# Introduction to Topology

Chapter 6. Metrization Theorems and Paracompactness Section 42. The Smirnov Metrization Theorem—Proofs of Theorems

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#### Theorem 42.1. The Smirnov Metrization Theorem. A topological space  $X$  is metrizable if and only if it is a paracompact Hausdorff space that is locally metrizable.

<span id="page-2-0"></span>**Proof.** Suppose that X is metrizable. Then X is locally metrizable. So, by Theorem 41.4,  $X$  is paracompact. Every metric space is Hausdorff (see page 129), and one of the implications follows.

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Conversely, suppose that X is a paracompact Hausdorff space that is locally metrizable. Since X is locally metrizable, there is (by definition) an open covering of X by open sets that are metrizable. Since X is paracompact and Hausdorff then, by Theorem 41.1,  $X$  is normal. Since  $X$ is paracompact then (by definition of paracompact) there is a locally finite open refinement  $\mathcal C$  of this covering that covers  $X$ .

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**Proof (continued).** For  $m \in \mathbb{N}$ , let  $\mathcal{A}_m$  be the covering of X by all of these open balls of readius  $1/m$ :  $\mathcal{A}_m = \{B_C(x, 1/m) \mid x \in C \text{ and } C \in \mathcal{C}\}.$ Since  $X$  is paracompact then (by definition) there is a locally finite open refinement  $\mathcal{D}_m$  of  $\mathcal{A}_m$  that covers X. Let  $\mathcal{D}$  be the union of the collection  $\mathcal{D}_m: \mathcal{D} = \bigcup_{m \in \mathbb{N}} \mathcal{D}_m$ . Then  $\mathcal D$  is, by definition, countably locally finite (that is, D is a countable union [over  $m \in \mathbb{N}$ ] of locally finite collections [the  $\mathcal{D}_m$ 's]). We now show that  $\mathcal D$  is a basis for X.

**Proof (continued).** For  $m \in \mathbb{N}$ , let  $\mathcal{A}_m$  be the covering of X by all of these open balls of readius  $1/m$ :  $\mathcal{A}_m = \{B_C(x, 1/m) \mid x \in C \text{ and } C \in \mathcal{C}\}.$ Since  $X$  is paracompact then (by definition) there is a locally finite open refinement  $\mathcal{D}_m$  of  $\mathcal{A}_m$  that covers X. Let D be the union of the collection  $\mathcal{D}_m$ :  $\mathcal{D} = \bigcup_{m \in \mathbb{N}} \mathcal{D}_m$ . Then  $\mathcal D$  is, by definition, countably locally finite (that is, D is a countable union [over  $m \in \mathbb{N}$ ] of locally finite collections [the  $\mathcal{D}_m$ 's]). We now show that  $\mathcal D$  is a basis for X.

Let  $x \in X$  and let U be a neighborhood of x. Now x belongs to only finitely many elements of C (since C is locally finite), say  $C_1, C_2, \ldots, C_k$ . Then  $U\cap\mathcal{C}_i$  is a neighborhood of  $x$  (since  $\mathcal C$  is an open covering and so each  $\mathit{C}_{i}$  is open) in the set  $\mathit{C}_{i},$  so there is  $\varepsilon_{i}>0$  such that  $B_C(x,\varepsilon) \subset U \cap C_i$  (since  $C_i$  has the metric topology induced by  $d_{C_i}$ ).

**Proof (continued).** For  $m \in \mathbb{N}$ , let  $\mathcal{A}_m$  be the covering of X by all of these open balls of readius  $1/m$ :  $\mathcal{A}_m = \{B_C(x, 1/m) \mid x \in C \text{ and } C \in \mathcal{C}\}.$ Since  $X$  is paracompact then (by definition) there is a locally finite open refinement  $\mathcal{D}_m$  of  $\mathcal{A}_m$  that covers X. Let D be the union of the collection  $\mathcal{D}_m$ :  $\mathcal{D} = \bigcup_{m \in \mathbb{N}} \mathcal{D}_m$ . Then  $\mathcal D$  is, by definition, countably locally finite (that is, D is a countable union [over  $m \in \mathbb{N}$ ] of locally finite collections [the  $\mathcal{D}_m$ 's]). We now show that  $\mathcal D$  is a basis for X.

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**Proof (continued).** Since  $\mathcal{D}_m$  is a refinement of  $\mathcal{A}_m$  and  $\mathcal{A}_m$  includes all  $B_C(x, 1/m)$  for  $z \in C$ ,  $C \in C$ , then there must be some  $y \in C$  where  $C \in \mathcal{C}$  such that  $B_C(y, 1/m) \in \mathcal{A}_m$  and  $D \subset B_C(y, 1/m)$ . Since  $x\in D\subset B_\mathcal{C}(y,1/m)\subset\mathcal{C}$ , then  $x\in\mathcal{C}$  and so  $\mathcal{C}=\mathcal{C}_i$  for some  $\bm{i} \in \{1,2,\ldots,k\}.$  Since  $B_{C}(y,1/m)$  has diameter at most  $2/m < \varepsilon_i,$  then  $x \in D \subset B_{C_i}(y,1/m) \subset B_{C_i}(x,\varepsilon_i) \subset U \cap C_i \subset U$ :

**Proof (continued).** Since  $\mathcal{D}_m$  is a refinement of  $\mathcal{A}_m$  and  $\mathcal{A}_m$  includes all  $B_C(x, 1/m)$  for  $z \in C$ ,  $C \in C$ , then there must be some  $y \in C$  where  $C \in \mathcal{C}$  such that  $B_C(y, 1/m) \in \mathcal{A}_m$  and  $D \subset B_C(y, 1/m)$ . Since  $x\in D\subset B_\mathcal{C}(y,1/m)\subset\mathcal{C}$ , then  $x\in\mathcal{C}$  and so  $\mathcal{C}=\mathcal{C}_i$  for some  $i\in\{1,2,\ldots,k\}.$  Since  $B_{\textsf{C}}({y},1/m)$  has diameter at most  $2/m<\varepsilon_{i},$  then  $x \in D \subset B_{C_i}(y,1/m) \subset B_{C_i}(x,\varepsilon_i) \subset U \cap C_i \subset U$ :



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A topological space  $X$  is metrizable if and only if it is a paracompact Hausdorff space that is locally metrizable.

<span id="page-14-0"></span>**Proof.** Since  $x \in X$  and neighborhood U of x are arbitrary and  $D \in \mathcal{D}_m \subset \mathcal{D}$  with  $D \subset U$ , then  $\mathcal D$  is a basis for the topology on X and  $\mathcal D$ is countable locally finite as explained above. Since X is normal (as shown above) then  $X$  is regular and so the the Nagata-Smirnov Metrization Theorem (Theorem 40.3),  $X$  is metrizable.

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