cup \{N(n+1)\} = P \cup \{r\}$ . Now  $r \neq 0, 1$  and so r has an immediate predecessor in  $P_{n+1}$ , say p, and an immediate successor in  $P_{n+1}$ , say q (this follows from Theorem 10.1). Since  $p, q \in P_n$  then we are supposing that  $U_p$  and  $U_q$  are already defined with  $\overline{U}_p \subset U_q$ . By the normality of X, there is open  $U_r \subset X$  such that  $\overline{U}_p \subset U_r$  and  $\overline{U}_r \subset U_q$  by Lemma 31.1(b). In this way, we have  $U_{N(n)}$  defined for all  $n \in \mathbb{N}$ ; that is, for all  $p \in P$  we have defined open  $U_p$ . We claim that  $p < q$  implies  $\overline{U}_p \subset U_q$  for all  $p, q \in P$ . Let  $p, q \in P_{n+1}$  with  $p < q$ . If  $p, q \in P_n$  then  $\overline{U}_p \subset U_q$  by the induction hypothesis. If one of p and q is r and the other is  $s \in P_n$ , then either  $s \leq p$  in which case  $\overline{U}_s \subset \overline{U}_p \subset U_r$  or  $s \geq q$  in which case  $U_r\subset U_q\subset U_s.$  Therefore, by induction, for any  $p,q\in P$  we have  $p < q$  implies  $U_p \subset U_q$ . The sets are as illustrated in Figure 33.1.

**Proof (continued).** In general, let  $P_n = \{N(1), N(2, \ldots, N(n)\}\)$  and suppose for  $p, q \in P_n$  with  $p < q$ , we have already defined open  $U_p, U_q$ with  $\overline{U}_p \subset U_q$ . We now inductively define  $U_{N(n+1)}$ . Let  $N(n+1) = r$  and  $P_{n+1} = P_n \cup \{N(n+1)\} = P \cup \{r\}$ . Now  $r \neq 0, 1$  and so r has an immediate predecessor in  $P_{n+1}$ , say p, and an immediate successor in  $P_{n+1}$ , say q (this follows from Theorem 10.1). Since  $p, q \in P_n$  then we are supposing that  $U_p$  and  $U_q$  are already defined with  $\overline{U}_p \subset U_q$ . By the normality of X, there is open  $U_r \subset X$  such that  $\overline{U}_p \subset U_r$  and  $\overline{U}_r \subset U_q$  by Lemma 31.1(b). In this way, we have  $U_{N(n)}$  defined for all  $n \in \mathbb{N}$ ; that is, for all  $p \in P$  we have defined open  $U_p$ . We claim that  $p < q$  implies  $\overline{U}_p \subset U_q$  for all  $p, q \in P$ . Let  $p, q \in P_{n+1}$  with  $p < q$ . If  $p, q \in P_n$  then  $\overline{U}_p \subset U_q$  by the induction hypothesis. If one of p and q is r and the other is  $s \in P_n$ , then either  $s \leq p$  in which case  $\overline{U}_s \subset \overline{U}_p \subset U_r$  or  $s \geq q$  in which case  $U_r\subset U_q\subset U_s.$  Therefore, by induction, for any  $p,q\in P$  we have  $p < q$  implies  $U_p \subset U_q$ . The sets are as illustrated in Figure 33.1.

Proof (continued).



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Step 2. In this step, we extend the definition to  $U_p$  from  $p \in [0,1] \cap \mathbb{Q}$  to all of Q by setting  $U_p = \emptyset$  if  $p < 0$  and  $U_p = X$  if  $p > 1$ . We still have  $p < q$  implying  $U_p \subset U_q$  for all  $p, q \in \mathbb{Q}$ .

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### Proof (continued).

**Step 3. We now define f.** For  $x \in X$  define  $\mathbb{Q}(x) = \{p \in \mathbb{Q} \mid x \in U_p\}$ where  $U_p$  is as defined above. Since  $U_p = \emptyset$  for  $p < 0$ , for all  $x \in X$  the set  $\mathbb{Q}(x)$  contains no rationals less than 0. Since  $U_p = X$  for  $p > 1$ , then all  $x \in X$  are in  $U_p$  for  $p > 1$ . So  $\mathbb{Q}(x)$  is bounded below and so has a greatest lower bound in [0, 1].

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Step 4. If  $x \in A$  then  $x \in U_n$  for every rational  $p \geq 0$  (since  $A \subset U_n$  for all  $p \ge 0$ ) and so  $f(x) = 0$  for all  $x \in A$ , as desired. If  $x \in B$ , then  $x \in U_p$  for no rational  $p \le 1$  but  $x \in U_p = X$  for all rational  $p > 1$ . Hence  $f(a) = 1$ for all  $x \in B$ , as desired.

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**Proof (continued).** Now to show  $f$  is continuous. We first prove two things:

> (1) If  $x \in \overline{U}_r$  then  $f(x) \leq r$ . (2) If  $x \notin U_r$  then  $f(x) > r$ .

To prove (1), note that if  $x \in \overline{U}_r$  then  $x \in U_s$  for every  $s > r$  (by the construction of the  $U_p$  in Step 1). Therefore  $\mathbb{Q}(x)$  contains all rationals greater than  $r$  and so  $f(x) = \inf \mathbb{Q}(x) \le r$  for  $x \in \overline{U}_r$ .

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Now given  $x_0 \in X$  and open interval  $(c, d) \subset \mathbb{R}$  containing  $f(x_0)$ , choose rational p and q such that  $c < p < f(x_0) < q < d$ . Consider  $U = U_{\alpha} \setminus Q_{\rho}$ . We have  $f(x_0) < q$  so by the contrapositive of condition (2) we have that  $x_0 \in U_a$ .

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rational p and q such that  $c < p < f(x_0) < q < d$ . Consider

 $U = U_a \setminus \overline{Q}_b$ . We have  $f(x_0) < q$  so by the contrapositive of condition (2) we have that  $x_0 \in U_q$ . Since  $f(x_0) > p$ , the contrapositive of (1) implies that  $x_0 \notin U_p$ . So  $x_0 \in U = U_q \setminus U_p$ .

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Now given  $x_0 \in X$  and open interval  $(c, d) \subset \mathbb{R}$  containing  $f(x_0)$ , choose rational p and q such that  $c < p < f(x_0) < q < d$ . Consider  $U = U_a \setminus \overline{Q}_b$ . We have  $f(x_0) < q$  so by the contrapositive of condition (2) we have that  $x_0 \in U_a$ . Since  $f(x_0) > p$ , the contrapositive of (1) implies that  $x_0 \notin U_p$ . So  $x_0 \in U = U_q \setminus U_p$ .

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Let X be a normal space. Let A and B be disjoint closed subsets of X. Let  $[a, b]$  be a closed interval in the real line. Then there exists a continuous map  $f : X \to [a, b]$  such that  $f(x) = a$  for every  $x \in A$ , and  $f(x) = b$  for every  $x \in B$ .

**Proof (continued).** Let  $x \in U$ . Then  $x \in U_q \subset \overline{U}_q$  so that  $f(x) \leq q$  by condition (1). Since  $x_0 \notin \overline{U}_p$  then  $x_0 \notin U_p$  and  $f(x) \geq p$  by condition (2). **Therefore,**  $f(x) \in [p,q] \subset (c,d)$ **.** So  $f(U) \subset (c,d)$  and  $U = U_a \setminus \overline{U}_b - U_a \cap (X \setminus \overline{U}_b)$  is an open set containing  $x_0$  such that  $f(U) \subset (c, d)$ . So f is continuous at arbitrary point  $x_0 \in X$  and f is the desired function.

#### Theorem 33.1. The Urysohn Lemma.

Let X be a normal space. Let A and B be disjoint closed subsets of X. Let  $[a, b]$  be a closed interval in the real line. Then there exists a continuous map  $f : X \to [a, b]$  such that  $f(x) = a$  for every  $x \in A$ , and  $f(x) = b$  for every  $x \in B$ .

**Proof (continued).** Let  $x \in U$ . Then  $x \in U_{\sigma} \subset \overline{U}_{\sigma}$  so that  $f(x) \leq q$  by condition (1). Since  $x_0 \notin \overline{U}_p$  then  $x_0 \notin U_p$  and  $f(x) \geq p$  by condition (2). Therefore,  $f(x) \in [p,q] \subset (c,d)$ . So  $f(U) \subset (c,d)$  and  $U = U_a \setminus \overline{U}_p - U_a \cap (X \setminus \overline{U}_p)$  is an open set containing  $x_0$  such that  $f(U) \subset (c, d)$ . So f is continuous at arbitrary point  $x_0 \in X$  and f is the desired function.

Theorem 33.2. A subspace of a completely regular space is completely regular. A product of completely regular spaces is completely regular.

<span id="page-23-0"></span>**Proof.** Let X be completely regular and let Y be a subspace of X. Let  $x_0 \in Y$  and let A be a closed set of Y not containing  $x_0$ .

**Theorem 33.2.** A subspace of a completely regular space is completely regular. A product of completely regular spaces is completely regular.

**Proof.** Let X be completely regular and let Y be a subspace of X. Let  $x_0 \in Y$  and let A be a closed set of Y not containing  $x_0$ . Since A is closed in Y,  $A = \overline{A} \cap Y$  where  $\overline{A}$  denotes the closure of A in X. So  $x_0 \notin \overline{A}$ . Since X is completely regular, by definition there is continuous  $f: X \rightarrow [0,1]$ such that  $f(x_0) = 1$  and  $f(\overline{A}) = \{0\}$ . The restriction of f to Y is the desired function showing that  $Y$  is completely regular.

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**Proof.** Let X be completely regular and let Y be a subspace of X. Let  $x_0 \in Y$  and let A be a closed set of Y not containing  $x_0$ . Since A is closed in Y,  $A = \overline{A} \cap Y$  where  $\overline{A}$  denotes the closure of A in X. So  $x_0 \notin \overline{A}$ . Since X is completely regular, by definition there is continuous  $f: X \rightarrow [0,1]$ such that  $f(x_0) = 1$  and  $f(\overline{A}) = \{0\}$ . The restriction of f to Y is the desired function showing that  $Y$  is completely regular. Let  $X=\prod X_\alpha$  be a product of completely regular spaces. Let  $\mathbf{b}=(b_\alpha)$  be a point of X and let A be a closed set of X not containing **b**. Choose a basis element  $\prod U_\alpha$  containing  $\bf b$  that does not intersect  $A$  (which can be done since A is closed and so **b** is not a limit point of A). Then (under the

product topology)  $U_{\alpha} = X_{\alpha}$  except for finitely many  $\alpha$ , say

 $\alpha \in {\alpha_1, \alpha_2, \ldots, \alpha_n}.$ 

**Theorem 33.2.** A subspace of a completely regular space is completely regular. A product of completely regular spaces is completely regular.

**Proof.** Let X be completely regular and let Y be a subspace of X. Let  $x_0 \in Y$  and let A be a closed set of Y not containing  $x_0$ . Since A is closed in Y,  $A = \overline{A} \cap Y$  where  $\overline{A}$  denotes the closure of A in X. So  $x_0 \notin \overline{A}$ . Since X is completely regular, by definition there is continuous  $f: X \rightarrow [0,1]$ such that  $f(x_0) = 1$  and  $f(\overline{A}) = \{0\}$ . The restriction of f to Y is the desired function showing that  $Y$  is completely regular. Let  $X=\prod X_\alpha$  be a product of completely regular spaces. Let  $\mathbf{b}=(b_\alpha)$  be a point of X and let A be a closed set of X not containing **b**. Choose a basis element  $\prod U_\alpha$  containing  $\bf b$  that does not intersect  $A$  (which can be done since A is closed and so **b** is not a limit point of A). Then (under the product topology)  $U_{\alpha} = X_{\alpha}$  except for finitely many  $\alpha$ , say  $\alpha \in {\alpha_1, \alpha_2, \ldots, \alpha_n}.$ 

### Theorem 33.2 (continued)

**Proof (continued).** Given  $i = 1, 2, ..., n$ , choose continuous  $f:X_{\alpha_i}\to[0,1]$  such that  $f_i(b_{\alpha_i})=1$  and  $f_i(X_{\alpha_i}\setminus U_{\alpha_i})=\{0\}$  (using the complete regularity of each  $\lambda_{\alpha_i}$ ). Let  $\varphi_i:X\to [0,1]$  as  $\varphi_i(\mathsf{x})=f_i(\pi_{\alpha_i}(\mathsf{x}))$ (where  $\pi_{\alpha_i}$  is the projection of  $X$  into  $\lambda_{\alpha_i}$ ). The projection  $\pi_{\alpha_i}$ ) is continuous (see the proof of Theorem 19.6) and so each  $\varphi_i$  is continuous. Also, for  $\mathbf{x}\not\in\pi_{\alpha_i}^{-1}(U_{\alpha_i}),\ \varphi_i(\mathbf{x})=f_i(\pi_{\alpha_i}(\mathbf{x}))=f_i(\mathsf{x}_{\alpha_i})=0$  since  $x_{\alpha_i}\in X_{\alpha_i}\setminus U_{\alpha_i}.$  So  $\varphi_i$  is zero on  $\pi_{\alpha_i}^{-1}(U_{\alpha_i})$ ; in particular,  $\varphi_i$  is zero on  $A.$ Then the product  $f(\mathbf{x}) = \varphi_i(\mathbf{x})\varphi_2(\mathbf{x})\cdots\varphi_n(\mathbf{x})$  is continuous and for  $\mathbf{x} \in A$ , we have  $\varphi_i(\mathbf{x}) = 0$ .

**Proof (continued).** Given  $i = 1, 2, ..., n$ , choose continuous  $f:X_{\alpha_i}\to[0,1]$  such that  $f_i(b_{\alpha_i})=1$  and  $f_i(X_{\alpha_i}\setminus U_{\alpha_i})=\{0\}$  (using the complete regularity of each  $\lambda_{\alpha_i}$ ). Let  $\varphi_i:X\to [0,1]$  as  $\varphi_i(\mathsf{x})=f_i(\pi_{\alpha_i}(\mathsf{x}))$ (where  $\pi_{\alpha_i}$  is the projection of  $X$  into  $\lambda_{\alpha_i}$ ). The projection  $\pi_{\alpha_i}$ ) is continuous (see the proof of Theorem 19.6) and so each  $\varphi_i$  is continuous. Also, for  ${\bf x}\not\in\pi_{\alpha_i}^{-1}(U_{\alpha_i}),\ \varphi_i({\bf x})=f_i(\pi_{\alpha_i}({\bf x}))=f_i({\sf x}_{\alpha_i})=0$  since  $x_{\alpha_i}\in X_{\alpha_i}\setminus U_{\alpha_i}.$  So  $\varphi_i$  is zero on  $\pi_{\alpha_i}^{-1}(U_{\alpha_i})$ ; in particular,  $\varphi_i$  is zero on  $A.$ Then the product  $f(\mathbf{x}) = \varphi_i(\mathbf{x})\varphi_2(\mathbf{x})\cdots\varphi_n(\mathbf{x})$  is continuous and for  $\mathbf{x} \in A$ , we have  $\varphi_i(\mathbf{x}) = 0$ . Also,

 $f(\mathbf{b}) = \varphi_i(\mathbf{b})\varphi_2(\mathbf{b})\cdots\varphi_n(\mathbf{b}) = f_1(\pi_{\alpha_1}(\mathbf{b}))f_2(\pi_{\alpha_2}(\mathbf{b}))\cdots f_n(\pi_{\alpha_n}(\mathbf{b}))$ 

 $= f_1(b_1) f_2(b_2) \cdots f_n(b_n) = (1)(1) \cdots (1) = 1.$ 

So  $f$  is the desired continuous function and shows that  $\prod X_\alpha$  is complete regular.

**Proof (continued).** Given  $i = 1, 2, ..., n$ , choose continuous  $f:X_{\alpha_i}\to[0,1]$  such that  $f_i(b_{\alpha_i})=1$  and  $f_i(X_{\alpha_i}\setminus U_{\alpha_i})=\{0\}$  (using the complete regularity of each  $\lambda_{\alpha_i}$ ). Let  $\varphi_i:X\to [0,1]$  as  $\varphi_i(\mathsf{x})=f_i(\pi_{\alpha_i}(\mathsf{x}))$ (where  $\pi_{\alpha_i}$  is the projection of  $X$  into  $\lambda_{\alpha_i}$ ). The projection  $\pi_{\alpha_i}$ ) is continuous (see the proof of Theorem 19.6) and so each  $\varphi_i$  is continuous. Also, for  ${\bf x}\not\in\pi_{\alpha_i}^{-1}(U_{\alpha_i}),\ \varphi_i({\bf x})=f_i(\pi_{\alpha_i}({\bf x}))=f_i({\sf x}_{\alpha_i})=0$  since  $x_{\alpha_i}\in X_{\alpha_i}\setminus U_{\alpha_i}.$  So  $\varphi_i$  is zero on  $\pi_{\alpha_i}^{-1}(U_{\alpha_i})$ ; in particular,  $\varphi_i$  is zero on  $A.$ Then the product  $f(\mathbf{x}) = \varphi_i(\mathbf{x})\varphi_2(\mathbf{x})\cdots\varphi_n(\mathbf{x})$  is continuous and for  $\mathbf{x} \in A$ , we have  $\varphi_i(\mathbf{x}) = 0$ . Also,

$$
f(\mathbf{b}) = \varphi_i(\mathbf{b})\varphi_2(\mathbf{b})\cdots\varphi_n(\mathbf{b}) = f_1(\pi_{\alpha_1}(\mathbf{b}))f_2(\pi_{\alpha_2}(\mathbf{b}))\cdots f_n(\pi_{\alpha_n}(\mathbf{b}))
$$

$$
= f_1(b_1)f_2(b_2)\cdots f_n(b_n) = (1)(1)\cdots(1) = 1.
$$

So  $f$  is the desired continuous function and shows that  $\prod X_\alpha$  is complete regular.