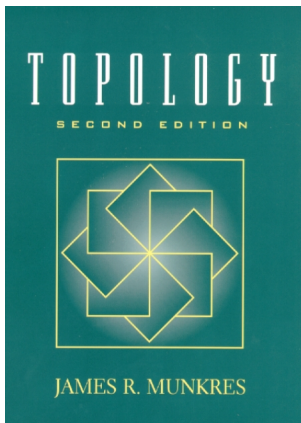


# Introduction to Topology

## Chapter 6. Metrization Theorems and Paracompactness

### Section 41. Paracompactness—Proofs of Theorems



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# Theorem 41.1

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## Theorem 41.1 (continued 1)

**Proof (continued).** Let  $V = \cup_{D \in \mathcal{D}} D$ ; then  $V$  is open in  $X$  and  $B \subset V$  (since  $\mathcal{D}$  covers  $B$ ). Since  $\mathcal{C}$  is a locally finite covering of  $X$  then  $\mathcal{D}$  is a locally finite covering of  $B$ . Therefore, by Lemma 39.1(c),  $\overline{V} = \cup_{D \in \mathcal{D}} \overline{D}$ . Since  $a \notin \overline{U}_b$  and  $\overline{D} \subset \overline{U}_b$ , then  $a \notin \overline{V}$ . Since  $a \notin \overline{V}$ , then  $a$  is neither in  $V$  nor is  $a$  a limit point of  $V$  (see Theorem 17.6), so there is some open set  $U$  containing  $a$  such that  $U \cap V = \emptyset$ . So  $U$  and  $V$  are open,  $U \cap V = \emptyset$ , closed set  $B \subset V$  and  $a \in U$ . That is,  $X$  is a regular topological space.



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Now for normality, let  $A$  and  $B$  be closed sets in  $X$ . Since  $X$  is regular by the previous argument, for each  $b \in B$  there are open sets  $U_b$  and  $U_{b,A}$  with  $b \in U_b$ ,  $A \subset U_{b,A}$ , and  $U_b \cap U_{b,A} = \emptyset$ . Then  $A \cap \overline{U}_b = \emptyset$  ( $U_{b,A}$  consists of the points in  $U_{b,A}$  and the limit points of  $U_{b,A}$  by Theorem 17.6;

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## Theorem 41.1 (continued 2)

**Theorem 41.1.** Every paracompact Hausdorff space  $X$  is normal.

**Proof (continued).** Form the subcollection  $\mathcal{D}$  of  $\mathcal{C}$  consisting of every element of  $\mathcal{C}$  that intersects  $B$ . Then  $\mathcal{D}$  covers  $B$  (since  $\mathcal{C}$  covers  $X$ ).

Furthermore, if  $D \in \mathcal{D}$  then  $\overline{D} \cap A = \emptyset$  since  $D$  intersects  $B$  and so it lies in some  $U_b$  (since  $\mathcal{D} \subset \mathcal{C}$  and  $\mathcal{C}$  is a refinement of  $\mathcal{A}$ ) where  $A \cap \overline{U}_b = \emptyset$  and  $\overline{D} \subset \overline{U}_b$ . Let  $V = \cup_{D \in \mathcal{D}} D$ ; then  $V$  is open in  $X$  and  $B \subset V$  (since  $\mathcal{D}$  covers  $B$ ). Since  $\mathcal{C}$  is a locally finite covering of  $X$  then  $\mathcal{D}$  is a locally finite covering of  $B$ .

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## Theorem 41.2

**Theorem 41.2.** Every closed subspace of a paracompact space is paracompact.

**Proof.** Let  $Y$  be a closed subspace of the paracompact space  $X$ . Let  $\mathcal{A}$  be a covering of  $Y$  by sets open in  $Y$ .



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## Theorem 41.2

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## Lemma 41.3

**Lemma 41.3.** Let  $X$  be a regular topological space. The following conditions on  $X$  are equivalent. Every open covering of  $X$  has a refinement that is:

- (1) an open covering of  $X$  and countably locally finite,
- (2) a covering of  $X$  and locally finite,
- (3) a closed covering of  $X$  and locally finite, and
- (4) an open covering of  $X$  and locally finite (that is,  $X$  is paracompact).

**Proof.** (4) $\Rightarrow$ (1). Since an open covering of  $X$  is countably locally finite (by definition) if it can be written as a countable union of collections of sets each of which is locally finite, then (4) $\Rightarrow$ (1).

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**Proof.** (4) $\Rightarrow$ (1). Since an open covering of  $X$  is countably locally finite (by definition) if it can be written as a countable union of collections of sets each of which is locally finite, then (4) $\Rightarrow$ (1).

## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \cup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \cup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \cup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ .

## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \cup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \cup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \cup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ . Then  $\mathcal{C}_n$  is a refinement of  $\mathcal{B}_n$  since  $S_n(U) \subset U$  for each  $U \in \mathcal{B}_n$  (but  $S_n(U)$  may not be open [nor closed]). Let  $\mathcal{C} = \sup_{n=1}^{\infty} \mathcal{C}_n$ .



## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \bigcup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \bigcup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \bigcup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ . Then  $\mathcal{C}_n$  is a refinement of  $\mathcal{B}_n$  since  $S_n(U) \subset U$  for each  $U \in \mathcal{B}_n$  (but  $S_n(U)$  may not be open [nor closed]). Let  $\mathcal{C} = \sup_{n=1}^{\infty} \mathcal{C}_n$ . We claim that  $\mathcal{C}$  is the required locally finite refinement of  $\mathcal{A}$  covering  $X$ . Since each  $\mathcal{C}_n$  is a refinement of each  $\mathcal{B}_n$ , then  $\mathcal{C}$  is a refinement of  $\mathcal{B}$  and hence of  $\mathcal{A}$ .

## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \bigcup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \bigcup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \bigcup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ . Then  $\mathcal{C}_n$  is a refinement of  $\mathcal{B}_n$  since  $S_n(U) \subset U$  for each  $U \in \mathcal{B}_n$  (but  $S_n(U)$  may not be open [nor closed]). Let  $\mathcal{C} = \sup_{n=1}^{\infty} \mathcal{C}_n$ . We claim that  $\mathcal{C}$  is the required locally finite refinement of  $\mathcal{A}$  covering  $X$ . Since each  $\mathcal{C}_n$  is a refinement of each  $\mathcal{B}_n$ , then  $\mathcal{C}$  is a refinement of  $\mathcal{B}$  and hence of  $\mathcal{A}$ .

Let  $x \in X$ . Let  $N$  be the smallest index such that  $x \in \mathcal{B}_N$  (since  $\mathcal{B}$  is a covering of  $X$ , such  $N$  exists). Let  $U_x \in \mathcal{B}_N$  contain  $x$ .

## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \bigcup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \bigcup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \bigcup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ . Then  $\mathcal{C}_n$  is a refinement of  $\mathcal{B}_n$  since  $S_n(U) \subset U$  for each  $U \in \mathcal{B}_n$  (but  $S_n(U)$  may not be open [nor closed]). Let  $\mathcal{C} = \sup_{n=1}^{\infty} \mathcal{C}_n$ . We claim that  $\mathcal{C}$  is the required locally finite refinement of  $\mathcal{A}$  covering  $X$ . Since each  $\mathcal{C}_n$  is a refinement of each  $\mathcal{B}_n$ , then  $\mathcal{C}$  is a refinement of  $\mathcal{B}$  and hence of  $\mathcal{A}$ .

Let  $x \in X$ . Let  $N$  be the smallest index such that  $x \in \mathcal{B}_N$  (since  $\mathcal{B}$  is a covering of  $X$ , such  $N$  exists). Let  $U_x \in \mathcal{B}_N$  contain  $x$ . Since  $x \notin \mathcal{B}_i$  for  $i < N$ , then  $x \in S_N(U_x) \in \mathcal{C}_N \subset \mathcal{C}$ . So  $\mathcal{C}$  is a covering of  $X$ .

## Theorem 41.3 (continued 1)

**Proof (continued).** (1) $\Rightarrow$ (2). Let  $\mathcal{A}$  be an open covering of  $X$  and let  $\mathcal{B}$  be an open refinement of  $\mathcal{A}$  that covers  $X$  and is countably locally finite (which exists by (1)). Let  $\mathcal{B} = \bigcup_{n=1}^{\infty} \mathcal{B}_n$  where each  $\mathcal{B}_n$  is locally finite (but notice that the  $\mathcal{B}_n$ 's may not cover  $X$ ). For  $i \in \mathbb{N}$ , let  $V_i = \bigcup_{U \in \mathcal{B}_i} U$ . For each  $n \in \mathbb{N}$  and each  $U \in \mathcal{B}_n$ , define  $S_n(U) = U \setminus \bigcup_{i < n} V_i$ . Let  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$ . Then  $\mathcal{C}_n$  is a refinement of  $\mathcal{B}_n$  since  $S_n(U) \subset U$  for each  $U \in \mathcal{B}_n$  (but  $S_n(U)$  may not be open [nor closed]). Let  $\mathcal{C} = \sup_{n=1}^{\infty} \mathcal{C}_n$ . We claim that  $\mathcal{C}$  is the required locally finite refinement of  $\mathcal{A}$  covering  $X$ . Since each  $\mathcal{C}_n$  is a refinement of each  $\mathcal{B}_n$ , then  $\mathcal{C}$  is a refinement of  $\mathcal{B}$  and hence of  $\mathcal{A}$ .

Let  $x \in X$ . Let  $N$  be the smallest index such that  $x \in \mathcal{B}_N$  (since  $\mathcal{B}$  is a covering of  $X$ , such  $N$  exists). Let  $U_x \in \mathcal{B}_N$  contain  $x$ . Since  $x \notin \mathcal{B}_i$  for  $i < N$ , then  $x \in S_N(U_x) \in \mathcal{C}_N \subset \mathcal{C}$ . So  $\mathcal{C}$  is a covering of  $X$ .

## Theorem 41.3 (continued 2)

**Proof (continued).** Next (to show that  $\mathcal{C}$  is locally finite) since each collection  $\mathcal{B}_n$  is locally finite, then for each index volume  $n = 1, 2, \dots, N$  there is a neighborhood  $W_n$  of  $x$  that intersects only finitely many elements of  $\mathcal{B}_n$ . Now for a given  $V \in \mathcal{B}_n$ , if  $W_n$  intersects  $S_n(V) \in \mathcal{C}_n$  then  $W_n$  must intersect  $V \in \mathcal{B}_n$  since  $S_n(V) \subset V$ , or by the contrapositive, if  $W_n$  does not intersect  $V \in \mathcal{B}_n$  then  $W_n$  does not intersect  $S_n(V) \in \mathcal{C}_n$ . Since  $W_n$  intersects only finitely many elements of  $\mathcal{B}_n$  then  $W_n$  intersects only finitely many elements of  $\mathcal{C}_n$ . Since  $U_x \in \mathcal{B}_M$  (the  $U_x$  containing  $x$  introduced in the previous paragraph), then  $U_x$  intersects no element of  $\mathcal{C}_n$  for  $n > N$  (since  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$  and  $S_n(U) = U \setminus \cup_{i < n} V_i$ ). So the open set  $W_1 \cap W_2 \cap \dots \cap W_N \cap U$  contains  $x$  and intersects only finitely many elements of  $\mathcal{C}$ .

## Theorem 41.3 (continued 2)

**Proof (continued).** Next (to show that  $\mathcal{C}$  is locally finite) since each collection  $\mathcal{B}_n$  is locally finite, then for each index volume  $n = 1, 2, \dots, N$  there is a neighborhood  $W_n$  of  $x$  that intersects only finitely many elements of  $\mathcal{B}_n$ . Now for a given  $V \in \mathcal{B}_n$ , if  $W_n$  intersects  $S_n(V) \in \mathcal{C}_n$  then  $W_n$  must intersect  $V \in \mathcal{B}_n$  since  $S_n(V) \subset V$ , or by the contrapositive, if  $W_n$  does not intersect  $V \in \mathcal{B}_n$  then  $W_n$  does not intersect  $S_n(V) \in \mathcal{C}_n$ . Since  $W_n$  intersects only finitely many elements of  $\mathcal{B}_n$  then  $W_n$  intersects only finitely many elements of  $\mathcal{C}_n$ . Since  $U_x \in \mathcal{B}_M$  (the  $U_x$  containing  $x$  introduced in the previous paragraph), then  $U_x$  intersects no element of  $\mathcal{C}_n$  for  $n > N$  (since  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$  and  $S_n(U) = U \setminus \cup_{i < n} V_i$ ). So the open set  $W_1 \cap W_2 \cap \dots \cap W_N \cap U$  contains  $x$  and intersects only finitely many elements of  $\mathcal{C}$ . That is,  $\mathcal{C}$  is locally finite. Therefore,  $\mathcal{C}$  is a locally finite covering of  $X$  (though the elements of  $\mathcal{C}$  may not be open or closed) and (2) follows.

## Theorem 41.3 (continued 2)

**Proof (continued).** Next (to show that  $\mathcal{C}$  is locally finite) since each collection  $\mathcal{B}_n$  is locally finite, then for each index volume  $n = 1, 2, \dots, N$  there is a neighborhood  $W_n$  of  $x$  that intersects only finitely many elements of  $\mathcal{B}_n$ . Now for a given  $V \in \mathcal{B}_n$ , if  $W_n$  intersects  $S_n(V) \in \mathcal{C}_n$  then  $W_n$  must intersect  $V \in \mathcal{B}_n$  since  $S_n(V) \subset V$ , or by the contrapositive, if  $W_n$  does not intersect  $V \in \mathcal{B}_n$  then  $W_n$  does not intersect  $S_n(V) \in \mathcal{C}_n$ . Since  $W_n$  intersects only finitely many elements of  $\mathcal{B}_n$  then  $W_n$  intersects only finitely many elements of  $\mathcal{C}_n$ . Since  $U_x \in \mathcal{B}_M$  (the  $U_x$  containing  $x$  introduced in the previous paragraph), then  $U_x$  intersects no element of  $\mathcal{C}_n$  for  $n > N$  (since  $\mathcal{C}_n = \{S_n(U) \mid U \in \mathcal{B}_n\}$  and  $S_n(U) = U \setminus \cup_{i < n} V_i$ ). So the open set  $W_1 \cap W_2 \cap \dots \cap W_N \cap U$  contains  $x$  and intersects only finitely many elements of  $\mathcal{C}$ . That is,  $\mathcal{C}$  is locally finite. Therefore,  $\mathcal{C}$  is a locally finite covering of  $X$  (though the elements of  $\mathcal{C}$  may not be open or closed) and (2) follows.

## Theorem 41.3 (continued 3)

**Proof (continued).** (2) $\Rightarrow$ (3). Let  $\mathcal{A}$  be an open covering of  $X$ . Let  $\mathcal{B}$  be the collection of all open sets  $U$  of  $X$  such that  $\overline{U}$  is contained in an element of  $\mathcal{A}$ . So  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Since  $X$  is regular by hypothesis then, by lemma 31.1(a),  $\mathcal{B}$  is an open cover of  $X$  (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres).



## Theorem 41.3 (continued 3)

**Proof (continued).** (2) $\Rightarrow$ (3). Let  $\mathcal{A}$  be an open covering of  $X$ . Let  $\mathcal{B}$  be the collection of all open sets  $U$  of  $X$  such that  $\overline{U}$  is contained in an element of  $\mathcal{A}$ . So  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Since  $X$  is regular by hypothesis then, by lemma 31.1(a),  $\mathcal{B}$  is an open cover of  $X$  (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres). There is a refinement  $\mathcal{C}$  of  $\mathcal{B}$  that covers  $X$  and is locally finite by hypothesis (2). Let  $\mathcal{D} = \{\overline{C} \mid C \in \mathcal{C}\}$ . That  $\mathcal{D}$  also covers  $X$  and of course the elements of  $\mathcal{D}$  are closed. By Lemma 39.1(b),  $\mathcal{D}$  is locally finite.

## Theorem 41.3 (continued 3)

**Proof (continued).** (2) $\Rightarrow$ (3). Let  $\mathcal{A}$  be an open covering of  $X$ . Let  $\mathcal{B}$  be the collection of all open sets  $U$  of  $X$  such that  $\overline{U}$  is contained in an element of  $\mathcal{A}$ . So  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Since  $X$  is regular by hypothesis then, by lemma 31.1(a),  $\mathcal{B}$  is an open cover of  $X$  (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres). There is a refinement  $\mathcal{C}$  of  $\mathcal{B}$  that covers  $X$  and is locally finite by hypothesis (2). Let  $\mathcal{D} = \{\overline{C} \mid C \in \mathcal{C}\}$ . That  $\mathcal{D}$  also covers  $X$  and of course the elements of  $\mathcal{D}$  are closed. By Lemma 39.1(b),  $\mathcal{D}$  is locally finite. Since  $\mathcal{B}$  refines  $\mathcal{A}$ ,  $\mathcal{C}$  refines  $\mathcal{B}$ , and any  $U \in \mathcal{B}$  satisfies  $\overline{U} \in \mathcal{A}$  for some  $A \in \mathcal{A}$ , then  $\mathcal{D}$  refines  $\mathcal{A}$ . So  $\mathcal{D}$  is a closed covering of  $X$  which is locally finite and refines  $\mathcal{A}$ . That is, (3) holds.

## Theorem 41.3 (continued 3)

**Proof (continued).** (2) $\Rightarrow$ (3). Let  $\mathcal{A}$  be an open covering of  $X$ . Let  $\mathcal{B}$  be the collection of all open sets  $U$  of  $X$  such that  $\overline{U}$  is contained in an element of  $\mathcal{A}$ . So  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Since  $X$  is regular by hypothesis then, by lemma 31.1(a),  $\mathcal{B}$  is an open cover of  $X$  (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres). There is a refinement  $\mathcal{C}$  of  $\mathcal{B}$  that covers  $X$  and is locally finite by hypothesis (2). Let  $\mathcal{D} = \{\overline{C} \mid C \in \mathcal{C}\}$ . That  $\mathcal{D}$  also covers  $X$  and of course the elements of  $\mathcal{D}$  are closed. By Lemma 39.1(b),  $\mathcal{D}$  is locally finite. Since  $\mathcal{B}$  refines  $\mathcal{A}$ ,  $\mathcal{C}$  refines  $\mathcal{B}$ , and any  $U \in \mathcal{B}$  satisfies  $\overline{U} \in \mathcal{A}$  for some  $A \in \mathcal{A}$ , then  $\mathcal{D}$  refines  $\mathcal{A}$ . So  $\mathcal{D}$  is a closed covering of  $X$  which is locally finite and refines  $\mathcal{A}$ . That is, (3) holds.

## Theorem 41.3 (continued 4)

**Proof (continued).** (3) $\Rightarrow$ (4). Let  $\mathcal{A}$  be an open covering of  $X$ . There is a refinement  $\mathcal{B}$  of  $\mathcal{A}$  that covers  $X$  and is locally finite by hypothesis (3). Covering  $\mathcal{B}$  is closed by (3), but we do not need this property. We now slightly “expand” each element of  $\mathcal{B}$  to produce an open set in such a way that  $\mathcal{B}$  is still locally finite.

For any  $x \in X$ , there is a neighborhood of  $x$  that intersects only finitely many elements of  $\mathcal{B}$  since  $\mathcal{B}$  is locally finite. So the collection of all open sets that intersect only finitely many elements of  $\mathcal{B}$  is thus an open covering of  $X$ . By hypothesis (3), there is a closed refinement  $\mathcal{C}$  of this new open covering that covers and is locally finite. By construction, each element of  $\mathcal{C}$  intersects only finitely many elements of  $\mathcal{B}$ .

## Theorem 41.3 (continued 4)

**Proof (continued).** (3) $\Rightarrow$ (4). Let  $\mathcal{A}$  be an open covering of  $X$ . There is a refinement  $\mathcal{B}$  of  $\mathcal{A}$  that covers  $X$  and is locally finite by hypothesis (3). Covering  $\mathcal{B}$  is closed by (3), but we do not need this property. We now slightly “expand” each element of  $\mathcal{B}$  to produce an open set in such a way that  $\mathcal{B}$  is still locally finite.

For any  $x \in X$ , there is a neighborhood of  $x$  that intersects only finitely many elements of  $\mathcal{B}$  since  $\mathcal{B}$  is locally finite. So the collection of all open sets that intersect only finitely many elements of  $\mathcal{B}$  is thus an open covering of  $X$ . By hypothesis (3), there is a closed refinement  $\mathcal{C}$  of this new open covering that covers and is locally finite. By construction, each element of  $\mathcal{C}$  intersects only finitely many elements of  $\mathcal{B}$ .

For each  $B \in \mathcal{B}$  let  $\mathcal{B}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$  and define  $E(B) = X \setminus \bigcup_{C \in \mathcal{B}(B)} C$ . Because  $\mathcal{C}$  is locally finite collection of closed sets, the union of the elements of any subcollection of  $\mathcal{C}$  is closed by Lemma 39.1 parts (a) (for the subcollection claim) and (c) (for this closed claim).

## Theorem 41.3 (continued 4)

**Proof (continued).** (3) $\Rightarrow$ (4). Let  $\mathcal{A}$  be an open covering of  $X$ . There is a refinement  $\mathcal{B}$  of  $\mathcal{A}$  that covers  $X$  and is locally finite by hypothesis (3). Covering  $\mathcal{B}$  is closed by (3), but we do not need this property. We now slightly “expand” each element of  $\mathcal{B}$  to produce an open set in such a way that  $\mathcal{B}$  is still locally finite.

For any  $x \in X$ , there is a neighborhood of  $x$  that intersects only finitely many elements of  $\mathcal{B}$  since  $\mathcal{B}$  is locally finite. So the collection of all open sets that intersect only finitely many elements of  $\mathcal{B}$  is thus an open covering of  $X$ . By hypothesis (3), there is a closed refinement  $\mathcal{C}$  of this new open covering that covers and is locally finite. By construction, each element of  $\mathcal{C}$  intersects only finitely many elements of  $\mathcal{B}$ .

For each  $B \in \mathcal{B}$  let  $\mathcal{B}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$  and define  $E(B) = X \setminus \bigcup_{C \in \mathcal{B}(B)} C$ . Because  $\mathcal{C}$  is locally finite collection of closed sets, the union of the elements of any subcollection of  $\mathcal{C}$  is closed by Lemma 39.1 parts (a) (for the subcollection claim) and (c) (for this closed claim).

## Theorem 41.3 (continued 5)

**Proof (continued).** So  $\bigcup_{C \in \mathcal{C}(B)} C$  is closed and  $E(B)$  is open. By definition,  $B \subset E(B)$  (since  $C \cap B = \emptyset$  for each  $C \in \mathcal{C}(B)$ ).

For each  $B \in \mathcal{B}$ , there is  $F(B) \in \mathcal{A}$  containing  $B$  since  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Define

$$\mathcal{D} = \{E(B) \cap F(B) \mid B \in \mathcal{B}\} = \{(X \setminus \bigcup_{C \in \mathcal{C}(B)} C) \cap F(B) \mid B \in \mathcal{B}\}$$

where  $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ .

## Theorem 41.3 (continued 5)

**Proof (continued).** So  $\cup_{C \in \mathcal{C}(B)} C$  is closed and  $E(B)$  is open. By definition,  $B \subset E(B)$  (since  $C \cap B = \emptyset$  for each  $C \in \mathcal{C}(B)$ ).

For each  $B \in \mathcal{B}$ , there is  $F(B) \in \mathcal{A}$  containing  $B$  since  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Define

$$\mathcal{D} = \{E(B) \cap F(B) \mid B \in \mathcal{B}\} = \{(X \setminus \cup_{C \in \mathcal{C}(B)} C) \cap F(B) \mid B \in \mathcal{B}\}$$

where  $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ . Then  $\mathcal{D}$  is a refinement of  $\mathcal{A}$  since each element of  $\mathcal{D}$  satisfies  $E(B) \cap F(B) \subset F(B) \in \mathcal{A}$ . Because  $B \subset E(B) \cap F(B)$  and  $\mathcal{B}$  covers  $X$ , the collection  $\mathcal{D}$  covers  $X$ . Since  $E(B)$  and  $F(B)$  are open then  $\mathcal{D}$  is an open cover of  $X$ .



## Theorem 41.3 (continued 5)

**Proof (continued).** So  $\cup_{C \in \mathcal{C}(B)} C$  is closed and  $E(B)$  is open. By definition,  $B \subset E(B)$  (since  $C \cap B = \emptyset$  for each  $C \in \mathcal{C}(B)$ ).

For each  $B \in \mathcal{B}$ , there is  $F(B) \in \mathcal{A}$  containing  $B$  since  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Define

$$\mathcal{D} = \{E(B) \cap F(B) \mid B \in \mathcal{B}\} = \{(X \setminus \cup_{C \in \mathcal{C}(B)} C) \cap F(B) \mid B \in \mathcal{B}\}$$

where  $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ . Then  $\mathcal{D}$  is a refinement of  $\mathcal{A}$  since each element of  $\mathcal{D}$  satisfies  $E(B) \cap F(B) \subset F(B) \in \mathcal{A}$ . Because  $B \subset E(B) \cap F(B)$  and  $\mathcal{B}$  covers  $X$ , the collection  $\mathcal{D}$  covers  $X$ . Since  $E(B)$  and  $F(B)$  are open then  $\mathcal{D}$  is an open cover of  $X$ .

Now we show that  $\mathcal{D}$  is locally finite. Let  $x \in X$  be given. Since  $\mathcal{C}$  is locally finite, there is a neighborhood  $W$  of  $x$  that intersects only finitely many elements of  $\mathcal{C}$ , say  $C_1, C_2, \dots, C_k$ .

## Theorem 41.3 (continued 5)

**Proof (continued).** So  $\cup_{C \in \mathcal{C}(B)} C$  is closed and  $E(B)$  is open. By definition,  $B \subset E(B)$  (since  $C \cap B = \emptyset$  for each  $C \in \mathcal{C}(B)$ ).

For each  $B \in \mathcal{B}$ , there is  $F(B) \in \mathcal{A}$  containing  $B$  since  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Define

$$\mathcal{D} = \{E(B) \cap F(B) \mid B \in \mathcal{B}\} = \{(X \setminus \cup_{C \in \mathcal{C}(B)} C) \cap F(B) \mid B \in \mathcal{B}\}$$

where  $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ . Then  $\mathcal{D}$  is a refinement of  $\mathcal{A}$  since each element of  $\mathcal{D}$  satisfies  $E(B) \cap F(B) \subset F(B) \in \mathcal{A}$ . Because  $B \subset E(B) \cap F(B)$  and  $\mathcal{B}$  covers  $X$ , the collection  $\mathcal{D}$  covers  $X$ . Since  $E(B)$  and  $F(B)$  are open then  $\mathcal{D}$  is an open cover of  $X$ .

Now we show that  $\mathcal{D}$  is locally finite. Let  $x \in X$  be given. Since  $\mathcal{C}$  is locally finite, there is a neighborhood  $W$  of  $x$  that intersects only finitely many elements of  $\mathcal{C}$ , say  $C_1, C_2, \dots, C_k$ . Because  $\mathcal{C}$  covers  $X$ , open set  $W$  is covered by  $C_1, C_2, \dots, C_k$ . Now if  $C \in \mathcal{C}$  intersects  $E(B) \cap F(B)$ , then it intersects  $E(B)$ .

## Theorem 41.3 (continued 5)

**Proof (continued).** So  $\cup_{C \in \mathcal{C}(B)} C$  is closed and  $E(B)$  is open. By definition,  $B \subset E(B)$  (since  $C \cap B = \emptyset$  for each  $C \in \mathcal{C}(B)$ ).

For each  $B \in \mathcal{B}$ , there is  $F(B) \in \mathcal{A}$  containing  $B$  since  $\mathcal{B}$  is a refinement of  $\mathcal{A}$ . Define

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where  $\mathcal{C}(B) = \{C \mid C \in \mathcal{C} \text{ and } C \subset X \setminus B\}$ . Then  $\mathcal{D}$  is a refinement of  $\mathcal{A}$  since each element of  $\mathcal{D}$  satisfies  $E(B) \cap F(B) \subset F(B) \in \mathcal{A}$ . Because  $B \subset E(B) \cap F(B)$  and  $\mathcal{B}$  covers  $X$ , the collection  $\mathcal{D}$  covers  $X$ . Since  $E(B)$  and  $F(B)$  are open then  $\mathcal{D}$  is an open cover of  $X$ .

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## Theorem 41.3 (continued 6)

**Proof (continued).** Now  $E(B)$  is by definition the complement of the union of all elements of  $\mathcal{C}$  which do *not* intersect  $B$ . So if  $C$  intersects  $E(B)$  then it must also intersect  $B$  (i.e.,  $C$  cannot not intersect  $B$ !). Since  $C$  intersects only finitely many  $B \in \mathcal{B}$  then  $C$  can intersect finitely many (corresponding)  $E(B)$  and hence  $C$  intersects at most the same number of elements  $E(B) \cap F(B)$  of  $\mathcal{D}$ . So neighborhood  $W$  or  $x$  intersects  $C_1, C_2, \dots, C_k$  and each of these  $C_i$  intersect finitely many elements of  $\mathcal{D}$ . So  $\mathcal{D}$  is locally finite.

## Theorem 41.3 (continued 6)

**Proof (continued).** Now  $E(B)$  is by definition the complement of the union of all elements of  $\mathcal{C}$  which do *not* intersect  $B$ . So if  $C$  intersects  $E(B)$  then it must also intersect  $B$  (i.e.,  $C$  cannot not intersect  $B$ !). Since  $C$  intersects only finitely many  $B \in \mathcal{B}$  then  $C$  can intersect finitely many (corresponding)  $E(B)$  and hence  $C$  intersects at most the same number of elements  $E(B) \cap F(B)$  of  $\mathcal{D}$ . So neighborhood  $W$  or  $x$  intersects  $C_1, C_2, \dots, C_k$  and each of these  $C_i$  intersect finitely many elements of  $\mathcal{D}$ . So  $\mathcal{D}$  is locally finite. Therefore,  $\mathcal{D}$  is an open covering of  $X$  that is locally finite and a refinement of  $\mathcal{A}$ . Hence (4) follows.  $\square$

## Theorem 41.3 (continued 6)

**Proof (continued).** Now  $E(B)$  is by definition the complement of the union of all elements of  $\mathcal{C}$  which do *not* intersect  $B$ . So if  $C$  intersects  $E(B)$  then it must also intersect  $B$  (i.e.,  $C$  cannot not intersect  $B$ !). Since  $C$  intersects only finitely many  $B \in \mathcal{B}$  then  $C$  can intersect finitely many (corresponding)  $E(B)$  and hence  $C$  intersects at most the same number of elements  $E(B) \cap F(B)$  of  $\mathcal{D}$ . So neighborhood  $W$  or  $x$  intersects  $C_1, C_2, \dots, C_k$  and each of these  $C_i$  intersect finitely many elements of  $\mathcal{D}$ . So  $\mathcal{D}$  is locally finite. Therefore,  $\mathcal{D}$  is an open covering of  $X$  that is locally finite and a refinement of  $\mathcal{A}$ . Hence (4) follows.  $\square$

## Theorem 41.4)

**Theorem 41.4.** Every metrizable space is paracompact.

**Proof.** Let  $X$  be a metrizable space. By Theorem 39.2, every open covering  $\mathcal{A}$  of  $X$  has an open refinement that covers  $X$  and is countably locally finite (an example of an open covering is  $\mathcal{A} = \{X\}$ ).

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# Theorem 41.5

**Theorem 41.5.** Every regular Lindelöf space is paracompact.

**Proof.** Let  $X$  be regular and Lindelöf. Since  $X$  is Lindelöf, by definition, every open covering  $\mathcal{A}$  of  $X$  has a countable open subcovering of  $X$ . Trivially, this subcovering is countably locally finite (write the countable covering as a countable union of the sets consisting of single elements of the subcovering).

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## Lemma 41.6

**Lemma 41.6.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed family of open sets covering  $X$ . Then there exists a locally finite indexed family  $\{V_\alpha\}_{\alpha \in J}$  of open sets covering  $X$  such that  $\bar{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ .

**Proof.** Let  $\mathcal{A}$  be the collection of all open sets  $A$  such that  $\bar{A}$  is contained in some element of the open covering  $\{U_\alpha\}_{\alpha \in J}$ . By Theorem 4.1.,  $X$  is normal and so also regular (every normal space is regular) and so by Lemma 31.1(a),  $\mathcal{A}$  covers  $X$  (notice that in a regular space, by *definition*, one point sets are closed; see Munkres page 195 or the class notes for Section 31 where this is addressed and the “Tychonoff separation property” is mentioned though it is not in Munkres).

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## Lemma 41.6

**Lemma 41.6.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed family of open sets covering  $X$ . Then there exists a locally finite indexed family  $\{V_\alpha\}_{\alpha \in J}$  of open sets covering  $X$  such that  $\bar{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ .

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## Theorem 41.6 (continued 1)

**Proof (continued).** Since  $\mathcal{A}$  refines  $\{U_\alpha\}_{\alpha \in J}$  where each  $A \in \mathcal{A}$  satisfies  $\overline{A} \subset U_\alpha$  for some  $\alpha \in J$ , and  $\mathcal{B}$  refines  $\mathcal{A}$ , then for each  $B_\beta \in \mathcal{B}$  we have that  $\overline{B}_\beta \subset U_\gamma$  for some  $U_\gamma \in \{U_\alpha\}_{\alpha \in J}$  and some  $\gamma \in J$ . Define  $f : K \rightarrow J$  as  $f(\beta) = \gamma$  (notice that there may be multiple choices for  $f(\beta)$  here so we seem to be using the Axiom of Choice here!). For each  $\alpha \in J$ , define  $V_\alpha$  to be the union of the elements in the collection  $\mathcal{B}_\alpha = \{B_\beta \mid f(\beta) = \alpha\}$ . So each  $V_\alpha$  is open.



## Theorem 41.6 (continued 1)

**Proof (continued).** Since  $\mathcal{A}$  refines  $\{U_\alpha\}_{\alpha \in J}$  where each  $A \in \mathcal{A}$  satisfies  $\overline{A} \subset U_\alpha$  for some  $\alpha \in J$ , and  $\mathcal{B}$  refines  $\mathcal{A}$ , then for each  $B_\beta \in \mathcal{B}$  we have that  $\overline{B_\beta} \subset U_\gamma$  for some  $U_\gamma \in \{U_\alpha\}_{\alpha \in J}$  and some  $\gamma \in J$ . Define  $f : K \rightarrow J$  as  $f(\beta) = \gamma$  (notice that there may be multiple choices for  $f(\beta)$  here so we seem to be using the Axiom of Choice here!). For each  $\alpha \in J$ , define  $V_\alpha$  to be the union of the elements in the collection  $\mathcal{B}_\alpha = \{B_\beta \mid f(\beta) = \alpha\}$ . So each  $V_\alpha$  is open. For each  $B_\beta \in \mathcal{B}_\alpha$  we have  $B_\beta \subset U_\alpha$  (by the definition of  $f$ ). Since  $\mathcal{B}_\alpha \subset \mathcal{B}$  then  $\mathcal{B}_\alpha$  is locally finite, and so  $\overline{V_\alpha}$  equals the union of the closures of the elements of  $\mathcal{B}_\alpha$  by Lemma 39.1(c). Therefore,  $\overline{V_\alpha} \subset U_\alpha$ .

## Theorem 41.6 (continued 1)

**Proof (continued).** Since  $\mathcal{A}$  refines  $\{U_\alpha\}_{\alpha \in J}$  where each  $A \in \mathcal{A}$  satisfies  $\overline{A} \subset U_\alpha$  for some  $\alpha \in J$ , and  $\mathcal{B}$  refines  $\mathcal{A}$ , then for each  $B_\beta \in \mathcal{B}$  we have that  $\overline{B}_\beta \subset U_\gamma$  for some  $U_\gamma \in \{U_\alpha\}_{\alpha \in J}$  and some  $\gamma \in J$ . Define  $f : K \rightarrow J$  as  $f(\beta) = \gamma$  (notice that there may be multiple choices for  $f(\beta)$  here so we seem to be using the Axiom of Choice here!). For each  $\alpha \in J$ , define  $V_\alpha$  to be the union of the elements in the collection  $\mathcal{B}_\alpha = \{B_\beta \mid f(\beta) = \alpha\}$ . So each  $V_\alpha$  is open. For each  $B_\beta \in \mathcal{B}_\alpha$  we have  $B_\beta \subset U_\alpha$  (by the definition of  $f$ ). Since  $\mathcal{B}_\alpha \subset \mathcal{B}$  then  $\mathcal{B}_\alpha$  is locally finite, and so  $\overline{V}_\alpha$  equals the union of the closures of the elements of  $\mathcal{B}_\alpha$  by Lemma 39.1(c). Therefore,  $\overline{V}_\alpha \subset U_\alpha$ .

## Lemma 41.6 (continued 2)

**Lemma 41.6.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed family of open sets covering  $X$ . Then there exists a locally finite indexed family  $\{V_\alpha\}_{\alpha \in J}$  of open sets covering  $X$  such that  $\bar{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ .

**Proof (continued).** Given  $x \in X$ , choose a neighborhood  $W$  of  $x$  such that  $W$  intersects  $B_\beta$  for only finitely many values of  $\beta$ , say  $\beta_1, \beta_2, \dots, \beta_k$  (which is the case since  $\mathcal{B}_\alpha$  is locally finite). Then  $W$  can intersect  $V_\alpha$  only if  $\alpha$  is one of the indices  $f(\beta_1), f(\beta_2), \dots, f(\beta_k)$  since  $V_\alpha$  is the union of all  $B_\beta$  such that  $f(\beta) = \alpha$ . Therefore  $\{V_\alpha\}_{\alpha \in J}$  is a locally finite family of open sets covering  $X$  (since  $\mathcal{B} = \{B_\beta\}_{\beta \in K}$  is a covering of  $X$  and  $\cup_{\beta \in K} B_\beta = \cup_{\alpha \in J} V_\alpha$ ) with  $\bar{V}_\alpha \subset U_\alpha$ , as desired.  $\square$

## Lemma 41.6 (continued 2)

**Lemma 41.6.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed family of open sets covering  $X$ . Then there exists a locally finite indexed family  $\{V_\alpha\}_{\alpha \in J}$  of open sets covering  $X$  such that  $\bar{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ .

**Proof (continued).** Given  $x \in X$ , choose a neighborhood  $W$  of  $x$  such that  $W$  intersects  $B_\beta$  for only finitely many values of  $\beta$ , say  $\beta_1, \beta_2, \dots, \beta_k$  (which is the case since  $\mathcal{B}_\alpha$  is locally finite). Then  $W$  can intersect  $V_\alpha$  only if  $\alpha$  is one of the indices  $f(\beta_1), f(\beta_2), \dots, f(\beta_k)$  since  $V_\alpha$  is the union of all  $B_\beta$  such that  $f(\beta) = \alpha$ . Therefore  $\{V_\alpha\}_{\alpha \in J}$  is a locally finite family of open sets covering  $X$  (since  $\mathcal{B} = \{B_\beta\}_{\beta \in K}$  is a covering of  $X$  and  $\cup_{\beta \in K} B_\beta = \cup_{\alpha \in J} V_\alpha$ ) with  $\bar{V}_\alpha \subset U_\alpha$ , as desired.  $\square$

# Theorem 41.7

**Theorem 41.7.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed open covering of  $X$ . Then there exists a partition of unity on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

**Proof.** By Lemma 41.6, since  $X$  is paracompact and Hausdorff, there is a locally finite indexed family of open sets  $\{V_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ . Similarly, by Lemma 41.6 as applied to open covering  $\{V_\alpha\}_{\alpha \in J}$  of  $X$ , there is a locally finite indexed family of open sets  $\{W_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{W}_\alpha \subset V_\alpha$  for all  $\alpha \in J$ .

# Theorem 41.7

**Theorem 41.7.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed open covering of  $X$ . Then there exists a partition of unity on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

**Proof.** By Lemma 41.6, since  $X$  is paracompact and Hausdorff, there is a locally finite indexed family of open sets  $\{V_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{V_\alpha} \subset U_\alpha$  for all  $\alpha \in J$ . Similarly, by Lemma 41.6 as applied to open covering  $\{V_\alpha\}_{\alpha \in J}$  of  $X$ , there is a locally finite indexed family of open sets  $\{W_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{W_\alpha} \subset V_\alpha$  for all  $\alpha \in J$ . Next, by Theorem 41.1,  $X$  is normal. Since for each  $\alpha \in J$ ,  $\overline{W_\alpha}$  and  $X \setminus \overline{V_\alpha}$  are disjoint closed sets, then by Urysohn's Lemma (Theorem 33.1), there is a continuous function  $\psi_\alpha : X \rightarrow [0, 1]$  such that  $\psi_\alpha(\overline{W_\alpha}) = \{1\}$  and  $\psi_\alpha(X \setminus V_\alpha) = \{0\}$ . Since  $\psi_\alpha$  is nonzero only at points of  $V_\alpha$ , we have  $\text{Support}(\psi_\alpha) \subset \overline{V_\alpha} \subset U_\alpha$ .

# Theorem 41.7

**Theorem 41.7.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed open covering of  $X$ . Then there exists a partition of unity on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

**Proof.** By Lemma 41.6, since  $X$  is paracompact and Hausdorff, there is a locally finite indexed family of open sets  $\{V_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{V_\alpha} \subset U_\alpha$  for all  $\alpha \in J$ . Similarly, by Lemma 41.6 as applied to open covering  $\{V_\alpha\}_{\alpha \in J}$  of  $X$ , there is a locally finite indexed family of open sets  $\{W_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{W_\alpha} \subset V_\alpha$  for all  $\alpha \in J$ . Next, by Theorem 41.1,  $X$  is normal. Since for each  $\alpha \in J$ ,  $\overline{W_\alpha}$  and  $X \setminus \overline{V_\alpha}$  are disjoint closed sets, then by Urysohn's Lemma (Theorem 33.1), there is a continuous function  $\psi_\alpha : X \rightarrow [0, 1]$  such that  $\psi_\alpha(\overline{W_\alpha}) = \{1\}$  and  $\psi_\alpha(X \setminus V_\alpha) = \{0\}$ . Since  $\psi_\alpha$  is nonzero only at points of  $V_\alpha$ , we have  $\text{Support}(\psi_\alpha) \subset \overline{V_\alpha} \subset U_\alpha$ . Furthermore, the indexed family  $\{\overline{V_\alpha}\}_{\alpha \in J}$  is locally finite because an open set (and so a neighborhood of some point) intersects  $\overline{V_\alpha}$  only if it intersects  $V_\alpha$  (since  $\overline{V_\alpha}$  consists of the points in  $V_\alpha$  and the limit points of  $V_\alpha$  by Theorem 17.6).

# Theorem 41.7

**Theorem 41.7.** Let  $X$  be a paracompact Hausdorff space. Let  $\{U_\alpha\}_{\alpha \in J}$  be an indexed open covering of  $X$ . Then there exists a partition of unity on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

**Proof.** By Lemma 41.6, since  $X$  is paracompact and Hausdorff, there is a locally finite indexed family of open sets  $\{V_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{V}_\alpha \subset U_\alpha$  for all  $\alpha \in J$ . Similarly, by Lemma 41.6 as applied to open covering  $\{V_\alpha\}_{\alpha \in J}$  of  $X$ , there is a locally finite indexed family of open sets  $\{W_\alpha\}_{\alpha \in J}$  covering  $X$  such that  $\overline{W}_\alpha \subset V_\alpha$  for all  $\alpha \in J$ . Next, by Theorem 41.1,  $X$  is normal. Since for each  $\alpha \in J$ ,  $\overline{W}_\alpha$  and  $X \setminus \overline{V}_\alpha$  are disjoint closed sets, then by Urysohn's Lemma (Theorem 33.1), there is a continuous function  $\psi_\alpha : X \rightarrow [0, 1]$  such that  $\psi_\alpha(\overline{W}_\alpha) = \{1\}$  and  $\psi_\alpha(X \setminus V_\alpha) = \{0\}$ . Since  $\psi_\alpha$  is nonzero only at points of  $V_\alpha$ , we have  $\text{Support}(\psi_\alpha) \subset \overline{V}_\alpha \subset U_\alpha$ . Furthermore, the indexed family  $\{\overline{V}_\alpha\}_{\alpha \in J}$  is locally finite because an open set (and so a neighborhood of some point) intersects  $\overline{V}_\alpha$  only if it intersects  $V_\alpha$  (since  $\overline{V}_\alpha$  consists of the points in  $V_\alpha$  and the limit points of  $V_\alpha$  by Theorem 17.6).



## Lemma 41.7 (continued)

**Proof (continued).** Hence the indexed family  $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$  is also locally finite. Note that because  $\{W_\alpha\}_{\alpha \in J}$  covers  $X$ , then for any given  $x \in X$  we have  $x \in W_\alpha$  for some  $\alpha \in J$  and so  $\psi_\alpha(x) = 1$ .

So for any  $x \in X$  there is a neighborhood  $W_x$  of  $x$  that intersects  $\text{Support}(\psi_\alpha)$  for only finitely many  $\alpha \in J$  (since  $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$  is locally finite), so we interpret  $\sum_{\alpha \in J} \psi_\alpha(x)$  as the sum over these finite number of  $\alpha \in J$ . As such, define  $\Psi(x) = \sum_{\alpha \in J} \psi_\alpha(x)$ .

## Lemma 41.7 (continued)

**Proof (continued).** Hence the indexed family  $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$  is also locally finite. Note that because  $\{W_\alpha\}_{\alpha \in J}$  covers  $X$ , then for any given  $x \in X$  we have  $x \in W_\alpha$  for some  $\alpha \in J$  and so  $\psi_\alpha(x) = 1$ .

So for any  $x \in X$  there is a neighborhood  $W_x$  of  $x$  that intersects  $\text{Support}(\psi_\alpha)$  for only finitely many  $\alpha \in J$  (since  $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$  is locally finite), so we interpret  $\sum_{\alpha \in J} \psi_\alpha(x)$  as the sum over these finite number of  $\alpha \in J$ . As such, define  $\Psi(x) = \sum_{\alpha \in J} \psi_\alpha(x)$ . It follows that the restriction of  $\Psi$  to  $W_x$  is a finite sum of continuous (real valued) functions and so is continuous. So by Theorem 18.2(f),  $\Psi$  is continuous on  $X$ . Also,  $\Psi$  is positive (in fact, it is natural number valued), so define  $\varphi_\alpha(x) = \psi_\alpha(x)/\Psi(x)$ .

## Lemma 41.7 (continued)

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So for any  $x \in X$  there is a neighborhood  $W_x$  of  $x$  that intersects  $\text{Support}(\psi_\alpha)$  for only finitely many  $\alpha \in J$  (since  $\{\text{Support}(\psi_\alpha)\}_{\alpha \in J}$  is locally finite), so we interpret  $\sum_{\alpha \in J} \psi_\alpha(x)$  as the sum over these finite number of  $\alpha \in J$ . As such, define  $\Psi(x) = \sum_{\alpha \in J} \psi_\alpha(x)$ . It follows that the restriction of  $\Psi$  to  $W_x$  is a finite sum of continuous (real valued) functions and so is continuous. So by Theorem 18.2(f),  $\Psi$  is continuous on  $X$ . Also,  $\Psi$  is positive (in fact, it is natural number valued), so define

$\varphi_\alpha(x) = \psi_\alpha(x)/\Psi(x)$ . Then

- (1)  $\text{Support}(\varphi_\alpha) = \text{Support}(\psi_\alpha) \subset U_\alpha$  for all  $\alpha \in J$ ,
- (2)  $\text{Support}(\varphi_\alpha) = \text{Support}(\psi_\alpha)$  is locally finite, and
- (3)  $\sum_{\alpha \in J} \varphi_\alpha(x) = \sum_{\alpha \in J} \psi_\alpha(x)/\Psi(x) = 1$ .

That is,  $\{\psi_\alpha\}_{\alpha \in J}$  is (by definition) a partition of unity dominated by  $\{U_\alpha\}_{\alpha \in J}$ . □

## Lemma 41.7 (continued)

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## Theorem 41.8

**Theorem 41.8.** Let  $X$  be a paracompact Hausdorff space. Let  $\mathcal{C}$  be a collection of subsets of  $X$  and for each  $X \in \mathcal{C}$  let  $\varepsilon_C > 0$ . If  $\mathcal{C}$  is locally finite, then there is a continuous function  $f : X \rightarrow \mathbb{R}$  such that  $f(x) > 0$  for all  $x$ , and  $f(x) \leq \varepsilon_C$  for  $x \in C$ .

**Proof.** Since  $\mathcal{C}$  is locally finite then (by definition) for each  $x \in X$  there is a neighborhood of  $x$  which intersects only finitely many elements of  $\mathcal{C}$ , so create an open covering of  $X$  with such neighborhoods and denote it  $\{U_\alpha\}_{\alpha \in J}$ . By Theorem 41.7, there is a partition of unity  $\{\varphi_\alpha\}_{\alpha \in J}$  on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

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**Proof.** Since  $\mathcal{C}$  is locally finite then (by definition) for each  $x \in X$  there is a neighborhood of  $x$  which intersects only finitely many elements of  $\mathcal{C}$ , so create an open covering of  $X$  with such neighborhoods and denote it  $\{U_\alpha\}_{\alpha \in J}$ . By Theorem 41.7, there is a partition of unity  $\{\varphi_\alpha\}_{\alpha \in J}$  on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

For a given  $\alpha \in J$ , let  $\delta_\alpha$  be the minimum of the  $\varepsilon_C > 0$  as  $C$  ranges over the elements of  $\mathcal{C}$  which intersect the support of  $\varphi_\alpha$  (by definition of “partition of unity,”  $\text{Support}(\varphi_\alpha) \subset U_\alpha$  and by construction  $U_\alpha$  intersects only finitely many  $C \in \mathcal{C}$ , so there are finitely many such  $C$ ). If there are so such  $C \in \mathcal{C}$ , then set  $\delta_\alpha = 1$ .

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**Proof.** Since  $\mathcal{C}$  is locally finite then (by definition) for each  $x \in X$  there is a neighborhood of  $x$  which intersects only finitely many elements of  $\mathcal{C}$ , so create an open covering of  $X$  with such neighborhoods and denote it  $\{U_\alpha\}_{\alpha \in J}$ . By Theorem 41.7, there is a partition of unity  $\{\varphi_\alpha\}_{\alpha \in J}$  on  $X$  dominated by  $\{U_\alpha\}_{\alpha \in J}$ .

For a given  $\alpha \in J$ , let  $\delta_\alpha$  be the minimum of the  $\varepsilon_C > 0$  as  $C$  ranges over the elements of  $\mathcal{C}$  which intersect the support of  $\varphi_\alpha$  (by definition of “partition of unity,”  $\text{Support}(\varphi_\alpha) \subset U_\alpha$  and by construction  $U_\alpha$  intersects only finitely many  $C \in \mathcal{C}$ , so there are finitely many such  $C$ ). If there are so such  $C \in \mathcal{C}$ , then set  $\delta_\alpha = 1$ .

## Theorem 41.8 (continued)

**Proof (continued).** Define  $f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha}(x)$  (for given  $x \in X$ , this is nonzero for only finitely many  $\alpha \in J$ ). Since  $\varphi_{\alpha}(x) : X \rightarrow [0, 1]$ ,  $\varphi_{\alpha}(x) > 0$  for some  $\alpha \in J$  and for such  $\alpha$  we have  $\delta_{\alpha} > 0$ , then  $f$  is positive valued for all  $x \in X$ , as claimed. If  $x \notin \text{Support}(\varphi_{\alpha})$  then  $\varphi_{\alpha}(x) = 0$ ; if  $x \in \text{Support}(\varphi_{\alpha})$  and  $x \in C$  then  $\delta_{\alpha} \leq \varepsilon_C$ . So for any  $x \in C$  we have  $\delta_{\alpha} \varphi_{\alpha}(x) \leq \varepsilon_C \varphi_{\alpha}(x) \leq \varepsilon_C$  for arbitrary  $\alpha \in J$  and since,  $\sum_{\alpha \in J} \varphi_{\alpha}(x) = 1$ ,

$$f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha} \leq \sum_{\alpha \in J} \varepsilon_C \varphi_{\alpha}(x) = \varepsilon_C \sum_{\alpha \in J} \varphi_{\alpha} = \varepsilon_C,$$

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as claimed.

Finally,  $\{\text{Support}(\varphi_\alpha)\}_{\alpha \in J}$  is locally finite (by the definition of “partition of unity”) so for any  $x \in X$ , there is a neighborhood  $W$  of  $x$  such that  $W$  intersects only finitely many  $\text{Support}(\varphi_\alpha)$ 's. So on  $W$ ,  $f(x) = \sum_{\alpha \in J} \delta_\alpha \varphi_\alpha(x)$  is the sum of finitely many continuous (real valued) functions and so  $f$  is continuous on  $W$ ; that is,  $f$  restricted to each such  $W$  is continuous.

## Theorem 41.8 (continued)

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## Theorem 41.8 (continued)

**Proof (continued).** Define  $f(x) = \sum_{\alpha \in J} \delta_{\alpha} \varphi_{\alpha}(x)$  (for given  $x \in X$ , this is nonzero for only finitely many  $\alpha \in J$ ). Since  $\varphi_{\alpha}(x) : X \rightarrow [0, 1]$ ,  $\varphi_{\alpha}(x) > 0$  for some  $\alpha \in J$  and for such  $\alpha$  we have  $\delta_{\alpha} > 0$ , then  $f$  is positive valued for all  $x \in X$ , as claimed. If  $x \notin \text{Support}(\varphi_{\alpha})$  then  $\varphi_{\alpha}(x) = 0$ ; if  $x \in \text{Support}(\varphi_{\alpha})$  and  $x \in C$  then  $\delta_{\alpha} \leq \varepsilon_C$ . So for any  $x \in C$  we have  $\delta_{\alpha} \varphi_{\alpha}(x) \leq \varepsilon_C \varphi_{\alpha}(x) \leq \varepsilon_C$  for arbitrary  $\alpha \in J$  and since,  $\sum_{\alpha \in J} \varphi_{\alpha}(x) = 1$ ,

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