

Theorem 41.2

Theorem 41.2. Every closed subspace of a paracompact space is paracompact.

Proof. Let Y be a closed subspace of the paracompact space X . Let \mathcal{A} be a covering of Y by sets open in Y . For each $A \in \mathcal{A}$, choose an open set A' of X such that $A' \cap Y = A$ (which can be done by the definition of the subspace topology). Cover X by the sets A' (which are open in X), along with the open (in X) set $X \setminus Y$ (this is where Y is closed is used). Since X is paracompact, there is a locally finite open refinement \mathcal{B} of the covering of X by the A' 's that cover X . The collection $\mathcal{C} = \{B \cap Y \mid B \in \mathcal{B}\}$ is then an open refinement of \mathcal{A} covering Y . Since \mathcal{B} is locally finite then (by definition) each $x \in X$ has a neighborhood intersecting only finitely many $B \in \mathcal{B}$. Therefore, each $y \in Y$ has a neighborhood (in the subspace topology) which intersects only finitely many $B \cap Y \in \mathcal{C}$. That is, \mathcal{C} is locally finite. Therefore, Y is paracompact. \square

Theorem 41.3 (continued 1)

Proof (continued). (1) \Rightarrow (2). Let \mathcal{A} be an open covering of X and let \mathcal{B} be an open refinement of \mathcal{A} that covers X and is countably locally finite (which exists by (1)). Let $\mathcal{B} = \cup_{n=1}^{\infty} \mathcal{B}_n$ where each \mathcal{B}_n is locally finite (but notice that the \mathcal{B}_n 's may not cover X). For $i \in \mathbb{N}$, let $V_i = \cup_{U \in \mathcal{B}_i} U$. For each $n \in \mathbb{N}$ and each $U \in \mathcal{B}_n$, define $S_n(U) = U \setminus \cup_{i < n} V_i$. Let $C_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$. Then C_n is a refinement of \mathcal{B}_n since $S_n(U) \subset U$ for each $U \in \mathcal{B}_n$ (but $S_n(U)$ may not be open [nor closed]). Let $\mathcal{C} = \sup_{n=1}^{\infty} C_n$. We claim that \mathcal{C} is the required locally finite refinement of \mathcal{A} covering X . Since each C_n is a refinement of each \mathcal{B}_n , then \mathcal{C} is a refinement of \mathcal{B} and hence of \mathcal{A} .

Let $x \in X$. Let N be the smallest index such that $x \in B_N$ (since \mathcal{B} is a covering of X , such N exists). Let $U_x \in \mathcal{B}_N$ contain x . Since $x \notin B_i$ for $i < N$, then $x \in S_N(U_x) \in C_N \subset \mathcal{C}$. So \mathcal{C} is a covering of X .

Lemma 41.3

Lemma 41.3. Let X be a regular topological space. The following conditions on X are equivalent. Every open covering of X has a refinement that is:

- (1) an open covering of X and countably locally finite,
- (2) a covering of X and locally finite,
- (3) a closed covering of X and locally finite, and
- (4) an open covering of X and locally finite (that is, X is paracompact).

Proof. (4) \Rightarrow (1). Since an open covering of X is countably locally finite (by definition) if it can be written as a countable union of collections of sets each of which is locally finite, then (4) \Rightarrow (1).

Theorem 41.3 (continued 2)

Proof (continued). Next (to show that \mathcal{C} is locally finite) since each collection \mathcal{B}_n is locally finite, then for each index volume $n = 1, 2, \dots, N$ there is a neighborhood W_n of x that intersects only finitely many elements of \mathcal{B}_n . Now for a given $V \in \mathcal{B}_n$, if W_n intersects $S_n(V) \in C_n$ then W_n must intersect $V \in \mathcal{B}_n$ since $S_n(V) \subset V$, or by the contrapositive, if W_n does not intersect $V \in \mathcal{B}_n$ then W_n does not intersect $S_n(V) \in C_n$. Since W_n intersects only finitely many elements of \mathcal{B}_n then W_n intersects only finitely many elements of C_n . Since $U_x \in \mathcal{B}_M$ (the U_x containing x introduced in the previous paragraph), then U_x intersects no element of C_n for $n > M$ (since $C_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ and $S_n(U) = U \setminus \cup_{i < n} V_i$). So the open set $W_1 \cap W_2 \cap \dots \cap W_N \cap U$ contains x and intersects only finitely many elements of \mathcal{C} . That is, \mathcal{C} is locally finite. Therefore, \mathcal{C} is a locally finite covering of X (though the elements of \mathcal{C} may not be open or closed) and (2) follows.

Theorem 41.3 (continued 3)

Proof (continued). (2) \Rightarrow (3). Let \mathcal{A} be an open covering of \mathcal{A} . Let \mathcal{B} be the collection of all open sets U or X such that \overline{U} is contained in an element of \mathcal{A} . So \mathcal{B} is a refinement of \mathcal{A} . Since X is regular by hypothesis then, by lemma 31.1(a), \mathcal{B} is an open cover of X (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres). There is a refinement \mathcal{C} of \mathcal{B} that covers X and is locally finite by hypothesis (2). Let $\mathcal{D} = \{\overline{C} \mid C \in \mathcal{C}\}$. That \mathcal{D} also covers X and of course the elements of \mathcal{D} are closed. By Lemma 39.1(b), \mathcal{D} is locally finite. Since \mathcal{B} refines \mathcal{A} , \mathcal{C} refines \mathcal{B} , and any $U \in \mathcal{B}$ satisfies $\overline{U} \in \mathcal{A}$ for some $A \in \mathcal{A}$, then \mathcal{D} refines \mathcal{A} . So \mathcal{D} is a closed covering of X which is locally finite and refines \mathcal{A} . That is, (3) holds.

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Lemma 41.3

Theorem 41.3 (continued 5)

Proof (continued). So $\cup_{C \in \mathcal{C}(B)} C$ is closed and $E(B)$ is open. By definition, $B \subset E(B)$ (since $C \cap B = \emptyset$ for each $C \in \mathcal{C}(B)$).

For each $B \in \mathcal{B}$, there is $F(B) \in \mathcal{A}$ containing B since \mathcal{B} is a refinement of \mathcal{A} . Define

$$\mathcal{D} = \{E(B) \cap F(B) \mid B \in \mathcal{B}\} = \{(X \setminus \cup_{C \in \mathcal{C}(B)} C) \cap F(B) \mid B \in \mathcal{B}\}$$

where $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B$. Then \mathcal{D} is a refinement of \mathcal{A} since each element of \mathcal{D} satisfies $E(B) \cap F(B) \subset F(B) \in \mathcal{A}$. Because $B \subset E(B) \cap F(B)$ and \mathcal{B} covers X , the collection \mathcal{D} covers X . Since $E(B)$ and $F(B)$ are open then \mathcal{D} is an open cover of X .

Now we show that \mathcal{D} is locally finite. Let $x \in X$ be given. Since \mathcal{C} is locally finite, there is a neighborhood W of x that intersects only finitely many elements of \mathcal{C} , say C_1, C_2, \dots, C_k . Because \mathcal{C} covers X , open set W is covered by C_1, C_2, \dots, C_k . Now if $C \in \mathcal{C}$ intersects $E(B) \cap F(B)$, then it intersects $E(B)$.

Theorem 41.3 (continued 4)

Proof (continued). (3) \Rightarrow (4). Let \mathcal{A} be an open covering of X . There is a refinement \mathcal{B} of \mathcal{A} that covers X and is locally finite by hypothesis (3). Covering \mathcal{B} is closed by (3), but we do not need this property. We now slightly “expand” each element of \mathcal{B} to produce an open set in such a way that \mathcal{B} is still locally finite.

For any $x \in X$, there is a neighborhood of x that intersects only finitely many elements of \mathcal{B} since \mathcal{B} is locally finite. So the collection of all open sets that intersect only finitely many elements of \mathcal{B} is thus an open covering of X . By hypothesis (3), there is a closed refinement \mathcal{C} of this new open covering that covers and is locally finite. By construction, each element of \mathcal{C} intersects only finitely many elements of \mathcal{B} .

For each $B \in \mathcal{B}$ let $\mathcal{B}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ and define $E(B) = X \setminus \cup_{C \in \mathcal{B}(B)} C$. Because \mathcal{C} is locally finite collection of closed sets, the union of the elements of any subcollection of \mathcal{C} is closed by Lemma 39.1 parts (a) (for the subcollection claim) and (c) (for this closed claim).

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Lemma 41.3

Theorem 41.3 (continued 6)

Proof (continued). Now $E(B)$ is by definition the complement of the union of all elements of \mathcal{C} which do *not* intersect B . So if C intersects $E(B)$ then it must also intersect B (i.e., C cannot not intersect B !). Since \mathcal{C} intersects only finitely many $B \in \mathcal{B}$ then C can intersect finitely many (corresponding) $E(B)$ and hence C intersects at most the same number of elements $E(B) \cap F(B)$ of \mathcal{D} . So neighborhood W or x intersects C_1, C_2, \dots, C_k and each of these C_i intersect finitely many elements of \mathcal{D} . So \mathcal{D} is locally finite. Therefore, \mathcal{D} is an open covering of X that is locally finite and a refinement of \mathcal{A} . Hence (4) follows. \square

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Theorem 41.4

Theorem 41.4. Every metrizable space is paracompact.

Proof. Let X be a metrizable space. By Theorem 39.2, every open covering \mathcal{A} of X has an open refinement that covers X and is countably locally finite (an example of an open covering is $\mathcal{A} = \{X\}$). By Lemma 41.3 (the (1) \Rightarrow (4) part) there is a refinement of \mathcal{A} that covers X and is locally finite. So, by definition, X is paracompact. \square

Theorem 41.5

Theorem 41.5. Every regular Lindelöf space is paracompact.

Proof. Let X be regular and Lindelöf. Since X is Lindelöf, by definition, every open covering \mathcal{A} of X has a countable open subcovering of X . Trivially, this subcovering is countably locally finite (write the countable covering as a countable union of the sets consisting of single elements of the subcovering). By Lemma 41.3 (the (1) \Rightarrow (4) part), \mathcal{A} has an open refinement that covers X and is locally finite. So, by definition, X is paracompact. \square

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Lemma 41.6

Lemma 41.6. Let X be a paracompact Hausdorff space. Let $\{U_\alpha\}_{\alpha \in J}$ be an indexed family of open sets covering X . Then there exists a locally finite indexed family $\{V_\alpha\}_{\alpha \in J}$ of open sets covering X such that $\overline{V_\alpha} \subset U_\alpha$ for all $\alpha \in J$.

Proof. Let \mathcal{A} be the collection of all open sets A such that \overline{A} is contained in some element of the open covering $\{U + \alpha\}_{\alpha \in J}$. By Theorem 4.1., X is normal and so also regular (every normal space is regular) and so by Lemma 31.1(a), \mathcal{A} covers X (notice that in a regular space, by definition, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres). Since X is paracompact then (by definition) we can find a locally finite collection \mathcal{B} of open sets covering X that refines \mathcal{A} . Let K be an indexing set for \mathcal{B} , so that $\mathcal{B} = \{B_\beta\}_{\beta \in K}$ is a locally finite indexed family.

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Theorem 41.6 (continued 1)

Proof (continued). Since \mathcal{A} refines $\{U_\alpha\}_{\alpha \in J}$ where each $A \in \mathcal{A}$ satisfies $\overline{A} \subset U_\alpha$ for some $\alpha \in J$, and \mathcal{B} refines \mathcal{A} , then for each $B_\beta \in \mathcal{B}$ we have that $\overline{B_\beta} \subset U_\gamma$ for some $U_\gamma \in \{U_\alpha\}_{\alpha \in J}$ and some $\gamma \in J$. Define $f : K \rightarrow J$ as $f(\beta) = \gamma$ (notice that there may be multiple choices for $f(\beta)$ here so we seem to be using the Axiom of Choice here!). For each $\alpha \in J$, define V_α to be the union of the elements in the collection $B_\alpha = \{B_\beta \mid f(\beta) = \alpha\}$. So each V_α is open. For each $B_\beta \in \mathcal{B}$ we have $B_\beta \subset U_\alpha$ (by the definition of f). Since $B_\alpha \subset \mathcal{B}$ then B_α is locally finite, and so $\overline{V_\alpha}$ equals the union of the closures of the elements of B_α by Lemma 39.1(c). Therefore, $\overline{V_\alpha} \subset U_\alpha$.

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Lemma 41.6 (continued 2)

Lemma 41.6. Let X be a paracompact Hausdorff space. Let $\{U_\alpha\}_{\alpha \in J}$ be an indexed family of open sets covering X . Then there exists a locally finite indexed family $\{V_\alpha\}_{\alpha \in J}$ of open sets covering X such that $\overline{V_\alpha} \subset U_\alpha$ for all $\alpha \in J$.

Proof (continued). Given $x \in X$, choose a neighborhood W of x such that W intersects B_β for only finitely many values of β , say $\beta_1, \beta_2, \dots, \beta_k$ (which is the case since \mathcal{B}_α is locally finite). Then W can intersect V_α only if α is one of the indices $f(\beta_1), f(\beta_2), \dots, f(\beta_k)$ since V_α is the union of all B_β such that $f(\beta) = \alpha$. Therefore $\{V_\alpha\}_{\alpha \in J}$ is a locally finite family of open sets covering X (since $\mathcal{B} = \{B_\beta\}_{\beta \in K}$ is a covering of X and $\bigcup_{\beta \in K} B_\beta = \bigcup_{\alpha \in J} V_\alpha$) with $\overline{V_\alpha} \subset U_\alpha$, as desired. \square

Lemma 41.7 (continued)

Proof (continued). Hence the indexed family $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$ is also locally finite. Note that because $\{W_\alpha\}_{\alpha \in J}$ covers X , then for any given $x \in X$ we have $x \in W_\alpha$ for some $\alpha \in J$ and so $\psi_\alpha(x) = 1$.

So for any $x \in X$ there is a neighborhood W_x of x that intersects $\text{Support}(\psi_\alpha)$ for only finitely many $\alpha \in J$ (since $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$ is locally finite), so we interpret $\sum_{\alpha \in J} \psi_\alpha(x)$ as the sum over these finite number of $\alpha \in J$. As such, define $\Psi(x) = \sum_{\alpha \in J} \psi_\alpha(x)$. It follows that the restriction of Ψ to W_x if a finite sum of continuous (real valued) functions and so is continuous. So by Theorem 18.2(f), Ψ is continuous on X . Also, Ψ is positive (in fact, it is natural number valued), so define

$\varphi_\alpha(x) = \psi_\alpha(x)/\Psi(x)$. Then

- (1) $\text{Support}(\varphi_\alpha) = \text{Support}(\psi_\alpha) \subset U_\alpha$ for all $\alpha \in J$,
- (2) $\text{Support}(\varphi_\alpha) = \text{Support}(\psi_\alpha)$ is locally finite, and
- (3) $\sum_{\alpha \in J} \varphi_\alpha(x) = \sum_{\alpha \in J} \psi_\alpha(x)/\Psi_\alpha(x) = 1$.

That is, $\{\psi_\alpha\}_{\alpha \in J}$ is (by definition) a partition of unity dominated by $\{U_\alpha\}_{\alpha \in J}$. \square

Theorem 41.7

Theorem 41.7. Let X be a paracompact Hausdorff space. Let $\{U_\alpha\}_{\alpha \in J}$ be an indexed open covering of X . Then there exists a partition of unity on X dominated by $\{U_\alpha\}_{\alpha \in J}$.

Proof. By Lemma 41.6, since X is paracompact and Hausdorff, there is a locally finite indexed family of open sets $\{V_\alpha\}_{\alpha \in J}$ covering X such that $\overline{V_\alpha} \subset U_\alpha$ for all $\alpha \in J$. Similarly, by Lemma 41.6 as applied to open covering $\{V_\alpha\}_{\alpha \in J}$ of X , there is a locally finite indexed family of open sets $\{W_\alpha\}_{\alpha \in J}$ covering X such that $\overline{W_\alpha} \subset V_\alpha$ for all $\alpha \in J$. Next, by Theorem 41.1, X is normal. Since for each $\alpha \in J$, $\overline{W_\alpha}$ and $X \setminus \overline{V_\alpha}$ are disjoint closed sets, then by Urysohn's Lemma (Theorem 33.1), there is a continuous function $\psi_\alpha : X \rightarrow [0, 1]$ such that $\psi_\alpha(\overline{W_\alpha}) = \{1\}$ and $\psi_\alpha(X \setminus V_\alpha) = \{0\}$. Since ψ_α is nonzero only at points of V_α , we have $\text{Support}(\psi_\alpha) \subset V_\alpha \subset U_\alpha$. Furthermore, the indexed family $\{\overline{W_\alpha}\}_{\alpha \in J}$ is locally finite because an open set (and so a neighborhood of some point) intersects $\overline{W_\alpha}$ only if it intersects V_α (since $\overline{W_\alpha}$ consists of the points in V_α and the limit points of V_α by Theorem 17.6). \square

Theorem 41.8

Theorem 41.8. Let X be a paracompact Hausdorff space. Let \mathcal{C} be a collection of subsets of X and for each $X \in \mathcal{C}$ let $\varepsilon_C > 0$. If \mathcal{C} is locally finite, then there is a continuous function $f : X \rightarrow \mathbb{R}$ such that $f(x) > 0$ for all x , and $f(x) \leq \varepsilon_C$ for $x \in C$.

Proof. Since \mathcal{C} is locally finite then (by definition) for each $x \in X$ there is a neighborhood of x which intersects only finitely many elements of \mathcal{C} , so create an open covering of X with such neighborhoods and denote it $\{U_\alpha\}_{\alpha \in J}$. By Theorem 41.7, there is a partition of unity $\{\varphi_\alpha\}_{\alpha \in J}$ on X dominated by $\{U_\alpha\}_{\alpha \in J}$.

For a given $\alpha \in J$, let δ_α be the minimum of the $\varepsilon_C > 0$ as C ranges over the elements of \mathcal{C} which intersect the support of φ_α (by definition of "partition of unity," $\text{Support}(\varphi_\alpha) \subset U_\alpha$ and by construction U_α intersects only finitely many $C \in \mathcal{C}$, so there are finitely many such C). If there are so such $C \in \mathcal{C}$, then set $\delta_\alpha = 1$.

Theorem 41.8 (continued)

Proof (continued). Define $f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha}(x)$ (for given $x \in X$, this is nonzero for only finitely many $\alpha \in J$). Since $\varphi_{\alpha}(x) : X \rightarrow [0, 1]$, $\varphi_{\alpha}(x) > 0$ for some $\alpha \in J$ and for such α we have $\delta_{\alpha} > 0$, then f is positive valued for all $x \in X$, as claimed. If $x \notin \text{Support}(\varphi_{\alpha})$ then $\varphi_{\alpha}(x) = 0$; if $x \in \text{Support}(\varphi_{\alpha})$ and $x \in C$ then $\delta_{\alpha} \leq \varepsilon_C$. So for any $x \in C$ we have $\delta_{\alpha} \varphi_{\alpha}(x) \leq \varepsilon_C \varphi_{\alpha}(x) \leq \varepsilon_C$ for arbitrary $\alpha \in J$ and since, $\sum_{\alpha \in J} \varphi_{\alpha}(x) = 1$,

$$f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha}(x) \leq \sum_{\alpha \in J} \varepsilon_C \varphi_{\alpha}(x) = \varepsilon_C \sum_{\alpha \in J} \varphi_{\alpha}(x) = \varepsilon_C,$$

as claimed.

Finally, $\{\text{Support}(\varphi_{\alpha})\}_{\alpha \in J}$ is locally finite (by the definition of “partition of unity”) so for any $x \in X$, there is a neighborhood W of x such that W intersects only finitely many $\text{Support}(\varphi_{\alpha})$'s. So on W ,

$f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha}(x)$ is the sum of finitely many continuous (real valued) functions and so f is continuous on W ; that is, f restricted to each such

W is continuous. By by Theorem 18.2(f), f is continuous on X , as claimed. \square