

Modern Algebra

Chapter V. Fields and Galois Theory

V.2.Appendix. Symmetric Rational Functions—Proofs of Theorems

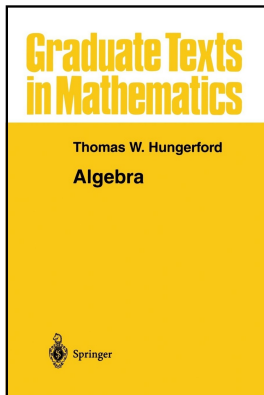


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Proposition V.2.16

Proposition V.2.16. If G is a finite group, then there exists a Galois field extension with Galois group isomorphic to G .

Proof. By Cayley's Theorem (Theorem II.4.6), with $|G| = n$, G is isomorphic to a subgroup of S_n . Let K be any field and E the subfield of symmetric rational functions in $K(x_1, x_2, \dots, x_n)$. As discussed above, $K(x_1, x_2, \dots, x_n)$ is a Galois extension of E with Galois group S_n .

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Fields	Groups
$K(x_1, x_2, \dots, x_n)$	$\text{Aut}_K(K(x_1, x_2, \dots, x_n))$
\cup	\cap
E_1	G
\cup	\cap
E	S_n

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Proposition V.2.16 (continued)

Proposition V.2.16. If G is a finite group, then there exists a Galois field extension with Galois group isomorphic to G .

Proof (continued). Let E_1 (or G') be the fixed field of G . Since E_1 is an intermediate field, then by the Fundamental Theorem (Theorem V.2.5) part (ii), $K(x_1, x_2, \dots, x_n)$ is Galois over E_1 . We also know that, by the proof of the Fundamental Theorem (actually, by Theorem V.2.7), the one to one correspondence is between intermediate field E_1 and group $E'_1 = \text{Aut}_{E_1}(K(x_1, x_2, \dots, x_n)) = G$ (the F of the Fundamental Theorem corresponds to our $K(x_1, x_2, \dots, x_n)$ here). So G is the Galois group of the Galois extension of $K(x_1, x_2, \dots, x_n)$ over E_1 . \square

Proposition V.2.16 (continued)

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Lemma V.2.17

Lemma V.2.17. Let K be a field, f_1, f_2, \dots, f_n the elementary functions in x_1, x_2, \dots, x_n over K and k an integer with $1 \leq k \leq n - 1$. If $h_1, h_2, \dots, h_k \in K[x_1, x_2, \dots, x_n]$ are the elementary symmetric functions in x_1, x_2, \dots, x_n , then each h_j can be written as a polynomial over K in f_1, f_2, \dots, f_n and $x_{k+1}, x_{k+2}, \dots, x_n$.

Proof. The result is true when $k = n - 1$ since in that case

$h_1 = x_1 + x_2 + \dots + x_{n-1} = (x_1 + x_2 + \dots + x_{n-1} + x_n) - x_n = f_1 - x_n$ and for $2 \leq j \leq n$

$$\begin{aligned}
 h_j &= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n-1} x_{i_1} x_{i_2} \cdots x_{i_j} \text{ (all } j\text{-tuple products of } x_1, x_2, \dots, x_{n-1}\text{)} \\
 &= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n} x_{i_1} x_{i_2} \cdots x_{i_j} - x_n \left(\sum_{1 \leq i_1 < i_2 < \dots < i_{j-1} \leq n-1} x_{i_1} x_{i_2} \cdots x_{i_{j-1}} \right).
 \end{aligned}$$

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$h_1 = x_1 + x_2 + \dots + x_{n-1} = (x_1 + x_2 + \dots + x_{n-1} + x_n) - x_n = f_1 - x_n$ and for $2 \leq j \leq n$

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 &= \sum_{1 \leq i_1 < i_2 < \dots < i_j \leq n} x_{i_1} x_{i_2} \cdots x_{i_j} - x_n \left(\sum_{1 \leq i_1 < i_2 < \dots < i_{j-1} \leq n-1} x_{i_1} x_{i_2} \cdots x_{i_{j-1}} \right).
 \end{aligned}$$

Lemma V.2.17 (continued 1)

Proof (continued). The result is true when $k = n - 1$ since in that case $h_1 = x_1 + x_2 + \cdots + x_{n-1} = (x_1 + x_2 + \cdots + x_{n-1} + x_n) - x_n = f_1 - x_n$ and for $2 \leq j \leq n$

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(all j -tuple products of $x_1, x_2, \dots, x_{n-1}, x_n$ MINUS all j -tuple products where one of the elements is x_n and the other $j - 1$ are from x_1, x_2, \dots, x_{n-1})

$$= f_1 - x_n h_{j-1}.$$

We now proceed by induction on k in reverse order.

Lemma V.2.17 (continued 1)

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We now proceed by induction on k in reverse order. The base case is to assume the result is true for $k = r + 1 \leq n - 1$; we then show the result holds for $k = r$. Assume the base case and let g_1, g_2, \dots, g_{r+1} be the elementary symmetric functions in x_1, x_2, \dots, x_{r+1} and h_1, h_2, \dots, h_r the elementary symmetric functions in x_1, x_2, \dots, x_r .

Lemma V.2.17 (continued 1)

Proof (continued). The result is true when $k = n - 1$ since in that case $h_1 = x_1 + x_2 + \cdots + x_{n-1} = (x_1 + x_2 + \cdots + x_{n-1} + x_n) - x_n = f_1 - x_n$ and for $2 \leq j \leq n$

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Proof (continued). We have

$h_1 = x_1 + x_2 + \cdots + x_r = (x_1 + x_2 + \cdots + x_{r+1}) - x_{r+1} = g_1 - x_{r+1}$. For $2 \leq j \leq r$

$$\begin{aligned}
 h_j &= \sum_{1 \leq i_1 < i_2 < \cdots < i_j \leq r} x_{i_1} x_{i_2} \cdots x_{i_j} \text{ (all } j\text{-tuples of } x_1, x_2, \dots, x_r) \\
 &= \sum_{1 \leq i_1 < i_2 < \cdots < i_j \leq r+1} x_{i_1} x_{i_2} \cdots x_{i_j} - x_{r+1} \left(\sum_{1 \leq i_1 < i_2 < \cdots < i_{j-1} \leq r} x_{i_1} x_{i_2} \cdots x_{i_{j-1}} \right) \\
 &\quad \text{(all } j\text{-tuples of } x_1, x_2, \dots, x_{r+1} \text{ MINUS all } j\text{-tuples with} \\
 &\quad \text{one element of } x_{r+1} \text{ and } j-1 \text{ elements from } x_1, x_2, \dots, x_r) \\
 &= g_j - x_{r+1} h_{j-1}.
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So the result holds for $k = r$.

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So the result holds for $k = r$. Therefore, it holds for all k with $1 \leq k \leq n-1$. □

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Theorem V.2.18

Theorem V.2.18. If K is a field, E the subfield of all symmetric rational functions in $K(x_1, x_2, \dots, x_n)$ and f_1, f_2, \dots, f_n the elementary symmetric functions in x_1, x_2, \dots, x_n , then $E = K(f_1, f_2, \dots, f_n)$.

Proof. We have $[K(x_1, x_2, \dots, x_n) : E] = n!$ since, as observed above, $\text{Aut}_E K(x_1, x_2, \dots, x_n) = S_n$. Since f_1, f_2, \dots, f_n involve *some* combinations of x_1, x_2, \dots, x_n and $K(f_1, f_2, \dots, f_n)$ contains *some* of the symmetric rational functions, so $K(f_1, f_2, \dots, f_n) \subset E \subset K(x_1, x_2, \dots, x_n)$.

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Theorem V.2.18 (continued 1)

Proof. Let $F = K(f_1, f_2, \dots, f_n)$ and consider the tower of fields:

$F \subset F(x_n) \subset F(x_{n-1}, x_n) \subset \cdots \subset F(x_2, x_3, \dots, x_n) \subset F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Now $K \subset K(f_1, f_2, \dots, f_n)$, so $K(x_1, x_2, \dots, x_n) \subset K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Also, each $f_1, f_2, \dots, f_n \in K(x_1, x_2, \dots, x_n)$, so $K(f_1, f_2, \dots, f_n) \subset K(x_1, x_2, \dots, x_n)$ and $K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) \subset K(x_1, x_2, \dots, x_n)$ and $F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) = K(x_1, x_2, \dots, x_n)$.

Theorem V.2.18 (continued 1)

Proof. Let $F = K(f_1, f_2, \dots, f_n)$ and consider the tower of fields:

$F \subset F(x_n) \subset F(x_{n-1}, x_n) \subset \dots \subset F(x_2, x_3, \dots, x_n) \subset F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Now $K \subset K(f_1, f_2, \dots, f_n)$, so $K(x_1, x_2, \dots, x_n) \subset K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Also, each $f_1, f_2, \dots, f_n \in K(x_1, x_2, \dots, x_n)$, so $K(f_1, f_2, \dots, f_n) \subset K(x_1, x_2, \dots, x_n)$ and $K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) \subset K(x_1, x_2, \dots, x_n)$ and $F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) = K(x_1, x_2, \dots, x_n)$.

Since $F(x_k, x_{k+1}, \dots, x_n) = F(x_{k+1}, x_{k+2}, \dots, x_n)(x_k)$, by Theorem V.1.2 and Theorem V.1.6(iii) it suffices to show that x_n is algebraic over F of degree $\leq n$ and for each $k < n$, x_k is algebraic of degree $\leq k$ over $F(x_{k+1}, x_{k+2}, \dots, x_n)$ (then the factorial result will follow). To do this, let $g_n(y) \in F[y]$ be the polynomial $g_n(y) = (y - x_1)(y - x_2) \cdots (y - x_n) = y^n - f_1 y^{n-1} + \dots + (-1)^n f_n$. Since $g_n \in F[y]$ has degree n and x_n is a root of g_n , then x_n is algebraic of degree at most n over $F = K(f_1, f_2, \dots, f_n)$ by Theorem V.1.6(ii).

Theorem V.2.18 (continued 1)

Proof. Let $F = K(f_1, f_2, \dots, f_n)$ and consider the tower of fields:

$F \subset F(x_n) \subset F(x_{n-1}, x_n) \subset \dots \subset F(x_2, x_3, \dots, x_n) \subset F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Now $K \subset K(f_1, f_2, \dots, f_n)$, so $K(x_1, x_2, \dots, x_n) \subset K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n)$. Also, each $f_1, f_2, \dots, f_n \in K(x_1, x_2, \dots, x_n)$, so $K(f_1, f_2, \dots, f_n) \subset K(x_1, x_2, \dots, x_n)$ and $K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) \subset K(x_1, x_2, \dots, x_n)$ and $F(x_1, x_2, \dots, x_n) = K(f_1, f_2, \dots, f_n)(x_1, x_2, \dots, x_n) = K(x_1, x_2, \dots, x_n)$.

Since $F(x_k, x_{k+1}, \dots, x_n) = F(x_{k+1}, x_{k+2}, \dots, x_n)(x_k)$, by Theorem V.1.2 and Theorem V.1.6(iii) it suffices to show that x_n is algebraic over F of degree $\leq n$ and for each $k < n$, x_k is algebraic of degree $\leq k$ over $F(x_{k+1}, x_{k+2}, \dots, x_n)$ (then the factorial result will follow). To do this, let $g_n(y) \in F[y]$ be the polynomial $g_n(y) = (y - x_1)(y - x_2) \cdots (y - x_n) = y^n - f_1 y^{n-1} + \cdots + (-1)^n f_n$. Since $g_n \in F[y]$ has degree n and x_n is a root of g_n , then x_n is algebraic of degree at most n over $F = K(f_1, f_2, \dots, f_n)$ by Theorem V.1.6(ii).

Theorem V.2.18 (continued 2)

Theorem V.2.18. If K is a field, E the subfield of all symmetric rational functions in $K(x_1, x_2, \dots, x_n)$ and f_1, f_2, \dots, f_n the elementary symmetric functions in x_1, x_2, \dots, x_n , then $E = K(f_1, f_2, \dots, f_n)$.

Proof. Now for each k with $1 \leq k < n$ define a monic polynomial:

$g_k(y) = g_n(y) / \{(y - x_{k+1})(y - x_{k+2}) \cdots (y - x_n)\} = (y - x_1)(y - x_2) \cdots (y - x_k)$. Then each $g_k(y)$ has degree k , x_k is a root of $g_k(y)$ and the coefficients of $g_k(y)$ are precisely the elementary symmetric functions in x_1, x_2, \dots, x_k . By Lemma V.2.17, each $g_k(y)$ lies in $F(x_{k+1}, x_{k+2}, \dots, x_n)[y]$, whence x_k is algebraic of degree at most k over $F(x_{k+1}, x_{k+2}, \dots, x_n)$. This establishes the " $\leq n!$ " claim and hence the original claim. \square

Theorem V.2.18 (continued 2)

Theorem V.2.18. If K is a field, E the subfield of all symmetric rational functions in $K(x_1, x_2, \dots, x_n)$ and f_1, f_2, \dots, f_n the elementary symmetric functions in x_1, x_2, \dots, x_n , then $E = K(f_1, f_2, \dots, f_n)$.

Proof. Now for each k with $1 \leq k < n$ define a monic polynomial:

$$g_k(y) = g_n(y) / \{(y - x_{k+1})(y - x_{k+2}) \cdots (y - x_n)\} =$$

$$(y - x_1)(y - x_2) \cdots (y - x_k).$$

Then each $g_k(y)$ has degree k , x_k is a root of $g_k(y)$ and the coefficients of $g_k(y)$ are precisely the elementary symmetric functions in x_1, x_2, \dots, x_k . By Lemma V.2.17, each $g_k(y)$ lies in $F(x_{k+1}, x_{k+2}, \dots, x_n)[y]$, whence x_k is algebraic of degree at most k over $F(x_{k+1}, x_{k+2}, \dots, x_n)$. This establishes the " $\leq n!$ " claim and hence the original claim. □

Proposition V.2.20

Proposition V.2.20. Let K be a field and let f_1, f_2, \dots, f_n be the elementary symmetric functions in $K(x_1, x_2, \dots, x_n)$.

- (i) Every polynomial in $K[x_1, x_2, \dots, x_n]$ can be written uniquely as a linear combination of the $n!$ elements $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ (for each k with $0 \leq i_k < k$) with coefficients in $K[f_1, f_2, \dots, f_n]$;
- (ii) every symmetric polynomial in $K[x_1, x_2, \dots, x_n]$ lies in $K[f_1, f_2, \dots, f_n]$.

Proof. (i) For each $k = 1, 2, \dots, n$, let $g_k(y) = (y - x_1)(y - x_2) \cdots (y - x_k)$. As shown in the proof of Theorem V.2.18, the coefficients of $g_k(y)$ are polynomials over K in f_1, f_2, \dots, f_n and $x_{k+1}, x_{k+2}, \dots, x_n$.

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- (ii) every symmetric polynomial in $K[x_1, x_2, \dots, x_n]$ lies in $K[f_1, f_2, \dots, f_n]$.

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Proposition V.2.20(i)

Proof (continued). If we proceed step by step beginning with g_1 and solving for x_1^1, \dots, g_k and solving for x_k^k, \dots , and solving for x_n^n , we can convert any polynomial $h \in K[x_1, x_2, \dots, x_n]$ into a polynomial in $f_1, f_2, \dots, f_n, x_1, x_2, \dots, x_n$ in which the highest exponent of any x_k is $k - 1$ (powers of x_k can be reduced by multiples of k until the power is less than k). In other words, h is a linear combination of $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ (where for each k , $i_k < k$; so there are $n!$ such expressions) with coefficients in $K[f_1, f_2, \dots, f_n]$. Furthermore, these coefficient polynomials are uniquely determined since $\{x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n} \mid 0 \leq i_k < k \text{ for each } k\}$ is linearly independent over $E = K(f_1, f_2, \dots, f_n)$ by Lemma V.2.19 (since the set is a basis for E). This proves (i).

Proposition V.2.20(i)

Proof (continued). If we proceed step by step beginning with g_1 and solving for x_1^1, \dots, g_k and solving for x_k^k, \dots , and solving for x_n^n , we can convert any polynomial $h \in K[x_1, x_2, \dots, x_n]$ into a polynomial in $f_1, f_2, \dots, f_n, x_1, x_2, \dots, x_n$ in which the highest exponent of any x_k is $k - 1$ (powers of x_k can be reduced by multiples of k until the power is less than k). In other words, h is a linear combination of $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ (where for each k , $i_k < k$; so there are $n!$ such expressions) with coefficients in $K[f_1, f_2, \dots, f_n]$. Furthermore, these coefficient polynomials are uniquely determined since $\{x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n} \mid 0 \leq i_k < k \text{ for each } k\}$ is linearly independent over $E = K(f_1, f_2, \dots, f_n)$ by Lemma V.2.19 (since the set is a basis for E). This proves (i).

Proposition V.2.20(ii)

Proof. (ii) So any polynomial $h \in K[x_1, x_2, \dots, x_n]$ can be uniquely written as a linear combination of $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ (with $i_k < k$) with coefficients in $K(f_1, f_2, \dots, f_n)$ and in fact this can be done, as shown above, with coefficients in $K[f_1, f_2, \dots, f_n]$. So for h a symmetric polynomial we have $h \in E = K(f_1, f_2, \dots, f_n)$ and the unique linear combination for h is $h = h1 = hx_1^0 x_2^0 \cdots x_n^0$, and the “coefficient” h must lie in $K[f_1, f_2, \dots, f_n]$, as claimed in (ii). \square

Proposition V.2.20(ii)

Proof. (ii) So any polynomial $h \in K[x_1, x_2, \dots, x_n]$ can be uniquely written as a linear combination of $x_1^{i_1} x_2^{i_2} \cdots x_n^{i_n}$ (with $i_k < k$) with coefficients in $K(f_1, f_2, \dots, f_n)$ and in fact this can be done, as shown above, with coefficients in $K[f_1, f_2, \dots, f_n]$. So for h a symmetric polynomial we have $h \in E = K(f_1, f_2, \dots, f_n)$ and the unique linear combination for h is $h = h1 = hx_1^0 x_2^0 \cdots x_n^0$, and the “coefficient” h must lie in $K[f_1, f_2, \dots, f_n]$, as claimed in (ii). \square