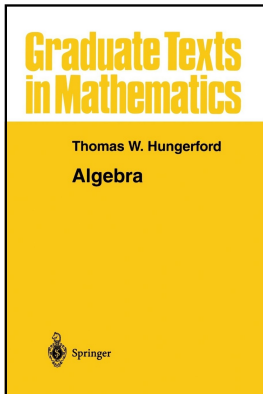


# Modern Algebra

## Chapter III. Rings

### III.4. Rings of Quotients and Localization—Proofs of Theorems



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## Theorem III.4.3

**Theorem III.4.3.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $S^{-1}R$  be the set of equivalence classes of  $R \times S$  under the equivalence relation of Theorem III.4.2.

- (i)  $S^{-1}R$  is a commutative ring with identity, where addition and multiplication are defined by

$$r/s + r'/s' = (rs' + r's)/(ss') \text{ and } (r/s)(r'/s') = (rr')/(ss').$$

- (ii) If  $R$  is a nonzero ring with no zero divisors and  $0 \notin S$ , then  $S^{-1}R$  is an integral domain.
- (iii) If  $R$  is a nonzero ring with no zero divisors and  $S$  is the set of all nonzero elements of  $R$ , then  $S^{-1}R$  is a field.

**Proof.** (i) First, if  $0 \in S$  then by Note III.4.A(iii) we have  $S^{-1}R$  is a zero ring, so we assume without loss of generality that  $0 \notin S$ . To show that addition is well defined, let  $r/s = r_1/s_1$  and  $r'/s' = r'_1/s'_1$ . Then by Note III.4.A(i), there exist  $s_2, s_3 \in S$  such that  $s_2(rs_1 - r_1s) = 0$  and  $s_3(r's'_1 - r'_1s') = 0$ .

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## Theorem III.4.3 (continued 1)

**Proof (continued).** Then by Note III.4.A(i), there exist  $s_2, s_3 \in S$  such that  $s_2(rs_1 - r_1s) = 0$  and  $s_3(r's'_1 - r'_1s') = 0$ . Multiplying the first equation by  $s_3s's'_1$  and multiplying the second equation by  $s_2ss_1$  we have

$$s_2s_3s's'_1(rs_1 - r_1s) = 0 \text{ and } s_2s_3ss_1(r's'_1 - r'_1s') = 0.$$

Adding these two equations gives

$$s_2s_3((rs_1 - r_1s)s's'_1 + (r's'_1 - r'_1s')ss_1) = 0$$

$$\text{or } s_2s_3(rs_1s's'_1 + r's'_1ss_1 - r_1ss's'_1 - r'_1s'ss_1) = 0$$

$$\text{or } s_2s_3((rs' + r's)s_1s'_1 - (r_1s'_1 + r'_1s_1)ss') = 0.$$

Therefore  $(rs' + r's)/(ss') = (r_1s'_1 + r'_1s_1)/(s_1s'_1)$  since  $s_2s_3 \in S$  (because  $S$  is multiplicative), by Note II.4.A(i). Hence

$$r/s + r'/s' = (rs' + r's)/(ss') = (r_1s'_1 + r'_1s_1)/(s_1s'_1) = r_1/s_1 + r'_1/s'_1$$

and addition on  $S^{-1}R$  is well-defined.

## Theorem III.4.3 (continued 1)

**Proof (continued).** Then by Note III.4.A(i), there exist  $s_2, s_3 \in S$  such that  $s_2(rs_1 - r_1s) = 0$  and  $s_3(r's'_1 - r'_1s') = 0$ . Multiplying the first equation by  $s_3s's'_1$  and multiplying the second equation by  $s_2ss_1$  we have

$$s_2s_3s's'_1(rs_1 - r_1s) = 0 \text{ and } s_2s_3ss_1(r's'_1 - r'_1s') = 0.$$

Adding these two equations gives

$$s_2s_3((rs_1 - r_1s)s's'_1 + (r's'_1 - r'_1s')ss_1) = 0$$

$$\text{or } s_2s_3(rs_1s's'_1 + r's'_1ss_1 - r_1ss's'_1 - r'_1s'ss_1) = 0$$

$$\text{or } s_2s_3((rs' + r's)s_1s'_1 - (r_1s'_1 + r'_1s_1)ss') = 0.$$

Therefore  $(rs' + r's)/(ss') = (r_1s'_1 + r'_1s_1)/(s_1s'_1)$  since  $s_2s_3 \in S$  (because  $S$  is multiplicative), by Note II.4.A(i). Hence

$$r/s + r'/s' = (rs' + r's)/(ss') = (r_1s'_1 + r'_1s_1)/(s_1s'_1) = r_1/s_1 + r'_1/s'_1$$

and addition on  $S^{-1}R$  is well-defined.

## Theorem III.4.3 (continued 2)

**Proof (continued).** To show multiplication is well-defined, again let  $r/s = r_1/s_1$  and  $r'/s' = r'_1/s'_1$ . Then by Notes II.4.A(i), there exists  $s_2, s_3 \in S$  such that  $s_2(rs_1 - r_1s) = 0$  and  $s_3(r's'_1 - r'_1s') = 0$ . Multiplying the first equation by  $r's'_1s_3$  and multiplying the second equation by  $r_1ss_2$ , we get

$$s_2(rs_1 - r_1s)r's'_1s_3 = s_2s_3(rr's_1s'_1 - r_1r'ss'_1) = 0$$

$$\text{and } s_3(r's'_1 - r'_1s')r_1ss_2 = s_2s_3(r_1r'ss'_1 - r_1r'_1ss') = 0.$$

Adding these two equations gives

$$s_2s_3(rr's_1s'_1 - r_1r'ss'_1 + r_1r'ss'_1 - r_1r'_1ss') = 0$$

$$\text{or } s_2s_3(rr's_1s'_1 - r_1r'_1ss') = 0.$$

Therefore  $(rr')/(ss') = (r_1r'_1)/(s_1s'_1)$  since  $s_2s_3 \in S$  (because  $S$  is multiplicative), by Note III.4.A(i). Hence

$$(r/s)(r'/s') = (rr')/(ss') = (r_1r'_1)/(s_1s'_1) = (r_1/s_1)(r'_1/s'_1)$$

and multiplication in  $S^{-1}R$  is well-defined.

## Theorem III.4.3 (continued 2)

**Proof (continued).** To show multiplication is well-defined, again let  $r/s = r_1/s_1$  and  $r'/s' = r'_1/s'_1$ . Then by Notes II.4.A(i), there exists  $s_2, s_3 \in S$  such that  $s_2(rs_1 - r_1s) = 0$  and  $s_3(r's'_1 - r'_1s') = 0$ . Multiplying the first equation by  $r's'_1s_3$  and multiplying the second equation by  $r_1ss_2$ , we get

$$s_2(rs_1 - r_1s)r's'_1s_3 = s_2s_3(rr's_1s'_1 - r_1r'ss'_1) = 0$$

$$\text{and } s_3(r's'_1 - r'_1s')r_1ss_2 = s_2s_3(r_1r'ss'_1 - r_1r'_1ss') = 0.$$

Adding these two equations gives

$$s_2s_3(rr's_1s'_1 - r_1r'ss'_1 + r_1r'ss'_1 - r_1r'_1ss') = 0$$

$$\text{or } s_2s_3(rr's_1s'_1 - r_1r'_1ss') = 0.$$

Therefore  $(rr')/(ss') = (r_1r'_1)/(s_1s'_1)$  since  $s_2s_3 \in S$  (because  $S$  is multiplicative), by Note III.4.A(i). Hence

$$(r/s)(r'/s') = (rr')/(ss') = (r_1r'_1)/(s_1s'_1) = (r_1/s_1)(r'_1/s'_1)$$

and multiplication in  $S^{-1}R$  is well-defined.



## Theorem III.4.3 (continued 3)

**Proof (continued).** Next, since  $R$  is commutative then

$$(r/s)(r'/s') = (rr')/(ss') = (r'r)/(s's) = (r'/s')(r/s)$$

and so  $S^{-1}R$  is commutative. For  $s, s' \in S$  we have  $0/s = 0/s'$  in  $S^{-1}R$  and for any  $r/s \in S^{-1}R$  we have

$$r/s + 0/s = (rs + 0s)/(ss) = (rs)/(ss) = r/s$$

(where the last equality holds because  $(rs)s = (ss)r$ ) so that  $0/s$  is the additive identity in  $S^{-1}R$  (remember,  $0/s$  represents an equivalence class).

For  $r/s \in S^{-1}R$  we know that  $(-r)/s \in S^{-1}R$  and

$r/s + (-r)/s = (rs + (-r)(s))/s = 0/s$  so that the additive inverse of

$r/s \in S^{-1}R$  is  $(-r)/s \in S^{-1}R$ . For  $s, s' \in S$ , we have  $s/s = s'/s'$  and for

$r/s \in S^{-1}R$  we have  $(r/s)(s/s) = (rs)/(ss) = r/s$  so that  $s/s \in S^{-1}R$  is

the multiplicative identity of  $S^{-1}R$ . Therefore,  $S^{-1}R$  is a commutative ring with identity with addition and multiplication as given, establishing (i).

## Theorem III.4.3 (continued 3)

**Proof (continued).** Next, since  $R$  is commutative then

$$(r/s)(r'/s') = (rr')/(ss') = (r'r)/(s's) = (r'/s')(r/s)$$

and so  $S^{-1}R$  is commutative. For  $s, s' \in S$  we have  $0/s = 0/s'$  in  $S^{-1}R$  and for any  $r/s \in S^{-1}R$  we have

$$r/s + 0/s = (rs + 0s)/(ss) = (rs)/(ss) = r/s$$

(where the last equality holds because  $(rs)s = (ss)r$ ) so that  $0/s$  is the additive identity in  $S^{-1}R$  (remember,  $0/s$  represents an equivalence class).

For  $r/s \in S^{-1}R$  we know that  $(-r)/s \in S^{-1}R$  and

$r/s + (-r)/s = (rs + (-r)(s))/s = 0/s$  so that the additive inverse of

$r/s \in S^{-1}R$  is  $(-r)/s \in S^{-1}R$ . For  $s, s' \in S$ , we have  $s/s = s'/s'$  and for

$r/s \in S^{-1}R$  we have  $(r/s)(s/s) = (rs)/(ss) = r/s$  so that  $s/s \in S^{-1}R$  is

the multiplicative identity of  $S^{-1}R$ . Therefore,  $S^{-1}R$  is a commutative ring with identity with addition and multiplication as given, establishing (i).

## Theorem III.4.3 (continued 4)

**Theorem III.4.3.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $S^{-1}R$  be the set of equivalence classes of  $R \times S$  under the equivalence relation of Theorem III.4.2.

- (ii) If  $R$  is a nonzero ring with no zero divisors and  $0 \notin S$ , then  $S^{-1}R$  is an integral domain.

**Proof (continued).** (ii) If  $r/s = 0/s$  then by Note III.4.A(i),  $s_1(rs - 0s) = s_1rs = 0$  for some  $s_1 \in S$ . Since we have hypothesized that  $R$  has no zero divisors and  $0 \notin S$ , then it must be that  $r = 0$  (and conversely  $r = 0$  implies  $r/s = 0/s$ ). Consequently,  $(r/s)(r'/s') = (rr')/(ss') = 0/s$  in  $S^{-1}R$  if and only if  $rr' = 0$  in  $R$ . Since  $R$  has no zero divisors, then either  $r = 0$  or  $r' = 0$  and so either  $r/s = 0/s$  or  $r'/s' = 0/s'$  so that  $S^{-1}R$  has no zero divisor and since  $S^{-1}R$  is commutative, then it is an integral domain, as claimed.

## Theorem III.4.3 (continued 5)

**Theorem III.4.3.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $S^{-1}R$  be the set of equivalence classes of  $R \times S$  under the equivalence relation of Theorem III.4.2.

(iii) If  $R$  is a nonzero ring with no zero divisors and  $S$  is the set of all nonzero elements of  $R$ , then  $S^{-1}R$  is a field.

**Proof (continued).** (iii) By part (ii), we have that  $S^{-1}R$  is an integral domain. We only need to show that every nonzero element of  $S^{-1}R$  has a multiplicative inverse. If  $r/s \in S^{-1}R$  and  $r/s \neq 0/s$  then  $r \neq 0$  (as shown in part (ii)). So  $s/r \in S^{-1}R$  and we have  $(r/s)(s/r) = (rs)/(rs)$  and this is the multiplicative identity, as shown in (i). Hence  $S^{-1}R$  is a field, as claimed. □

## Theorem III.4.4

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (i) The map  $\varphi_S : R \rightarrow S^{-1}R$  given by  $r \mapsto rs/s$  (for any  $s \in S$ ) is a well-defined homomorphism of rings such that  $\varphi_S(s)$  is a unit in  $S^{-1}R$  for every  $s \in S$ .
- (ii) If  $0 \notin S$  and  $S$  contains no zero divisors, then  $\varphi_S$  is a monomorphism. In particular, any integral domain may be embedded in its quotient field.
- (iii) If  $R$  has an identity and  $S$  consists of units, then  $\varphi_S$  is an isomorphism. In particular, the complete ring of quotients of a field  $F$  is isomorphic to  $F$ .

**Proof.** (i) To show  $\varphi_S$  is well-defined, we need to show that the value, for a given input  $r \in R$ , is independent of the element  $s \in S$  used. If  $s, s' \in S$  then we need to show that  $rs/s = rs'/s'$ . That is, we need  $s_1(rss' - rs's) = 0$  for some  $s_1 \in S$ .

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## Theorem III.4.4 (continued 1)

**Proof (continued).** But since  $R$  is commutative, then  $rss' - rs's = rss' - r'ss = 0$  so that this holds for all  $s_1 \in S$  and hence  $rs/s = rs'/s'$ , as needed. Let  $r, r' \in R$  and  $s \in S$ . Then

$$\begin{aligned}\varphi_S(r + r') &= (r + r')s/s \\ &= rs/s + r's/s \text{ by Theorem III.4.3(i)} \\ &= \varphi_S(r) + \varphi_S(r')\end{aligned}$$

and

$$\begin{aligned}\varphi_S(rr') &= (rr'(s^2))/(s^2) \text{ where } s^2 \in S \text{ since } S \text{ is multiplicative} \\ &= ((rsr's))/s^2 \text{ since } R \text{ is commutative} \\ &= (rs/s)(r's/s) \text{ by Theorem III.4.3(i)} \\ &= \varphi_S(r)\varphi_S(r').\end{aligned}$$

So  $\varphi_S$  is a ring homomorphism, as claimed.

## Theorem III.4.4 (continued 1)

**Proof (continued).** But since  $R$  is commutative, then  $rss' - rs's = rss' - r'ss = 0$  so that this holds for all  $s_1 \in S$  and hence  $rs/s = rs'/s'$ , as needed. Let  $r, r' \in R$  and  $s \in S$ . Then

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and

$$\begin{aligned}\varphi_S(rr') &= (rr'(s^2))/(s^2) \text{ where } s^2 \in S \text{ since } S \text{ is multiplicative} \\ &= ((rsr's))/s^2 \text{ since } R \text{ is commutative} \\ &= (rs/s)(r's/s) \text{ by Theorem III.4.3(i)} \\ &= \varphi_S(r)\varphi_S(r').\end{aligned}$$

So  $\varphi_S$  is a ring homomorphism, as claimed.



## Theorem III.4.4 (continued 2)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (ii) If  $0 \notin S$  and  $S$  contains no zero divisors, then  $\varphi_S$  is a monomorphism. In particular, any integral domain may be embedded in its quotient field.

**Proof (continued).** Next, for  $s \in S$  we have  $\varphi_S(s) = s^2/s$ , using  $s$  itself as the element of  $R$ . We have  $s/s^2 \in S^{-1}R$  since  $s \in S$  so that  $s^2 \in S$  (because  $S$  is multiplicative, and hence  $(s, s^2) \in R \times S$ ). Now  $\varphi_S(s)(s/s^2) = (s^2/s)(s/s^2) = s^3/s^3 = s/s$  and  $s/s$  is a multiplicative identity of  $S^{-1}R$ , as shown in the proof of Theorem II.4.3(i). That is,  $\varphi_S(s)$  is a unit in  $S^{-1}R$ , as claimed.

(ii) Let  $r \in \text{Ker}(\varphi_S)$ . Then  $\varphi_S(r) = rs/s = 0$  in  $S^{-1}R$ . Now the additive identity in  $S^{-1}R$  is  $0/s$  as shown in the proof of Theorem III.4.3(i), so we have  $rs/s = 0/s$  or  $s_1(rs^2 - 0s) = 0$  for some  $s_1 \in S$ , or  $s_1rs^2 = rs_1s^2 = 0$ . Now  $s_1s^2 \neq 0$  since  $s, s_1 \in S$ ,  $S$  is multiplicative, and  $0 \notin S$ .

## Theorem III.4.4 (continued 2)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

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**Proof (continued).** Next, for  $s \in S$  we have  $\varphi_S(s) = s^2/s$ , using  $s$  itself as the element of  $R$ . We have  $s/s^2 \in S^{-1}R$  since  $s \in S$  so that  $s^2 \in S$  (because  $S$  is multiplicative, and hence  $(s, s^2) \in R \times S$ ). Now  $\varphi_S(s)(s/s^2) = (s^2/s)(s/s^2) = s^3/s^3 = s/s$  and  $s/s$  is a multiplicative identity of  $S^{-1}R$ , as shown in the proof of Theorem II.4.3(i). That is,  $\varphi_S(s)$  is a unit in  $S^{-1}R$ , as claimed.

(ii) Let  $r \in \text{Ker}(\varphi_S)$ . Then  $\varphi_S(r) = rs/s = 0$  in  $S^{-1}R$ . Now the additive identity in  $S^{-1}R$  is  $0/s$  as shown in the proof of Theorem III.4.3(i), so we have  $rs/s = 0/s$  or  $s_1(rs^2 - 0s) = 0$  for some  $s_1 \in S$ , or  $s_1rs^2 = rs_1s^2 = 0$ . Now  $s_1s^2 \neq 0$  since  $s, s_1 \in S$ ,  $S$  is multiplicative, and  $0 \notin S$ .

## Theorem III.4.4 (continued 3)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (ii) If  $0 \notin S$  and  $S$  contains no zero divisors, then  $\varphi_S$  is a monomorphism. In particular, any integral domain may be embedded in its quotient field.

**Proof (continued).** Since  $S$  has no zero divisors and  $r(s_1 s^2) = 0$  then we must have  $r = 0$ . That is,  $\text{Ker}(\varphi_S) = \{0\}$  and by Theorem I.2.3(i) (to apply Theorem I.2.3, we technically need to consider  $\varphi_S$  restricted to the additive group in  $R$ , since Theorem I.2.3 applies to homomorphisms of groups),  $\varphi_S$  is an injective homomorphism; that is,  $\varphi_S$  is a monomorphism, as claimed. If  $R$  is an integral domain (i.e., a commutative ring with identity and no zero divisors) and  $S$  is the set of all nonzero elements of  $R$  (including 1) then  $S^{-1}R$  is the field of quotients of  $R$  and, since  $\varphi_S$  is injective,  $\varphi_S$  embeds  $R$  in  $S^{-1}R$  (notice  $1 \in S$  in this case), as claimed.

## Theorem III.4.4 (continued 3)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (ii) If  $0 \notin S$  and  $S$  contains no zero divisors, then  $\varphi_S$  is a monomorphism. In particular, any integral domain may be embedded in its quotient field.

**Proof (continued).** Since  $S$  has no zero divisors and  $r(s_1s^2) = 0$  then we must have  $r = 0$ . That is,  $\text{Ker}(\varphi_S) = \{0\}$  and by Theorem I.2.3(i) (to apply Theorem I.2.3, we technically need to consider  $\varphi_S$  restricted to the additive group in  $R$ , since Theorem I.2.3 applies to homomorphisms of groups),  $\varphi_S$  is an injective homomorphism; that is,  $\varphi_S$  is a monomorphism, as claimed. If  $R$  is an integral domain (i.e., a commutative ring with identity and no zero divisors) and  $S$  is the set of all nonzero elements of  $R$  (including 1) then  $S^{-1}R$  is the field of quotients of  $R$  and, since  $\varphi_S$  is injective,  $\varphi_S$  embeds  $R$  in  $S^{-1}R$  (notice  $1 \in S$  in this case), as claimed.

## Theorem III.4.4 (continued 4)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (iii) If  $R$  has an identity and  $S$  consists of units, then  $\varphi_S$  is an isomorphism. In particular, the complete ring of quotients of a field  $F$  is isomorphic to  $F$ .

**Proof (continued).** (iii) First, since  $S$  consists of units then  $0 \notin S$  and  $S$  contains no zero divisors (since  $s$  is a unit and  $sr = 0$  implies  $0 = s^{-1}0 = s^{-1}(sr) = (s^{-1}s)(r) = r$ ), so by part (ii),  $\varphi_S$  is a monomorphism. For any  $r/s \in S^{-1}R$  we have  $rs^{-1} \in R$  and  $\varphi_S(rs^{-1}) = ((rs^{-1})s)/s = r/s$  so that  $\varphi_S$  is surjective and hence  $\varphi_S$  is an isomorphism, as claimed. For field  $F$ , the complete ring of quotients has  $S = F \setminus \{0\}$ , so that  $0 \notin S$  and  $S$  consists of units, and hence  $\varphi_S : S^{-1}F \rightarrow F$  is an isomorphism. That is, the complete ring of quotients (or, equivalently, “quotient field”) is isomorphic to  $F$ , as claimed.  $\square$

## Theorem III.4.4 (continued 4)

**Theorem III.4.4.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (iii) If  $R$  has an identity and  $S$  consists of units, then  $\varphi_S$  is an isomorphism. In particular, the complete ring of quotients of a field  $F$  is isomorphic to  $F$ .

**Proof (continued).** (iii) First, since  $S$  consists of units then  $0 \notin S$  and  $S$  contains no zero divisors (since  $s$  is a unit and  $sr = 0$  implies  $0 = s^{-1}0 = s^{-1}(sr) = (s^{-1}s)(r) = r$ ), so by part (ii),  $\varphi_S$  is a monomorphism. For any  $r/s \in S^{-1}R$  we have  $rs^{-1} \in R$  and  $\varphi_S(rs^{-1}) = ((rs^{-1})s)/s = r/s$  so that  $\varphi_S$  is surjective and hence  $\varphi_S$  is an isomorphism, as claimed. For field  $F$ , the complete ring of quotients has  $S = F \setminus \{0\}$ , so that  $0 \notin S$  and  $S$  consists of units, and hence  $\varphi_S : S^{-1}F \rightarrow F$  is an isomorphism. That is, the complete ring of quotients (or, equivalently, “quotient field”) is isomorphic to  $F$ , as claimed.  $\square$

## Theorem III.4.5

**Theorem III.4.5.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $T$  be any commutative ring with identity. If  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ , then there exists a unique homomorphism of rings  $\bar{f} : S^{-1}R \rightarrow T$  such that  $\bar{f}\varphi_S = f$ . The ring  $S^{-1}R$  is completely determined (up to isomorphism) by this property.

**Proof.** First, let  $f : R \rightarrow T$  be a homomorphism such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ . Define mapping  $\bar{f} : S^{-1}R \rightarrow T$  as  $\bar{f}(r/s) = f(r)(f(s))^{-1}$ .

## Theorem III.4.5

**Theorem III.4.5.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $T$  be any commutative ring with identity. If  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ , then there exists a unique homomorphism of rings  $\bar{f} : S^{-1}R \rightarrow T$  such that  $\bar{f}\varphi_S = f$ . The ring  $S^{-1}R$  is completely determined (up to isomorphism) by this property.

**Proof.** First, let  $f : R \rightarrow T$  be a homomorphism such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ . Define mapping  $\bar{f} : S^{-1}R \rightarrow T$  as  $\bar{f}(r/s) = f(r)(f(s))^{-1}$ . We need to show  $\bar{f}$  is well-defined. Let  $r/s = r'/s'$ . Then  $s_1(rs' - r's) = 0$  for some  $s_1 \in S$ . Now  $\bar{f}(r/s) = f(r)(f(s))^{-1}$  and  $\bar{f}(r'/s') = f(r')(f(s'))^{-1}$  since  $f$  is a homomorphism. Next  $f(s_1(rs' - r's)) = f(0)$  or  $f(s_1)(f(r)f(s') - f(r')f(s)) = 0$ . Since  $f(s_1)$  is a unit in  $T$  by hypothesis, then  $f(r)f(s') - f(r')f(s) = 0$  or  $f(r)f(s') = f(r')f(s)$  or  $f(r)(f(s))^{-1} = f(r')(f(s'))^{-1}$  (since  $f(s)$  and  $f(s')$  are units) or  $\bar{f}(r/s) = \bar{f}(r'/s')$  as needed, and  $\bar{f}$  is well defined, as claimed.



## Theorem III.4.5

**Theorem III.4.5.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  and let  $T$  be any commutative ring with identity. If  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ , then there exists a unique homomorphism of rings  $\bar{f} : S^{-1}R \rightarrow T$  such that  $\bar{f}\varphi_S = f$ . The ring  $S^{-1}R$  is completely determined (up to isomorphism) by this property.

**Proof.** First, let  $f : R \rightarrow T$  be a homomorphism such that  $f(s)$  is a unit in  $T$  for all  $s \in S$ . Define mapping  $\bar{f} : S^{-1}R \rightarrow T$  as  $\bar{f}(r/s) = f(r)(f(s))^{-1}$ . We need to show  $\bar{f}$  is well-defined. Let  $r/s = r'/s'$ . Then  $s_1(rs' - r's) = 0$  for some  $s_1 \in S$ . Now  $\bar{f}(r/s) = f(r)(f(s))^{-1}$  and  $\bar{f}(r'/s') = f(r')(f(s'))^{-1}$  since  $f$  is a homomorphism. Next  $f(s_1(rs' - r's)) = f(0)$  or  $f(s_1)(f(r)f(s') - f(r')f(s)) = 0$ . Since  $f(s_1)$  is a unit in  $T$  by hypothesis, then  $f(r)f(s') - f(r')f(s) = 0$  or  $f(r)f(s') = f(r')f(s)$  or  $f(r)(f(s))^{-1} = f(r')(f(s'))^{-1}$  (since  $f(s)$  and  $f(s')$  are units) or  $\bar{f}(r/s) = \bar{f}(r'/s')$  as needed, and  $\bar{f}$  is well defined, as claimed.

## Theorem III.4.5 (continued 1)

**Proof (continued).** To see that  $\bar{f} : S^{-1}R \rightarrow T$  is a ring homomorphism, consider

$$\begin{aligned}
 \bar{f}(r/s + r'/s') &= \bar{f}((rs' + r's)/(ss')) \text{ by Theorem III.4.3(i)} \\
 &= f(rs' + r's)(f(ss'))^{-1} = f(rs' + r's)(f(s))^{-1}(f(s'))^{-1} \\
 &= f(r)f(s')(f(s))^{-1}(f(s'))^{-1} + f(r)f(s)(f(s))^{-1}(f(s'))^{-1} \\
 &= f(r)(f(s))^{-1} + f(r')(f(s'))^{-1} \\
 &= \bar{f}(r/s) + \bar{f}(r'/s') \text{ since } f \text{ is a homomorphism} \\
 &\quad \text{and } R \text{ is commutative,}
 \end{aligned}$$

and

$$\begin{aligned}
 \bar{f}((r/s)(r'/s')) &= \bar{f}(rr'/(ss')) \text{ by Theorem III.4.3(i)} \\
 &= f(rr')(r(ss'))^{-1} = f(r)(f(s))^{-1}f(r')(f(s'))^{-1} \\
 &= \bar{f}(r/s)\bar{f}(r'/s') \text{ since } f \text{ is a homomorphism,} \\
 &\quad \text{and } R \text{ and } T \text{ are commutative.}
 \end{aligned}$$

## Theorem III.4.5 (continued 2)

**Proof (continued).** Also, for  $r \in R$  we have

$$\bar{f}\varphi_S(r) = \bar{f}(rs/s) = f(rs)(f(s))^{-1} = f(r)f(s)(f(s))^{-1} = f(r)$$

so that  $\bar{f}\varphi_S = f$  on  $R$ , as claimed.

Now suppose  $g : S^{-1}R \rightarrow T$  is another homomorphism such that  $g\varphi_S = f$ . Then for all  $x \in S$  we have  $g(\varphi_S(s)) = f(s)$  is a unit in  $T$ . Consequently  $g((\varphi_S(s))^{-1}) = (f(\varphi_S(s)))^{-1}$  for every  $s \in S$  by Exercise III.1.15(c) (since  $\varphi_S(s)$  is a unit in  $S^{-1}R$  by Theorem III.4.4(i), and  $g(\varphi_S(s))$  is a unit, the hypotheses of Exercise III.1.15(c) are satisfied). Since  $\varphi_S(s) = s^2/s$  then  $(\varphi_S(s))^{-1} = s/s^2 \in S^{-1}R$ . Thus for each  $r/s \in S^{-1}R$ :

$$\begin{aligned} g(r/s) &= f((rs/s)(s/s^2)) = g(\varphi_S(r)(\varphi_S(s))^{-1}) = g(\varphi_S(r))g((\varphi_S(s))^{-1}) \\ &= f(\varphi_S(r))(g(\varphi_S(s))^{-1}) = f(r)(f(s))^{-1} = \bar{f}(r/s). \end{aligned}$$

Therefore,  $g = \bar{f}$ , so that homomorphism  $\bar{f}$  is unique.

## Theorem III.4.5 (continued 2)

**Proof (continued).** Also, for  $r \in R$  we have

$$\bar{f}\varphi_S(r) = \bar{f}(rs/s) = f(rs)(f(s))^{-1} = f(r)f(s)(f(s))^{-1} = f(r)$$

so that  $\bar{f}\varphi_S = f$  on  $R$ , as claimed.

Now suppose  $g : S^{-1}R \rightarrow T$  is another homomorphism such that  $g\varphi_S = f$ . Then for all  $x \in S$  we have  $g(\varphi_S(s)) = f(s)$  is a unit in  $T$ . Consequently  $g((\varphi_S(s))^{-1}) = (f(\varphi_S(s)))^{-1}$  for every  $s \in S$  by Exercise III.1.15(c) (since  $\varphi_S(s)$  is a unit in  $S^{-1}R$  by Theorem III.4.4(i), and  $g(\varphi_S(s))$  is a unit, the hypotheses of Exercise III.1.15(c) are satisfied). Since  $\varphi_S(s) = s^2/s$  then  $(\varphi_S(s))^{-1} = s/s^2 \in S^{-1}R$ . Thus for each  $r/s \in S^{-1}R$ :

$$\begin{aligned} g(r/s) &= f((rs/s)(s/s^2)) = g(\varphi_S(r)(\varphi_S(s))^{-1}) = g(\varphi_S(r))g((\varphi_S(s))^{-1}) \\ &= f(\varphi_S(r))(g(\varphi_S(s))^{-1}) = f(r)(f(s))^{-1} = \bar{f}(r/s). \end{aligned}$$

Therefore,  $g = \bar{f}$ , so that homomorphism  $\bar{f}$  is unique.

## Theorem III.4.5 (continued 3)

**Proof (continued).** Now we show that  $S^{-1}R$  is completely determined (up to isomorphism) by  $R$ ,  $S$ , and the stated properties. Let  $\mathcal{C}$  be the category whose objects are all  $(f, T)$ , where  $T$  is a commutative ring with identity and  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for every  $s \in S$ . Define a morphism in  $\mathcal{C}$  from  $(f_1, T_1)$  to  $(f_2, T_2)$  to be a homomorphism of rings  $g : T_1 \rightarrow T_2$  such that  $gf_1 = f_2$ . To verify that  $\mathcal{C}$  is a category (by Definition I.7.1), we need to verify that  $g = \text{hom}(T_1, T_2)$  is a morphism. Let  $(f_1, T_1)$ ,  $(f_2, T_2)$ ,  $(f_3, T_3)$  be objects in  $\mathcal{C}$ . Suppose  $g : T_1 \rightarrow T_2$  and  $h : T_2 \rightarrow T_3$ , where  $gf_1 = f_2$  and  $hf_2 = f_3$ , are ring homomorphisms. Then  $h \circ g : T_1 \rightarrow T_3$  is a ring homomorphism and  $(h \circ g)f_1 = f_3$ . Because function composition is associative, then we have associativity of morphisms. For the identity on  $(f, T)$ , we simply take the identity homomorphism  $1_T : T \rightarrow T$ .

## Theorem III.4.5 (continued 3)

**Proof (continued).** Now we show that  $S^{-1}R$  is completely determined (up to isomorphism) by  $R$ ,  $S$ , and the stated properties. Let  $\mathcal{C}$  be the category whose objects are all  $(f, T)$ , where  $T$  is a commutative ring with identity and  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for every  $s \in S$ . Define a morphism in  $\mathcal{C}$  from  $(f_1, T_1)$  to  $(f_2, T_2)$  to be a homomorphism of rings  $g : T_1 \rightarrow T_2$  such that  $gf_1 = f_2$ . To verify that  $\mathcal{C}$  is a category (by Definition I.7.1), we need to verify that  $g = \text{hom}(T_1, T_2)$  is a morphism. Let  $(f_1, T_1)$ ,  $(f_2, T_2)$ ,  $(f_3, T_3)$  be objects in  $\mathcal{C}$ . Suppose  $g : T_1 \rightarrow T_2$  and  $h : T_2 \rightarrow T_3$ , where  $gf_1 = f_2$  and  $hf_2 = f_3$ , are ring homomorphisms. Then  $h \circ g : T_1 \rightarrow T_3$  is a ring homomorphism and  $(h \circ g)f_1 = h(gf_1) = hf_2 = f_3$ . Because function composition is associative, then we have associativity of morphisms. For the identity on  $(f, T)$ , we simply take the identity homomorphism  $1_T : T \rightarrow T$ . If  $g : T_1 \rightarrow T_2$  is an isomorphism and  $gf_1 = f_2$ , then  $g^{-1} : T_2 \rightarrow T_1$  is an isomorphism and  $g^{-1}(gf_1) = g^{-1}f_2$  or  $g^{-1}f_2 = f_1$ . Also,  $g \circ g^{-1} = 1_{T_2}$  and  $g^{-1} \circ g = 1_{T_1}$ . That is, a ring isomorphism is an equivalence.

## Theorem III.4.5 (continued 3)

**Proof (continued).** Now we show that  $S^{-1}R$  is completely determined (up to isomorphism) by  $R$ ,  $S$ , and the stated properties. Let  $\mathcal{C}$  be the category whose objects are all  $(f, T)$ , where  $T$  is a commutative ring with identity and  $f : R \rightarrow T$  is a homomorphism of rings such that  $f(s)$  is a unit in  $T$  for every  $s \in S$ . Define a morphism in  $\mathcal{C}$  from  $(f_1, T_1)$  to  $(f_2, T_2)$  to be a homomorphism of rings  $g : T_1 \rightarrow T_2$  such that  $gf_1 = f_2$ . To verify that  $\mathcal{C}$  is a category (by Definition I.7.1), we need to verify that  $g = \text{hom}(T_1, T_2)$  is a morphism. Let  $(f_1, T_1)$ ,  $(f_2, T_2)$ ,  $(f_3, T_3)$  be objects in  $\mathcal{C}$ . Suppose  $g : T_1 \rightarrow T_2$  and  $h : T_2 \rightarrow T_3$ , where  $gf_1 = f_2$  and  $hf_2 = f_3$ , are ring homomorphisms. Then  $h \circ g : T_1 \rightarrow T_3$  is a ring homomorphism and  $(h \circ g)f_1 = h(gf_1) = hf_2 = f_3$ . Because function composition is associative, then we have associativity of morphisms. For the identity on  $(f, T)$ , we simply take the identity homomorphism  $1_T : T \rightarrow T$ . If  $g : T_1 \rightarrow T_2$  is an isomorphism and  $gf_1 = f_2$ , then  $g^{-1} : T_2 \rightarrow T_1$  is an isomorphism and  $g^{-1}(gf_1) = g^{-1}f_2$  or  $g^{-1}f_2 = f_1$ . Also,  $g \circ g^{-1} = 1_{T_2}$  and  $g^{-1} \circ g = 1_{T_1}$ . That is, a ring isomorphism is an equivalence.

## Theorem III.4.5 (continued 4)

**Proof (continued).** If  $g : T_1 \rightarrow T_2$  is not an isomorphism (but still is a homomorphism), then  $g$  is not a bijection and no inverse mapping  $T_2 \rightarrow T_1$  exists. That is, if  $g$  is not an isomorphism then it is not an equivalence. For given object  $(\varphi_S, S^{-1}T)$  in category  $\mathcal{C}$  there is, for every object  $(f_I, T_I)$  in  $\mathcal{C}$ , by Theorem III.4.5 a unique mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  such that  $\bar{f} : S^{-1}R \rightarrow T$  is a homomorphism and  $\bar{f}\varphi_S = f$ ; that is, there is a unique morphism mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  for every object  $(f_I, T_I)$  in  $\mathcal{C}$ . Therefore, by Definition I.7.9,  $(\varphi_S, S^{-1}R)$  is a universal object in category  $\mathcal{C}$ .



## Theorem III.4.5 (continued 4)

**Proof (continued).** If  $g : T_1 \rightarrow T_2$  is not an isomorphism (but still is a homomorphism), then  $g$  is not a bijection and no inverse mapping  $T_2 \rightarrow T_1$  exists. That is, if  $g$  is not an isomorphism then it is not an equivalence. For given object  $(\varphi_S, S^{-1}T)$  in category  $\mathcal{C}$  there is, for every object  $(f_I, T_I)$  in  $\mathcal{C}$ , by Theorem III.4.5 a unique mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  such that  $\bar{f} : S^{-1}R \rightarrow T$  is a homomorphism and  $\bar{f}\varphi_S = f$ ; that is, there is a unique morphism mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  for every object  $(f_I, T_I)$  in  $\mathcal{C}$ . Therefore, by Definition I.7.9,  $(\varphi_S, S^{-1}R)$  is a universal object in category  $\mathcal{C}$ . By Theorem I.7.10, we now have that any two universal objects in  $\mathcal{C}$  are equivalent. That is, ring  $S^{-1}R$  is completely determined (up to isomorphism; i.e., equivalence) by the properties of this theorem (namely, for given ring  $R$  and given homomorphism  $f : R \rightarrow T$ , where  $T$  is any commutative ring with unity, such that  $f(s)$  is a unit in  $T$  for all  $s$  in given set  $D$ , there exists unique ring homomorphism  $\bar{f} : S^{-1}R \rightarrow T$  such that  $\bar{f}\varphi_S = f$ ). □

## Theorem III.4.5 (continued 4)

**Proof (continued).** If  $g : T_1 \rightarrow T_2$  is not an isomorphism (but still is a homomorphism), then  $g$  is not a bijection and no inverse mapping  $T_2 \rightarrow T_1$  exists. That is, if  $g$  is not an isomorphism then it is not an equivalence. For given object  $(\varphi_S, S^{-1}T)$  in category  $\mathcal{C}$  there is, for every object  $(f_I, T_I)$  in  $\mathcal{C}$ , by Theorem III.4.5 a unique mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  such that  $\bar{f} : S^{-1}R \rightarrow T$  is a homomorphism and  $\bar{f}\varphi_S = f$ ; that is, there is a unique morphism mapping  $(\varphi_S, S^{-1}R) \rightarrow (f_I, T_I)$  for every object  $(f_I, T_I)$  in  $\mathcal{C}$ . Therefore, by Definition I.7.9,  $(\varphi_S, S^{-1}R)$  is a universal object in category  $\mathcal{C}$ . By Theorem I.7.10, we now have that any two universal objects in  $\mathcal{C}$  are equivalent. That is, ring  $S^{-1}R$  is completely determined (up to isomorphism; i.e., equivalence) by the properties of this theorem (namely, for given ring  $R$  and given homomorphism  $f : R \rightarrow T$ , where  $T$  is any commutative ring with unity, such that  $f(s)$  is a unit in  $T$  for all  $s$  in given set  $D$ , there exists unique ring homomorphism  $\bar{f} : S^{-1}R \rightarrow T$  such that  $\bar{f}\varphi_S = f$ ). □

## Corollary III.4.6

**Corollary III.4.6.** Let  $R$  be an integral domain considered as a subring of its quotient field  $F$  (see Theorem III.4.4(ii)). If  $E$  is a field and  $f : R \rightarrow E$  is a monomorphism of rings, then there is a unique monomorphism of fields  $\bar{f} : F \rightarrow E$ , such that  $\bar{f}|_R = f$ . In particular, any field  $E_1$  containing  $R$  contains an isomorphic copy  $F_1$  of  $F$  with  $R \subset F_1 \subset E_1$ .

**Proof.** Let  $S$  be the set of all nonzero elements of  $R$ . With  $f : R \rightarrow E$  as a monomorphism (and so a homomorphism) of rings, and  $R$  as an integral domain (so that  $S$  contains no zero divisors; recall by Definition III.1.5 that an integral domain has no zero divisors), then by Theorem III.4.5 (with  $T = E$  and  $S^{-1}R = F$ ) there is a unique homomorphism  $\bar{f} : F \rightarrow E$  such that  $\bar{f}\varphi_S = f$ .

## Corollary III.4.6

**Corollary III.4.6.** Let  $R$  be an integral domain considered as a subring of its quotient field  $F$  (see Theorem III.4.4(ii)). If  $E$  is a field and  $f : R \rightarrow E$  is a monomorphism of rings, then there is a unique monomorphism of fields  $\bar{f} : F \rightarrow E$ , such that  $\bar{f}|_R = f$ . In particular, any field  $E_1$  containing  $R$  contains an isomorphic copy  $F_1$  of  $F$  with  $R \subset F_1 \subset E_1$ .

**Proof.** Let  $S$  be the set of all nonzero elements of  $R$ . With  $f : R \rightarrow E$  as a monomorphism (and so a homomorphism) of rings, and  $R$  as an integral domain (so that  $S$  contains no zero divisors; recall by Definition III.1.5 that an integral domain has no zero divisors), then by Theorem III.4.5 (with  $T = E$  and  $S^{-1}R = F$ ) there is a unique homomorphism  $\bar{f} : F \rightarrow E$  such that  $\bar{f}\varphi_S = f$ . Suppose for  $f_1, f_2 \in F = S^{-1}R$  we have  $\bar{f}(f_1) = \bar{f}(f_2)$ . Notice that

$$\begin{aligned}\bar{f}(f_1) &= \bar{f}(f_1\varphi_S(s)(\varphi_S(s))^{-1}) = \bar{f}(f_1(s^2/s)(s/s^2)) = \bar{f}(f_1(s/s)(s^2/s^2)) \\ &= \bar{f}(f_1s/s)\bar{f}(s^2/s^2) = \bar{f}\varphi_S(f_1)\bar{f}(s^2/s^2) = f(f_1)\bar{f}(s^2/s^2).\end{aligned}$$

## Corollary III.4.6

**Corollary III.4.6.** Let  $R$  be an integral domain considered as a subring of its quotient field  $F$  (see Theorem III.4.4(ii)). If  $E$  is a field and  $f : R \rightarrow E$  is a monomorphism of rings, then there is a unique monomorphism of fields  $\bar{f} : F \rightarrow E$ , such that  $\bar{f}|_R = f$ . In particular, any field  $E_1$  containing  $R$  contains an isomorphic copy  $F_1$  of  $F$  with  $R \subset F_1 \subset E_1$ .

**Proof.** Let  $S$  be the set of all nonzero elements of  $R$ . With  $f : R \rightarrow E$  as a monomorphism (and so a homomorphism) of rings, and  $R$  as an integral domain (so that  $S$  contains no zero divisors; recall by Definition III.1.5 that an integral domain has no zero divisors), then by Theorem III.4.5 (with  $T = E$  and  $S^{-1}R = F$ ) there is a unique homomorphism  $\bar{f} : F \rightarrow E$  such that  $\bar{f}\varphi_S = f$ . Suppose for  $f_1, f_2 \in F = S^{-1}R$  we have  $\bar{f}(f_1) = \bar{f}(f_2)$ . Notice that

$$\begin{aligned}\bar{f}(f_1) &= \bar{f}(f_1\varphi_S(s)(\varphi_S(s))^{-1}) = \bar{f}(f_1(s^2/s)(s/s^2)) = \bar{f}(f_1(s/s)(s^2/s^2)) \\ &= \bar{f}(f_1s/s)\bar{f}(s^2/s^2) = \bar{f}\varphi_S(f_1)\bar{f}(s^2/s^2) = f(f_1)\bar{f}(s^2/s^2).\end{aligned}$$

## Corollary III.4.6 (continued)

**Proof (continued).** Similarly  $\bar{f}(f_2) = f(f_2)\bar{f}(s^2/s^2)$ . So  $\bar{f}(f_1) = \bar{f}(f_2)$  implies  $f(f_1)\bar{f}(s^2/s^2) = f(f_2)\bar{f}(s^2/s^2)$  and, since  $\bar{f}(s^2/s^2) \in E$  then  $f(s^2/s^2)$  has an inverse (since  $s^2/s^2 \neq 0$  in  $F = S^{-1}R$  and  $\bar{f}$  is a monomorphism, then  $\bar{f}(s^2/s^2) \neq 0$  in  $E$ ). Therefore  $f(f_1) = f(f_2)$  and, since  $f$  is a monomorphism by hypothesis, then  $f_1 = f_2$ . Therefore,  $\bar{f}$  is a monomorphism. Since  $R$  is identified with  $\varphi_S(R)$  in  $F = S^{-1}R$  then  $\bar{f}|_R = f$ , as claimed (though, strictly speaking, we have  $\bar{f}\varphi_S|_R = f$ ).

If  $E_1$  is any field containing  $R$ , then with  $f : R \rightarrow E_1$  as the inclusion map (namely,  $f = 1_{E_1}|_R$ ), we have  $\bar{f} : F \rightarrow E_1$  such that  $\bar{f}|_R = f = 1_{E_1}|_R$  (more appropriately,  $\bar{f}\varphi_S|_R = f = 1_{E_1}|_R$ ). Then the image of  $\bar{f}$  is an isomorphic copy  $F_1$  of  $F$  (since monomorphism  $\bar{f}$  is a surjection onto its image). That is,  $R \subset F_1 \subset E_1$  where  $F_1 \cong F$ , as claimed.  $\square$

## Corollary III.4.6 (continued)

**Proof (continued).** Similarly  $\bar{f}(f_2) = f(f_2)\bar{f}(s^2/s^2)$ . So  $\bar{f}(f_1) = \bar{f}(f_2)$  implies  $f(f_1)\bar{f}(s^2/s^2) = f(f_2)\bar{f}(s^2/s^2)$  and, since  $\bar{f}(s^2/s^2) \in E$  then  $f(f_1)$  has an inverse (since  $s^2/s^2 \neq 0$  in  $F = S^{-1}R$  and  $\bar{f}$  is a monomorphism, then  $\bar{f}(s^2/s^2) \neq 0$  in  $E$ ). Therefore  $f(f_1) = f(f_2)$  and, since  $f$  is a monomorphism by hypothesis, then  $f_1 = f_2$ . Therefore,  $\bar{f}$  is a monomorphism. Since  $R$  is identified with  $\varphi_S(R)$  in  $F = S^{-1}R$  then  $\bar{f}|_R = f$ , as claimed (though, strictly speaking, we have  $\bar{f}\varphi_S|_R = f$ ).

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## Theorem III.4.13

**Theorem III.4.13.** If  $R$  is a commutative ring with identity then the following conditions are equivalent:

- (i)  $R$  is a local ring;
- (ii) all nonunits of  $R$  are contained in some ideal  $M \neq R$ ;
- (iii) the nonunits of  $R$  form an ideal.

**Proof.** If  $I$  is an ideal of  $R$ , then by Theorem III.2.2  $I$  is closed under “subtraction,” left multiplication by elements of  $R$ , and right multiplication by elements of  $R$ . By Theorem III.2.5(i), principal ideal  $(a)$  consists of integer multiples of  $a$ , left multiples of  $a$  by elements of  $R$ , right multiples of  $a$  by elements of  $R$ , left and right multiples of  $a$  by elements of  $R$ , and sums of these. Therefore  $(a) \subset I$ . By Theorem III.3.2(iv),  $u$  is a unit if and only if  $(u) = R$ . So  $I \neq R$  if and only if  $I$  consists only of nonunits.



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## Theorem III.4.13 (continued)

### Theorem III.4.13.

- (i)  $R$  is a local ring;
- (ii) all nonunits of  $R$  are contained in some ideal  $M \neq R$ ;
- (iii) the nonunits of  $R$  form an ideal.

**Proof (continued).** If (iii) holds and the nonunits of  $R$  form an ideal  $M$ , then this ideal is maximal (or else there would be an ideal containing all the nonunits and a unit, but then this ideal would be  $R$  itself). Any ideal not equal to  $R$  similarly cannot contain any units and so can consist only of nonunits. Therefore, any ideal not equal to  $R$  is a subset of  $M$ . Hence,  $M$  is maximal. That is,  $R$  is a local ring and (i) holds.

## Theorem III.4.13 (continued)

### Theorem III.4.13.

- (i)  $R$  is a local ring;
- (ii) all nonunits of  $R$  are contained in some ideal  $M \neq R$ ;
- (iii) the nonunits of  $R$  form an ideal.

**Proof (continued).** If (iii) holds and the nonunits of  $R$  form an ideal  $M$ , then this ideal is maximal (or else there would be an ideal containing all the nonunits and a unit, but then this ideal would be  $R$  itself). Any ideal not equal to  $R$  similarly cannot contain any units and so can consist only of nonunits. Therefore, any ideal not equal to  $R$  is a subset of  $M$ . Hence,  $M$  is maximal. That is,  $R$  is a local ring and (i) holds. Suppose (i) holds. Then  $R$  is a local ring, so that it has a unique maximal ideal. If  $a \in R$  is a nonunit, then  $(a) \neq R$ . But by Note III.4.E, the maximal ideal contains every ideal in  $R$  (except  $R$  itself), and so contains every principal ideal  $(a)$  where  $a$  is a nonunit. That is, all nonunits are contained in some ideal  $M \neq R$  (namely, the unique maximal one in  $R$ ), and (ii) holds.

# Theorem III.4.13 (continued)

## Theorem III.4.13.

- (i)  $R$  is a local ring;
- (ii) all nonunits of  $R$  are contained in some ideal  $M \neq R$ ;
- (iii) the nonunits of  $R$  form an ideal.

**Proof (continued).** If (iii) holds and the nonunits of  $R$  form an ideal  $M$ , then this ideal is maximal (or else there would be an ideal containing all the nonunits and a unit, but then this ideal would be  $R$  itself). Any ideal not equal to  $R$  similarly cannot contain any units and so can consist only of nonunits. Therefore, any ideal not equal to  $R$  is a subset of  $M$ . Hence,  $M$  is maximal. That is,  $R$  is a local ring and (i) holds. Suppose (i) holds. Then  $R$  is a local ring, so that it has a unique maximal ideal. If  $a \in R$  is a nonunit, then  $(a) \neq R$ . But by Note III.4.E, the maximal ideal contains every ideal in  $R$  (except  $R$  itself), and so contains every principal ideal  $(a)$  where  $a$  is a nonunit. That is, all nonunits are contained in some ideal  $M \neq R$  (namely, the unique maximal one in  $R$ ), and (ii) holds. Hence (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i)  $\Rightarrow$  (ii), as claimed.  $\square$

## Theorem III.4.13 (continued)

**Theorem III.4.13.**

- (i)  $R$  is a local ring;
- (ii) all nonunits of  $R$  are contained in some ideal  $M \neq R$ ;
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**Proof (continued).** If (iii) holds and the nonunits of  $R$  form an ideal  $M$ , then this ideal is maximal (or else there would be an ideal containing all the nonunits and a unit, but then this ideal would be  $R$  itself). Any ideal not equal to  $R$  similarly cannot contain any units and so can consist only of nonunits. Therefore, any ideal not equal to  $R$  is a subset of  $M$ . Hence,  $M$  is maximal. That is,  $R$  is a local ring and (i) holds. Suppose (i) holds. Then  $R$  is a local ring, so that it has a unique maximal ideal. If  $a \in R$  is a nonunit, then  $(a) \neq R$ . But by Note III.4.E, the maximal ideal contains every ideal in  $R$  (except  $R$  itself), and so contains every principal ideal  $(a)$  where  $a$  is a nonunit. That is, all nonunits are contained in some ideal  $M \neq R$  (namely, the unique maximal one in  $R$ ), and (ii) holds. Hence (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i)  $\Rightarrow$  (ii), as claimed.  $\square$

## Theorem III.4.7

**Theorem III.4.7.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (i) If  $I$  is an ideal in  $R$ , then  $S^{-1}I = \{a/s \mid a \in I, s \in S\}$  is an ideal in  $S^{-1}R$ .
- (ii) If  $J$  is another ideal in  $R$ , then  $S^{-1}(I + J) = S^{-1}I + S^{-1}J$ ,  $S^{-1}(IJ) = (S^{-1}I)(S^{-1}J)$ , and  $S^{-1}(I \cap J) = (S^{-1}I)(S^{-1}J)$ .

**Proof.** We start with three identities in  $S^{-1}R$  which can be proved by induction. We give the base case and the general case follows similarly. Since  $c_1/s + c_2/s = (c_1s + c_2s)/s^2$  by Theorem II.4.3(i), then  $c_1/s + c_2/s = (c_1 + c_2)/s$  by Theorem II.4.2 because  $s(c_1s + c_2s) = s^2(c_1 + c_2)$ . By induction we then have

$$\sum_{i=1}^n (c_i/s) = \left( \sum_{i=1}^n c_i \right) / s. \quad (1)$$

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$$\sum_{i=1}^n (c_i/s) = \left( \sum_{i=1}^n c_i \right) / s. \quad (1)$$



## Theorem III.4.7 (continued 1)

**Proof (continued).** Now  $(a_1/s)(b_1s/s) = (a_1b_1s)/s^2 = a_1b_1/s$  by Note III.4.A(ii), so by substitution

$$\sum_{j=1}^m (a_j b_j / s) = \sum_{j=1}^m (a_j / s)(b_j s / s). \quad (2)$$

Since  $c_1/s_1 + c_2/s_2 = (c_1s_2 + c_2s_1)/(s_1s_2)$  by Theorem III.4.3(i), then by induction

$$\sum_{k=1}^t (c_k / s_k) = \sum_{k=1}^t (c_k s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t) / (s_1 s_2 \cdots s_t). \quad (3)$$

(i) Let  $r/s \in S^{-1}R$  and  $a/s' \in S^{-1}I$ . Then  $(r/s)(a/s') = (ra)/(ss')$  by Theorem III.4.3(i). Since  $I$  is an ideal of  $R$  then  $ra \in I$  and since  $S$  is multiplicative then  $ss' \in S$ . Therefore  $(ra)/(ss') \in S^{-1}I$  so that  $S^{-1}I$  is a left and (since  $R$  is commutative) right ideal of  $S^{-1}R$ , as claimed.

## Theorem III.4.7 (continued 1)

**Proof (continued).** Now  $(a_1/s)(b_1s/s) = (a_1b_1s)/s^2 = a_1b_1/s$  by Note III.4.A(ii), so by substitution

$$\sum_{j=1}^m (a_j b_j / s) = \sum_{j=1}^m (a_j / s)(b_j s / s). \quad (2)$$

Since  $c_1/s_1 + c_2/s_2 = (c_1s_2 + c_2s_1)/(s_1s_2)$  by Theorem III.4.3(i), then by induction

$$\sum_{k=1}^t (c_k/s_k) = \sum_{k=1}^t (c_k s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t) / (s_1 s_2 \cdots s_t). \quad (3)$$

**(i)** Let  $r/s \in S^{-1}R$  and  $a/s' \in S^{-1}I$ . Then  $(r/s)(a/s') = (ra)/(ss')$  by Theorem III.4.3(i). Since  $I$  is an ideal of  $R$  then  $ra \in I$  and since  $S$  is multiplicative then  $ss' \in S$ . Therefore  $(ra)/(ss') \in S^{-1}I$  so that  $S^{-1}I$  is a left and (since  $R$  is commutative) right ideal of  $S^{-1}R$ , as claimed.

## Theorem III.4.7 (continued 2)

**Proof (continued).** (ii) Notice that  $I + J = \{a + b \mid a \in I, b \in J\}$  is an ideal of  $R$  by Theorem III.2.6(i). Now an element of  $S^{-1}(I + J)$  is of the form  $(a + b)/s$  where  $a \in I$ ,  $b \in J$ , and  $s \in S$ . By (1) with  $n = 2$  we have  $(a + b)/s = a/s + b/s$  where  $a/s \in S^{-1}I$  and  $b/s \in S^{-1}J$ . Therefore  $S^{-1}(I + J) \subset S^{-1}I + S^{-1}J$ . An element of  $S^{-1}I + S^{-1}J$  is of the form  $a/s + b/s'$ . By (3) with  $t = 2$  we have  $a/s + b/s' = (as' + bs)/(ss')$ . Since  $I$  and  $J$  are ideals of  $R$  then  $as' \in I$  and  $bs \in J$ . Since  $S$  is multiplicative then  $ss' \in S$ . Therefore,  $(as' + bs)/(ss')$  is an element of  $S^{-1}(I + J)$ . That is,  $S^{-1}I + S^{-1}J \subset S^{-1}(I + J)$ . Hence  $S^{-1}(I + J) = S^{-1}I + S^{-1}J$ , as claimed.

Notice that  $IJ$  is an ideal of  $R$  by Theorem II.2.6(i). By definition (see Section III.2. Ideals)

$$IJ = \{a_1b_1 + a_2b_2 + \cdots + a_nb_n \mid n \in \mathbb{N}, a_i \in I, b_i \in J\}.$$

So (with the same notation) an element of  $S^{-1}(IJ)$  is of the form  $(a_1b_1 + a_2b_2 + \cdots + a_nb_n)/s$  for some  $s \in S$ .

## Theorem III.4.7 (continued 2)

**Proof (continued).** (ii) Notice that  $I + J = \{a + b \mid a \in I, b \in J\}$  is an ideal of  $R$  by Theorem III.2.6(i). Now an element of  $S^{-1}(I + J)$  is of the form  $(a + b)/s$  where  $a \in I$ ,  $b \in J$ , and  $s \in S$ . By (1) with  $n = 2$  we have  $(a + b)/s = a/s + b/s$  where  $a/s \in S^{-1}I$  and  $b/s \in S^{-1}J$ . Therefore  $S^{-1}(I + J) \subset S^{-1}I + S^{-1}J$ . An element of  $S^{-1}I + S^{-1}J$  is of the form  $a/s + b/s'$ . By (3) with  $t = 2$  we have  $a/s + b/s' = (as' + bs)/(ss')$ . Since  $I$  and  $J$  are ideals of  $R$  then  $as' \in I$  and  $bs \in J$ . Since  $S$  is multiplicative then  $ss' \in S$ . Therefore,  $(as' + bs)/(ss')$  is an element of  $S^{-1}(I + J)$ . That is,  $S^{-1}I + S^{-1}J \in S^{-1}(I + J)$ . Hence  $S^{-1}(I + J) = S^{-1}I + S^{-1}J$ , as claimed.

Notice that  $IJ$  is an ideal of  $R$  by Theorem II.2.6(i). By definition (see Section III.2. Ideals)

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So (with the same notation) an element of  $S^{-1}(IJ)$  is of the form  $(a_1b_1 + a_2b_2 + \cdots + a_nb_n)/s$  for some  $s \in S$ .

## Theorem III.4.7 (continued 3)

**Proof (continued).** By (1) (with  $c_i = a_i b_i$ ) and (2) we have

$$\left( \sum_{i=1}^n a_i b_i \right) / s = \sum_{i=1}^n (a_i b_i) / s = \sum_{i=1}^n (a_i / s) (b_i / s).$$

For each  $i$  we have  $a_i / s \in S^{-1}I$ , since  $J$  is an ideal then  $b_i s \in J$ , and so  $(b_i s) / s \in S^{-1}J$ . Therefore, by the definition of the product of ideals  $(S^{-1}I)(S^{-1}J)$ , we have  $(\sum_{i=1}^n a_i b_i) / s \in (S^{-1}I)(S^{-1}J)$ . Therefore  $S^{-1}(IJ) \subset (S^{-1}I)(S^{-1}J)$ . An element of  $(S^{-1}I)(S^{-1}J)$  is of the form  $\sum_{k=1}^t (a'_k / s'_k) (b_k / s''_k) = \sum_{k=1}^t (a_k b_k) / (s'_k s''_k)$  by Theorem III.4.3(i). By (3) with  $c_k = a_k b_k$  and  $s_k = s'_k s''_k$  we have that this is of the form

$$\sum_{k=1}^t (c_k / s_k) = \sum_{k=1}^t (c_k s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t) / (s_1 s_2 \cdots s_t) = \sum_{k=1}^t (a_k b_k s_k''') / s$$

where  $s_k''' = s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t$  and  $s = s_1 s_2 \cdots s_t$ . Since  $J$  is an ideal then  $b_k s_k''' \in J$ , say  $b_k s_k''' = b'_k \in J$ , and since  $S$  is multiplicative then  $s \in S$ .

## Theorem III.4.7 (continued 3)

**Proof (continued).** By (1) (with  $c_i = a_i b_i$ ) and (2) we have

$$\left( \sum_{i=1}^n a_i b_i \right) / s = \sum_{i=1}^n (a_i b_i) / s = \sum_{i=1}^n (a_i / s) (b_i / s).$$

For each  $i$  we have  $a_i / s \in S^{-1}I$ , since  $J$  is an ideal then  $b_i s \in J$ , and so  $(b_i s) / s \in S^{-1}J$ . Therefore, by the definition of the product of ideals  $(S^{-1}I)(S^{-1}J)$ , we have  $(\sum_{i=1}^n a_i b_i) / s \in (S^{-1}I)(S^{-1}J)$ . Therefore  $S^{-1}(IJ) \subset (S^{-1}I)(S^{-1}J)$ . An element of  $(S^{-1}I)(S^{-1}J)$  is of the form  $\sum_{k=1}^t (a'_k / s'_k) (b_k / s''_k) = \sum_{k=1}^t (a_k b_k) / (s'_k s''_k)$  by Theorem III.4.3(i). By (3) with  $c_k = a_k b_k$  and  $s_k = s'_k s''_k$  we have that this is of the form

$$\sum_{k=1}^t (c_k / s_k) = \sum_{k=1}^t (c_k s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t) / (s_1 s_2 \cdots s_t) = \sum_{k=1}^t (a_k b_k s_k''') / s$$

where  $s_k''' = s_1 s_2 \cdots s_{k-1} s_{k+1} \cdots s_t$  and  $s = s_1 s_2 \cdots s_t$ . Since  $J$  is an ideal then  $b_k s_k''' \in J$ , say  $b_k s_k''' = b'_k \in J$ , and since  $S$  is multiplicative then  $s \in S$ .

## Theorem III.4.7 (continued 4)

**Theorem III.4.7.** Let  $S$  be a multiplicative subset of a commutative ring  $R$ .

- (i) If  $I$  is an ideal in  $R$ , then  $S^{-1}I = \{a/s \mid a \in I, s \in S\}$  is an ideal in  $S^{-1}R$ .
- (ii) If  $J$  is another ideal in  $R$ , then  $S^{-1}(I + J) = S^{-1}I + S^{-1}J$ ,  $S^{-1}(IJ) = (S^{-1}I)(S^{-1}J)$ , and  $S^{-1}(I \cap J) = (S^{-1}I)(S^{-1}J)$ .

**Proof (continued).** So an element of  $(S^{-1}I)(S^{-1}J)$  is of the form  $\sum_{k=1}^t (a_k b'_k)/s$  where, by (1), equals  $(\sum_{k=1}^t a_k b'_k)/s$ . since  $\sum_{k=1}^t a_k b'_k \in IJ$ , then we have that an arbitrary element of  $(S^{-1}I)(S^{-1}J)$  is an element of  $S^{-1}(IJ)$ . That is,  $(S^{-1}I)(S^{-1}J) \subset S^{-1}(IJ)$ . Hence  $S^{-1}(IJ) = (S^{-1}I)(S^{-1}J)$ , as claimed.

## Theorem III.4.7 (continued 5)

**Proof (continued).** Notice that  $I \cap J$  is an ideal of  $R$  by Corollary III.2.3. An element of  $S^{-1}(I \cap J)$  is of the form  $r/s$  where  $r \in I \cap J$ . Notice that  $r \in I$  so  $r/s \in S^{-1}I$ , and  $r \in J$  so  $r/s \in S^{-1}J$ . Therefore  $r/s \in (S^{-1}I) \cap (S^{-1}J)$  and hence  $S^{-1}(I \cap J) \subset (S^{-1}I)(S^{-1}J)$ . An element of  $(S^{-1}I) \cap (S^{-1}J)$  is of forms  $a/s$  and  $b/s'$  where  $a \in I$ ,  $b \in J$ , and  $s, s' \in S$ . So  $a/s = b/s'$  and  $s_1(as' - bs) = 0$  for some  $s_1 \in S$  by Theorem III.4.2. That is,  $s_1as' = s_1bs$ . Since  $I$  and  $J$  are ideals then  $s_1as' \in I$  and  $s_1bs \in J$ . Say  $c = s_1as' = s_1bs$  and then  $c \in I \cap J$ . Now  $ss_1s' \in S$  since  $S$  is multiplicative, so  $c/(ss_1s') = (s_1s'a)/(ss_1s') = a/s \in S^{-1}(I \cap J)$ . So any element of  $(S^{-1}I) \cap (S^{-1}J)$  is an element of  $S^{-1}(I \cap J)$ . That is,  $(S^{-1}I) \cap (S^{-1}J) \subset S^{-1}(I \cap J)$ . Therefore,  $S^{-1}(I \cap J) = (S^{-1}I)(S^{-1}J)$ , as claimed.  $\square$



## Theorem III.4.7 (continued 5)

**Proof (continued).** Notice that  $I \cap J$  is an ideal of  $R$  by Corollary III.2.3. An element of  $S^{-1}(I \cap J)$  is of the form  $r/s$  where  $r \in I \cap J$ . Notice that  $r \in I$  so  $r/s \in S^{-1}I$ , and  $r \in J$  so  $r/s \in S^{-1}J$ . Therefore  $r/s \in (S^{-1}I) \cap (S^{-1}J)$  and hence  $S^{-1}(I \cap J) \subset (S^{-1}I)(S^{-1}J)$ . An element of  $(S^{-1}I) \cap (S^{-1}J)$  is of forms  $a/s$  and  $s/b'$  where  $a \in I$ ,  $b \in J$ , and  $s, s' \in S$ . So  $a/s = b/s'$  and  $s_1(as' - bs) = 0$  for some  $s_1 \in S$  by Theorem III.4.2. That is,  $s_1as' = s_1bs$ . Since  $I$  and  $J$  are ideals then  $s_1as' \in I$  and  $s_1bs \in J$ . Say  $c = s_1as' = s_1bs$  and then  $c \in I \cap J$ . Now  $ss_1s' \in S$  since  $S$  is multiplicative, so  $c/(ss_1s') = (s_1s'a)/(ss_1s') = a/s \in S^{-1}(I \cap J)$ . So any element of  $(S^{-1}I) \cap (S^{-1}J)$  is an element of  $S^{-1}(I \cap J)$ . That is,  $(S^{-1}I) \cap (S^{-1}J) \subset S^{-1}(I \cap J)$ . Therefore,  $S^{-1}(I \cap J) = (S^{-1}I)(S^{-1}J)$ , as claimed.  $\square$

## Theorem III.4.8

**Theorem III.4.8.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal of  $R$ . Then  $S^{-1}I = S^{-1}R$  if and only if  $S \cap I \neq \emptyset$ .

**Proof.** If  $s \in S \cap I$ , then  $s/s \in S^{-1}I$  and  $s/s$  is the identity in  $S^{-1}I$  as shown in the proof of Theorem III.4.3(i). We denote the identity in  $S^{-1}R$  as  $1_{S^{-1}R} = s/s$ . Now  $S^{-1}I$  is an ideal of  $S^{-1}R$  by Theorem III.4.7(i), and by definition of an ideal  $(r/s)(S^{-1}I) \subseteq S^{-1}I$  for all  $r/s \in S^{-1}R$ . With  $1_{S^{-1}R} \in S^{-1}I$  we then have all elements of  $S^{-1}R$  in  $S^{-1}I$ . Therefore,  $S^{-1}I = S^{-1}R$  (of course,  $S^{-1}I$  is always a subset of  $S^{-1}R$ ), as claimed.

## Theorem III.4.8

**Theorem III.4.8.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal of  $R$ . Then  $S^{-1}I = S^{-1}R$  if and only if  $S \cap I \neq \emptyset$ .

**Proof.** If  $s \in S \cap I$ , then  $s/s \in S^{-1}I$  and  $s/s$  is the identity in  $S^{-1}I$  as shown in the proof of Theorem III.4.3(i). We denote the identity in  $S^{-1}R$  as  $1_{S^{-1}R} = s/s$ . Now  $S^{-1}I$  is an ideal of  $S^{-1}R$  by Theorem III.4.7(i), and by definition of an ideal  $(r/s)(S^{-1}I) \subseteq S^{-1}I$  for all  $r/s \in S^{-1}R$ . With  $1_{S^{-1}R} \in S^{-1}I$  we then have all elements of  $S^{-1}R$  in  $S^{-1}I$ . Therefore,  $S^{-1}I = S^{-1}R$  (of course,  $S^{-1}I$  is always a subset of  $S^{-1}R$ ), as claimed.

Now suppose  $S^{-1}I = S^{-1}R$ . The homomorphism  $\varphi_S : R \rightarrow S^{-1}R$  given in Theorem III.4.4(i) gives the inverse image  $\varphi_S(S^{-1}R) = R$ . Since  $S^{-1}I = S^{-1}R$  then  $\varphi_S^{-1}(S^{-1}I) = R$ . Whence because  $1_R \in R$  then  $\varphi_S(1_R) \in S^{-1}I$ , so  $\varphi_S(1_R) = a/s$  for some  $a \in I$  and  $s \in S$ .

## Theorem III.4.8

**Theorem III.4.8.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal of  $R$ . Then  $S^{-1}I = S^{-1}R$  if and only if  $S \cap I \neq \emptyset$ .

**Proof.** If  $s \in S \cap I$ , then  $s/s \in S^{-1}I$  and  $s/s$  is the identity in  $S^{-1}I$  as shown in the proof of Theorem III.4.3(i). We denote the identity in  $S^{-1}R$  as  $1_{S^{-1}R} = s/s$ . Now  $S^{-1}I$  is an ideal of  $S^{-1}R$  by Theorem III.4.7(i), and by definition of an ideal  $(r/s)(S^{-1}I) \subseteq S^{-1}I$  for all  $r/s \in S^{-1}R$ . With  $1_{S^{-1}R} \in S^{-1}I$  we then have all elements of  $S^{-1}R$  in  $S^{-1}I$ . Therefore,  $S^{-1}I = S^{-1}R$  (of course,  $S^{-1}I$  is always a subset of  $S^{-1}R$ ), as claimed.

Now suppose  $S^{-1}I = S^{-1}R$ . The homomorphism  $\varphi_S : R \rightarrow S^{-1}R$  given in Theorem III.4.4(i) gives the inverse image  $\varphi_S^{-1}(S^{-1}R) = R$ . Since  $S^{-1}I = S^{-1}R$  then  $\varphi_S^{-1}(S^{-1}I) = R$ . Whence because  $1_R \in R$  then  $\varphi_S(1_R) \in S^{-1}I$ , so  $\varphi_S(1_R) = a/s$  for some  $a \in I$  and  $s \in S$ .

# Theorem III.4.8 (continued)

**Theorem III.4.8.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal of  $R$ . Then  $S^{-1}I = S^{-1}R$  if and only if  $S \cap I \neq \emptyset$ .

**Proof (continued).** Also,  $\varphi_S(1_R) = 1_{RS}/s$ , so we must have  $a/s = 1_{RS}/s$  or  $s_1(as - 1_{RS}^2) = 0$  for some  $s_1 \in S$  by Theorem III.4.2. That is,  $ass_1 = s^2s_1$ . But since  $S$  is multiplicative then  $s^2s_1 \in S$ , and since  $I$  is an ideal then  $ass_1 \in I$ . Therefore  $ass_1 = s^2s_1 \in S \cap I$  and  $S \cap I \neq \emptyset$ , as claimed. □

## Lemma III.4.9

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

- (i)  $I \subset \varphi_S^{-1}(S^{-1}I)$ .
- (ii) If  $I = \varphi_S^{-1}(J)$  for some ideal  $J$  in  $S^{-1}R$ , then  $S^{-1}I = J$ .  
That is, every ideal in  $S^{-1}R$  is of the form  $S^{-1}I$  for some ideal  $I$  in  $R$ .
- (iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof.** (i) Since  $I$  is an ideal, then for any  $a \in I$  we have  $as \in I$  for all  $s \in S$ . So  $\varphi_S(a) = (as)/s \in S^{-1}I$ , and hence  $a \in \varphi_S^{-1}(S^{-1}I)$ . That is,  $I \subset \varphi_S^{-1}(S^{-1}I)$ , as claimed.

## Lemma III.4.9

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

- (i)  $I \subset \varphi_S^{-1}(S^{-1}I)$ .
- (ii) If  $I = \varphi_S^{-1}(J)$  for some ideal  $J$  in  $S^{-1}R$ , then  $S^{-1}I = J$ .  
That is, every ideal in  $S^{-1}R$  is of the form  $S^{-1}I$  for some ideal  $I$  in  $R$ .
- (iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof.** (i) Since  $I$  is an ideal, then for any  $a \in I$  we have  $as \in I$  for all  $s \in S$ . So  $\varphi_S(a) = (as)/s \in S^{-1}I$ , and hence  $a \in \varphi_S^{-1}(S^{-1}I)$ . That is,  $I \subset \varphi_S^{-1}(S^{-1}I)$ , as claimed.

(ii) Since  $I = \varphi_S^{-1}(J)$  by hypothesis, then every element of  $S^{-1}I$  is of the form  $r/s$  where  $r \in I = \varphi_S^{-1}(J)$ ; that is,  $\varphi_S(r) \in J$ . Therefore,  $r/s = (1 + Rrs)/s^2 = (1_R/s = rs/s) = (1_R/s)\varphi_S(r)$  and this is in  $J$  since  $\varphi_S(r) \in J$  and  $J$  is an ideal in  $S^{-1}R$ .

## Lemma III.4.9

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

- (i)  $I \subset \varphi_S^{-1}(S^{-1}I)$ .
- (ii) If  $I = \varphi_S^{-1}(J)$  for some ideal  $J$  in  $S^{-1}R$ , then  $S^{-1}I = J$ .  
That is, every ideal in  $S^{-1}R$  is of the form  $S^{-1}I$  for some ideal  $I$  in  $R$ .
- (iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof.** (i) Since  $I$  is an ideal, then for any  $a \in I$  we have  $as \in I$  for all  $s \in S$ . So  $\varphi_S(a) = (as)/s \in S^{-1}I$ , and hence  $a \in \varphi_S^{-1}(S^{-1}I)$ . That is,  $I \subset \varphi_S^{-1}(S^{-1}I)$ , as claimed.

(ii) Since  $I = \varphi_S^{-1}(J)$  by hypothesis, then every element of  $S^{-1}I$  is of the form  $r/s$  where  $r \in I = \varphi_S^{-1}(J)$ ; that is,  $\varphi_S(r) \in J$ . Therefore,  $r/s = (1 + Rrs)/s^2 = (1_R/s = rs/s) = (1_R/s)\varphi_S(r)$  and this is in  $J$  since  $\varphi_S(r) \in J$  and  $J$  is an ideal in  $S^{-1}R$ .



## Lemma III.4.9 (continued 1)

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

(iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof (continued).** So every element of  $S^{-1}I$  is an element of  $J$  and  $S^{-1}I \subset J$ . Conversely, if  $r/s \in J$ , then

$\varphi_S(r) = rs/s = rs^2/s^2 = (r/s)(s^2/s)$  and this is in  $J$  since  $r/s \in J$ ,  $s^2/s \in S^{-1}R$ , and  $J$  is an ideal in  $S^{-1}R$ . Since  $\varphi_S(r) \in J$  then  $r \in \varphi_S^{-1}(J) = I$ . Thus  $r/s \in S^{-1}I$ , and hence  $J \subset S^{-1}I$ . Therefore, we have  $S^{-1}I = J$ , as claimed.

(iii) Suppose  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ . First,  $S^{-1}P$  is an ideal of  $S^{-1}R$  by Theorem III.4.7. Since  $S \cap P = \emptyset$  then by Theorem III.4.7  $S^{-1}P \neq S^{-1}R$  (this is one requirement for  $S^{-1}P$  to be a prime ideal in  $S^{-1}R$ ). To show  $S^{-1}P$  is a prime ideal, we consider a product of two elements of  $S^{-1}P$ , say  $(r/s)(r'/s') \in S^{-1}P$ .

## Lemma III.4.9 (continued 1)

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

(iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof (continued).** So every element of  $S^{-1}I$  is an element of  $J$  and  $S^{-1}I \subset J$ . Conversely, if  $r/s \in J$ , then

$\varphi_S(r) = rs/s = rs^2/s^2 = (r/s)(s^2/s)$  and this is in  $J$  since  $r/s \in J$ ,  $s^2/s \in S^{-1}R$ , and  $J$  is an ideal in  $S^{-1}R$ . Since  $\varphi_S(r) \in J$  then  $r \in \varphi_S^{-1}(J) = I$ . Thus  $r/s \in S^{-1}I$ , and hence  $J \subset S^{-1}I$ . Therefore, we have  $S^{-1}I = J$ , as claimed.

(iii) Suppose  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ . First,  $S^{-1}P$  is an ideal of  $S^{-1}R$  by Theorem III.4.7. Since  $S \cap P = \emptyset$  then by Theorem III.4.7  $S^{-1}P \neq S^{-1}R$  (this is one requirement for  $S^{-1}P$  to be a prime ideal in  $S^{-1}R$ ). To show  $S^{-1}P$  is a prime ideal, we consider a product of two elements of  $S^{-1}P$ , say  $(r/s)(r'/s') \in S^{-1}P$ .

## Lemma III.4.9 (continued 2)

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

(iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof (continued).** We then have  $(rr')/(ss') = a/t$  for some  $a \in P$  and some  $t \in S$ . Then by Theorem III.4.2,  $s_1(trr' - ss'a) = 0$  for some  $s_1 \in S$ , or  $s_1trr' = s_1ss'a$ . Since  $a \in P$  and  $P$  is an ideal of  $R$  then  $s_1trr' = s_1ss'a \in P$ . Now  $s_1t \in S$  (since  $S$  is multiplicative and  $S \cap P = \emptyset$ , so by Theorem III.2.15 (with  $a = s_1t$  and  $b = rr'$  with  $a$  and  $b$  as the parameters of Theorem III.2.15), we have either  $s_1t \in P$  or  $rr' \in P$ . But  $s_1t \in S$  and  $s_1t \notin P$  (since  $S \cap P = \emptyset$ ), so we must have  $rr' \in P$  (Theorem III.2.15 requires the fact that  $P$  is prime). Again based on the fact that  $P$  is prime, either  $r \in P$  or  $r' \in P$ . Thus either  $r/s \in S^{-1}P$  or  $r'/s' \in S^{-1}P$ . Since we considered arbitrary  $(r/s)(r'/s') \in S^{-1}P$ , then we now have that  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  by Theorem III.2.15 (applied to  $S^{-1}P$ ), as claimed.

## Lemma III.4.9 (continued 2)

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

(iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof (continued).** We then have  $(rr')/(ss') = a/t$  for some  $a \in P$  and some  $t \in S$ . Then by Theorem III.4.2,  $s_1(trr' - ss'a) = 0$  for some  $s_1 \in S$ , or  $s_1trr' = s_1ss'a$ . Since  $a \in P$  and  $P$  is an ideal of  $R$  then  $s_1trr' = s_1ss'a \in P$ . Now  $s_1t \in S$  (since  $S$  is multiplicative and  $S \cap P = \emptyset$ , so by Theorem III.2.15 (with  $a = s_1t$  and  $b = rr'$  with  $a$  and  $b$  as the parameters of Theorem III.2.15), we have either  $s_1t \in P$  or  $rr' \in P$ . But  $s_1t \in S$  and  $s_1t \notin P$  (since  $S \cap P = \emptyset$ ), so we must have  $rr' \in P$  (Theorem III.2.15 requires the fact that  $P$  is prime). Again based on the fact that  $P$  is prime, either  $r \in P$  or  $r' \in P$ . Thus either  $r/s \in S^{-1}P$  or  $r'/s' \in S^{-1}P$ . Since we considered arbitrary  $(r/s)(r'/s') \in S^{-1}P$ , then we now have that  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  by Theorem III.2.15 (applied to  $S^{-1}P$ ), as claimed.

## Lemma III.4.9 (continued 3)

**Lemma III.4.9.** Let  $S$  be multiplicative subset of a commutative ring  $R$  with identity and let  $I$  be an ideal in  $R$ .

- (iii) If  $P$  is a prime ideal in  $R$  and  $S \cap P = \emptyset$ , then  $S^{-1}P$  is a prime ideal in  $S^{-1}R$  and  $\varphi_S^{-1}(S^{-1}P) = P$ .

**Proof (continued).** By part (i),  $P \subset \varphi_S^{-1}(S^{-1}P)$ . If  $r \in \varphi_S^{-1}(S^{-1}P)$  then  $\varphi_S(r) \in S^{-1}P$  so that  $\varphi_S(r) = rs/s = at$  with  $a \in P$  and  $s, t \in S$ . Again by Theorem III.4.2,  $s_1(str - sa) = 0$  or  $s_1str = s_1sa$ . Since  $P$  is an ideal then  $s_1sa \in P$  and so  $(s_1st)r \in P$ . By Theorem III.2.15 (because  $P$  is prime), either  $s_1st \in P$  or  $r \in P$ . But  $s_1st \in S$  and  $S \cap P = \emptyset$  so we have  $s_1st \notin P$  and hence we must have  $r \in P$ . Since  $r$  is an arbitrary element of  $\varphi_S^{-1}(S^{-1}P)$ , then we now have  $\varphi_S^{-1}(S^{-1}P) \subset P$ . That is,  $\varphi_S^{-1}(S^{-1}P) = P$ , as claimed. □

# Theorem III.4.10

**Theorem III.4.10.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity. Then there is a one-to-one correspondence between the set  $\mathcal{U}$  of prime ideals of  $R$  which are disjoint from  $S$  and the set  $\mathcal{V}$  of prime ideals of  $S^{-1}R$ , given by  $P \mapsto S^{-1}P$ .

**Proof.** Let  $S$  be a given multiplicative set. Symbolically,  
 $\mathcal{U} = \{P \mid P \text{ is a prime ideal of } R \text{ and } S \cap P = \emptyset\}$ . By Lemma III.4.9(iii),  
 the assignment of  $P$  to  $S^{-1}P$  is one to one since for  $S^{-1}P_1 \neq S^{-1}P_2$  we  
 have  $\varphi_S^{-1}(S^{-1}P_1) = P_1 \neq P_2 = \varphi_S^{-1}(S^{-1}P_2)$ .

## Theorem III.4.10

**Theorem III.4.10.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity. Then there is a one-to-one correspondence between the set  $\mathcal{U}$  of prime ideals of  $R$  which are disjoint from  $S$  and the set  $\mathcal{V}$  of prime ideals of  $S^{-1}R$ , given by  $P \mapsto S^{-1}P$ .

**Proof.** Let  $S$  be a given multiplicative set. Symbolically,  $\mathcal{U} = \{P \mid P \text{ is a prime ideal of } R \text{ and } S \cap P = \emptyset\}$ . By Lemma III.4.9(iii), the assignment of  $P$  to  $S^{-1}P$  is one to one since for  $S^{-1}P_1 \neq S^{-1}P_2$  we have  $\varphi_S^{-1}(S^{-1}P_1) = P_1 \neq P_2 = \varphi_S^{-1}(S^{-1}P_2)$ .

To show the mapping is surjective, let  $J$  be an element of  $\mathcal{V}$  (i.e.,  $J$  is a prime ideal of  $S^{-1}R$ ), and let  $P = \varphi_S^{-1}(J)$ . By Lemma III.4.9(ii), if we show  $P$  is prime then we have  $P \mapsto S^{-1}P = J$ , so that the mapping is surjective (“onto”). Suppose  $ab \in P$ . Then, since  $\varphi_S$  is a homomorphism by Theorem III.4.4(i),  $\varphi_S(ab) = \varphi_S(a)\varphi_S(b) \in J$  since  $P = \varphi_S^{-1}(J)$ .

## Theorem III.4.10

**Theorem III.4.10.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity. Then there is a one-to-one correspondence between the set  $\mathcal{U}$  of prime ideals of  $R$  which are disjoint from  $S$  and the set  $\mathcal{V}$  of prime ideals of  $S^{-1}R$ , given by  $P \mapsto S^{-1}P$ .

**Proof.** Let  $S$  be a given multiplicative set. Symbolically,  $\mathcal{U} = \{P \mid P \text{ is a prime ideal of } R \text{ and } S \cap P = \emptyset\}$ . By Lemma III.4.9(iii), the assignment of  $P$  to  $S^{-1}P$  is one to one since for  $S^{-1}P_1 \neq S^{-1}P_2$  we have  $\varphi_S^{-1}(S^{-1}P_1) = P_1 \neq P_2 = \varphi_S^{-1}(S^{-1}P_2)$ .

To show the mapping is surjective, let  $J$  be an element of  $\mathcal{V}$  (i.e.,  $J$  is a prime ideal of  $S^{-1}R$ ), and let  $P = \varphi_S^{-1}(J)$ . By Lemma III.4.9(ii), if we show  $P$  is prime then we have  $P \mapsto S^{-1}P = J$ , so that the mapping is surjective (“onto”). Suppose  $ab \in P$ . Then, since  $\varphi_S$  is a homomorphism by Theorem III.4.4(i),  $\varphi_S(ab) = \varphi_S(a)\varphi_S(b) \in J$  since  $P = \varphi_S^{-1}(J)$ .



## Theorem III.4.10 (continued)

**Theorem III.4.10.** Let  $S$  be a multiplicative subset of a commutative ring  $R$  with identity. Then there is a one-to-one correspondence between the set  $\mathcal{U}$  of prime ideals of  $R$  which are disjoint from  $S$  and the set  $\mathcal{V}$  of prime ideals of  $S^{-1}R$ , given by  $P \mapsto S^{-1}P$ .

**Proof (continued).** Since  $J$  is prime in  $S^{-1}R$ , then by Theorem III.2.15 (notice that  $P$  is a prime ideal of  $S^{-1}R$ , so  $J \neq S^{-1}R$ ) either  $\varphi_S(a) \in J$  or  $\varphi_S(b) \in J$ . That is, either  $a \in \varphi_S^{-1}(J) = P$  or  $b \in \varphi_S^{-1}(J) = P$  and hence  $P$  is prime (again, by Theorem III.2.25). Therefore the mapping  $P \mapsto S^{-1}P$  is also surjective and, hence, is a bijection. We now have that this mapping is a one-to-one correspondence from  $\mathcal{U}$  to  $\mathcal{V}$ , as claimed.  $\square$

## Theorem III.4.11

**Theorem III.4.11.** Let  $P$  be a prime ideal in a commutative ring  $R$  with identity, and let  $S = R - P$ .

- (i) There is a one-to-one correspondence between the set of prime ideals of  $R$  which are contained in  $P$  and the set of prime ideals of  $R_P = S^{-1}R$ , given by  $Q \mapsto Q_P = S^{-1}Q$ ;
- (ii) the ideal  $P_P = S^{-1}P$  in  $R_P$  is the unique maximal ideal of  $R_P$ .

**Proof.** (i) The prime ideals of  $R$  contained in  $P$  are precisely the prime ideals which are disjoint from the complement of  $P$ ,  $S = R - P$ . The one-to-one correspondence is then given by Theorem III.4.10 since  $S^{-1}R = R_P$

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**Proof.** (i) The prime ideals of  $R$  contained in  $P$  are precisely the prime ideals which are disjoint from the complement of  $P$ ,  $S = R - P$ . The one-to-one correspondence is then given by Theorem III.4.10 since  $S^{-1}R = R_P$

(ii) If  $M$  is a maximal ideal of  $R_P$ , then  $M$  is prime by Theorem III.2.19 (since  $R_P$  has an identity, namely  $s/s$  as shown in the proof of Theorem III.4.3(i)). That is,  $M \in \mathcal{V}$  where  $\mathcal{V}$  is the set of prime ideals in  $R_P = S^{-1}R$ .

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**Proof.** (i) The prime ideals of  $R$  contained in  $P$  are precisely the prime ideals which are disjoint from the complement of  $P$ ,  $S = R - P$ . The one-to-one correspondence is then given by Theorem III.4.10 since  $S^{-1}R = R_P$

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## Theorem III.4.11 (continued)

**Theorem III.4.11.** Let  $P$  be a prime ideal in a commutative ring  $R$  with identity, and let  $S = R - P$ .

- (i) There is a one-to-one correspondence between the set of prime ideals of  $R$  which are contained in  $P$  and the set of prime ideals of  $R_P = S^{-1}R$ , given by  $Q \mapsto Q_P = S^{-1}Q$ ;
- (ii) the ideal  $P_P = S^{-1}P$  in  $R_P$  is the unique maximal ideal of  $R_P$ .

**Proof (continued).** By Theorem III.4.10, there is a prime ideal  $Q$  of  $R$  which is disjoint from  $S = R - P$  (and so is contained in  $P$ ) such that  $M = S^{-1}Q = Q + P$ . But  $Q \subset P$  implies  $Q_P \subset P_P$ . Since  $P_P \neq R_P$  by Theorem III.4.8 (because  $P$  is a prime ideal of  $R$  so that  $P \neq R$  and  $S \cap P = (S - P) \cap P = \emptyset$ ), and  $M = Q_P$  is maximal (by hypothesis) then  $M = Q_P = P_P$ . Therefore,  $P_P$  is a maximal ideal in  $R_P$  and (since  $M$  has been chosen to be an arbitrary maximal ideal of  $R_P$ ) is the unique such maximal ideal, as claimed. □