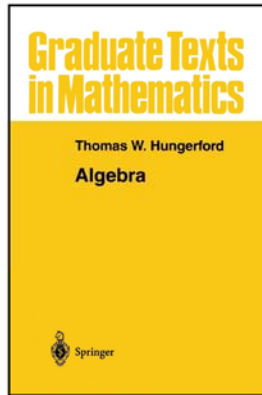


# Modern Algebra

## Chapter V. Fields and Galois Theory

### V.2.Appendix. Symmetric Rational Functions—Proofs of Theorems



## Proposition V.2.16

**Proposition V.2.16.** If  $G$  is a finite group, then there exists a Galois field extension with Galois group isomorphic to  $G$ .

**Proof.** By Cayley's Theorem (Theorem II.4.6), with  $|G| = n$ ,  $G$  is isomorphic to a subgroup of  $S_n$ . Let  $K$  be any field and  $E$  the subfield of symmetric rational functions in  $K(x_1, x_2, \dots, x_n)$ . As discussed above,  $K(x_1, x_2, \dots, x_n)$  is a Galois extension of  $E$  with Galois group  $S_n$ . Here we have the fields and groups:

| Fields                    | Groups                                  |
|---------------------------|---|
| $K(x_1, x_2, \dots, x_n)$ | $\text{Aut}_K(K(x_1, x_2, \dots, x_n))$ |
| $\cup$                    | $\cap$                                  |
| $E_1$                     | $G$                                     |
| $\cup$                    | $\cap$                                  |
| $E$                       | $S_n$                                   |

## Proposition V.2.16 (continued)

**Proposition V.2.16.** If  $G$  is a finite group, then there exists a Galois field extension with Galois group isomorphic to  $G$ .

**Proof (continued).** Let  $E_1$  (or  $G'$ ) be the fixed field of  $G$ . Since  $E_1$  is an intermediate field, then by the Fundamental Theorem (Theorem V.2.5) part (ii),  $K(x_1, x_2, \dots, x_n)$  is Galois over  $E_1$ . We also know that, by the proof of the Fundamental Theorem (actually, by Theorem V.2.7), the one to one correspondence is between intermediate field  $E_1$  and group  $E'_1 = \text{Aut}_{E_1}(K(x_1, x_2, \dots, x_n)) = G$  (the  $F$  of the Fundamental Theorem corresponds to our  $K(x_1, x_2, \dots, x_n)$  here). So  $G$  is the Galois group of the Galois extension of  $K(x_1, x_2, \dots, x_n)$  over  $E_1$ .  $\square$

## Lemma V.2.17

**Lemma V.2.17.** Let  $K$  be a field,  $f_1, f_2, \dots, f_n$  the elementary functions in  $x_1, x_2, \dots, x_n$  over  $K$  and  $k$  an integer with  $1 \leq k \leq n - 1$ . If  $h_1, h_2, \dots, h_k \in K[x_1, x_2, \dots, x_n]$  are the elementary symmetric functions in  $x_1, x_2, \dots, x_n$ , then each  $h_j$  can be written as a polynomial over  $K$  in  $f_1, f_2, \dots, f_n$  and  $x_{k+1}, x_{k+2}, \dots, x_n$ .

**Proof.** The result is true when  $k = n - 1$  since in that case  $h_1 = x_1 + x_2 + \dots + x_{n-1} = (x_1 + x_2 + \dots + x_{n-1} + x_n) - x_n = f_1 - x_n$  and for  $2 \leq j \leq n$

$$\begin{aligned}
 h_j &= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n-1} x_{i_1} x_{i_2} \dots x_{i_j} \text{ (all } j\text{-tuple products of } x_1, x_2, \dots, x_{n-1}\text{)} \\
 &= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n} x_{i_1} x_{i_2} \dots x_{i_j} - x_n \left( \sum_{1 \leq i_1 < i_2 < \dots < i_{j-1} \leq n-1} x_{i_1} x_{i_2} \dots x_{i_{j-1}} \right).
 \end{aligned}$$

### Lemma V.2.17 (continued 1)

**Proof (continued).** The result is true when  $k = n - 1$  since in that case  $h_1 = x_1 + x_2 + \dots + x_{n-1} = (x_1 + x_2 + \dots + x_{n-1} + x_n) - x_n = f_1 - x_n$  and for  $2 \leq j \leq n$

$$h_j = \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n} x_{i_1} x_{i_2} \dots x_{i_j} - x_n \left( \sum_{1 \leq i_1 < i_2 < \dots < i_{j-1} \leq n-1} x_{i_1} x_{i_2} \dots x_{i_{j-1}} \right)$$

(all  $j$ -tuple products of  $x_1, x_2, \dots, x_{n-1}, x_n$  MINUS all  $j$ -tuple products where one of the elements is  $x_n$  and the other  $j - 1$  are from  $x_1, x_2, \dots, x_{n-1}$ )

$$= f_1 - x_n h_{j-1}.$$

We now proceed by induction on  $k$  in reverse order. The base case is to assume the result is true for  $k = r + 1 \leq n - 1$ ; we then show the result holds for  $k = r$ . Assume the base case and let  $g_1, g_2, \dots, g_{r+1}$  be the elementary symmetric functions in  $x_1, x_2, \dots, x_{r+1}$  and  $h_1, h_2, \dots, h_r$  the elementary symmetric functions in  $x_1, x_2, \dots, x_r$ .

### Lemma V.2.17 (continued 2)

**Proof (continued).** We have

$$h_1 = x_1 + x_2 + \dots + x_r = (x_1 + x_2 + \dots + x_{r+1}) - x_{r+1} = g_1 - x_{r+1}. \text{ For } 2 \leq j \leq r$$

$$h_j = \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq r} x_{i_1} x_{i_2} \dots x_{i_j} \text{ (all } j\text{-tuples of } x_1, x_2, \dots, x_r)$$

$$= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq r+1} x_{i_1} x_{i_2} \dots x_{i_j} - x_{r+1} \left( \sum_{1 \leq i_1 < i_2 < \dots < i_{j-1} \leq r} x_{i_1} x_{i_2} \dots x_{i_{j-1}} \right)$$

(all  $j$ -tuples of  $x_1, x_2, \dots, x_{r+1}$  MINUS all  $j$ -tuples with one element of  $x_{r+1}$  and  $j - 1$  elements from  $x_1, x_2, \dots, x_r$ )

$$= g_j - x_{r+1} h_{j-1}.$$

So the result holds for  $k = r$ . Therefore, it holds for all  $k$  with  $1 \leq k \leq n - 1$ . □

### Theorem V.2.18

**Theorem V.2.18.** If  $K$  is a field,  $E$  the subfield of all symmetric rational functions in  $K(x_1, x_2, \dots, x_n)$  and  $f_1, f_2, \dots, f_n$  the elementary symmetric functions in  $x_1, x_2, \dots, x_n$ , then  $E = K(f_1, f_2, \dots, f_n)$ .

**Proof.** We have  $[K(x_1, x_2, \dots, x_n) : E] = n!$  since, as observed above,  $\text{Aut}_E K(x_1, x_2, \dots, x_n) = S_n$ . Since  $f_1, f_2, \dots, f_n$  involve some combinations of  $x_1, x_2, \dots, x_n$  and  $K(f_1, f_2, \dots, f_n)$  contains some of the symmetric rational functions, so  $K(f_1, f_2, \dots, f_n) \subset E \subset K(x_1, x_2, \dots, x_n)$ . By Theorem V.1.2, we have  $[K(x_1, x_2, \dots, x_n) : K(f_1, f_2, \dots, f_n)] = [K(x_1, x_2, \dots, x_n) : E][E : K(f_1, f_2, \dots, f_n)]$  so to show that  $[E : K(f_1, f_2, \dots, f_n)] = 1$  (and hence  $E = K(f_1, f_2, \dots, f_n)$ ), it suffices to show that  $[K(x_1, x_2, \dots, x_n) : K(f_1, f_2, \dots, f_n)] \leq n!$  (which in turn implies that the value must equal  $n!$ ).

### Theorem V.2.18 (continued 1)

**Proof.** Let  $F = K(f_1, f_2, \dots, f_n)$  and consider the tower of fields:  $F \subset F(x_n) \subset F(x_{n-1}, x_n) \subset \dots \subset F(x_2, x_3, \dots, x_n) \subset F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$ . Now  $K \subset K(f_1, f_2, \dots, f_n)$ , so  $K(x_1, x_2, \dots, x_n) \subset K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$ . Also, each  $f_1, f_2, \dots, f_n \in K(x_1, x_2, \dots, x_n)$ , so  $K(f_1, f_2, \dots, f_n) \subset K(x_1, x_2, \dots, x_n)$  and  $K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) \subset K(x_1, x_2, \dots, x_n)$  and  $F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) = K(x_1, x_2, \dots, x_n)$ . Since  $F(x_k, x_{k+1}, \dots, x_n) = F(x_{k+1}, x_{k+2}, \dots, x_n)(x_k)$ , by Theorem V.1.2 and Theorem V.1.6(iii) it suffices to show that  $x_n$  is algebraic over  $F$  of degree  $\leq n$  and for each  $k < n$ ,  $x_k$  is algebraic of degree  $\leq k$  over  $F(x_{k+1}, x_{k+2}, \dots, x_n)$  (then the factorial result will follow). To do this, let  $g_n(y) \in F[y]$  be the polynomial  $g_n(y) = (y - x_1)(y - x_2) \dots (y - x_n) = y^n - f_1 y^{n-1} + \dots + (-1)^n f_n$ . Since  $g_n \in F[y]$  has degree  $n$  and  $x_n$  is a root of  $g_n$ , then  $x_n$  is algebraic of degree at most  $n$  over  $F = K(f_1, f_2, \dots, f_n)$  by Theorem V.1.6(ii).

## Theorem V.2.18 (continued 2)

**Theorem V.2.18.** If  $K$  is a field,  $E$  the subfield of all symmetric rational functions in  $K(x_1, x_2, \dots, x_n)$  and  $f_1, f_2, \dots, f_n$  the elementary symmetric functions in  $x_1, x_2, \dots, x_n$ , then  $E = K(f_1, f_2, \dots, f_n)$ .

**Proof.** Now for each  $k$  with  $1 \leq k < n$  define a monic polynomial:  
 $g_k(y) = g_n(y) / \{(y - x_{k+1})(y - x_{k+2}) \cdots (y - x_n)\} =$   
 $(y - x_1)(y - x_2) \cdots (y - x_k)$ . Then each  $g_k(y)$  has degree  $k$ ,  $x_k$  is a root of  $g_k(y)$  and the coefficients of  $g_k(y)$  are precisely the elementary symmetric functions in  $x_1, x_2, \dots, x_k$ . By Lemma V.2.17, each  $g_k(y)$  lies in  $F(x_{k+1}, x_{k+2}, \dots, x_n)[y]$ , whence  $x_k$  is algebraic of degree at most  $k$  over  $F(x_{k+1}, x_{k+2}, \dots, x_n)$ . This establishes the " $\leq n!$ " claim and hence the original claim.  $\square$

## Proposition V.2.20

**Proposition V.2.20.** Let  $K$  be a field and let  $f_1, f_2, \dots, f_n$  be the elementary symmetric functions in  $K(x_1, x_2, \dots, x_n)$ .

- (i) Every polynomial in  $K[x_1, x_2, \dots, x_n]$  can be written uniquely as a linear combination of the  $n!$  elements  $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$  (for each  $k$  with  $0 \leq i_k < k$ ) with coefficients in  $K[f_1, f_2, \dots, f_n]$ ;
- (ii) every symmetric polynomial in  $K[x_1, x_2, \dots, x_n]$  lies in  $K[f_1, f_2, \dots, f_n]$ .

**Proof. (i)** For each  $k = 1, 2, \dots, n$ , let  $g_k(y) = (y - x_1)(y - x_2) \cdots (y - x_k)$ . As shown in the proof of Theorem V.2.18, the coefficients of  $g_k(y)$  are polynomials over  $K$  in  $f_1, f_2, \dots, f_n$  and  $x_{k+1}, x_{k+2}, \dots, x_n$ . Since  $g_k$  is monic of degree  $k$  and  $g_k(x_k) = 0$  then  $x_k^k$  can be expressed as a polynomial over  $K$  in  $f_1, f_2, \dots, f_n, x_{k+1}, x_{k+2}, \dots, x_n$  and the lower powers of  $x_k, x_k^i$  for  $i \leq k - 1$  (set  $y = x_k$  and rearrange).

## Proposition V.2.20(i)

**Proof (continued).** If we proceed step by step beginning with  $g_1$  and solving for  $x_1^1, \dots, g_k$  and solving for  $x_1^k, \dots$ , and solving for  $x_n^n$ , we can convert any polynomial  $h \in K[x_1, x_2, \dots, x_n]$  into a polynomial in  $f_1, f_2, \dots, f_n, x_1, x_2, \dots, x_n$  in which the highest exponent of any  $x_k$  is  $k - 1$  (powers of  $x_k$  can be reduced by multiples of  $k$  until the power is less than  $k$ ). In other words,  $h$  is a linear combination of  $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$  (where for each  $k, i_k < k$ ; so there are  $n!$  such expressions) with coefficients in  $K[f_1, f_2, \dots, f_n]$ . Furthermore, these coefficient polynomials are uniquely determined since  $\{x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n} \mid 0 \leq i_k < k \text{ for each } k\}$  is linearly independent over  $E = K(f_1, f_2, \dots, f_n)$  by Lemma V.2.19 (since the set is a basis for  $E$ ). This proves (i).

## Proposition V.2.20(ii)

**Proof. (ii)** So any polynomial  $h \in K[x_1, x_2, \dots, x_n]$  can be uniquely written as a linear combination of  $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$  (with  $i_k < k$ ) with coefficients in  $K(f_1, f_2, \dots, f_n)$  and in fact this can be done, as shown above, with coefficients in  $K[f_1, f_2, \dots, f_n]$ . So for  $h$  a symmetric polynomial we have  $h \in E = K(f_1, f_2, \dots, f_n)$  and the unique linear combination for  $h$  is  $h = h_1 = h x_1^0 x_2^0 \cdots x_n^0$ , and the "coefficient"  $h$  must lie in  $K[f_1, f_2, \dots, f_n]$ , as claimed in (ii).  $\square$