#### Modern Algebra

Chapter V. Fields and Galois Theory V.3. Splitting Fields, Algebraic Closure, and Normality (Partial)—Proofs of Theorems

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#### Theorem V32

**Theorem V.3.2.** If K is a field and  $f \in K[x]$  has degree  $n \geq 1$ , then there exists a splitting field F of f with dimension  $[F : K] \leq n!$ .

<span id="page-2-0"></span>**Proof.** We prove this by induction on  $n = \deg(f)$ . For the base step, if  $n = 1$  (or if f splits over K) then  $F = K$  is a splitting field and  $[F : K] = [F : F] = 1 \le n!$ .

#### Theorem V<sub>32</sub>

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**Theorem V.3.2.** If K is a field and  $f \in K[x]$  has degree  $n \geq 1$ , then there exists a splitting field F of f with dimension  $[F: K] \leq n!$ . **Proof.** We prove this by induction on  $n = \deg(f)$ . For the base step, if  $n = 1$  (or if f splits over K) then  $F = K$  is a splitting field and  $[F : K] = [F : F] = 1 \le n!$ . If  $n > 1$  and f does not split over K, let  $g \in K[x]$  be an irreducible factor of f of degree greater than one. By Theorem V.1.10 (Kronecker's Theorem) there is a simple extension field  $K(u)$  of K such that u is a root of g and  $[K(u): K] = \deg(g) > 1$ . Then by Theorem III.6.6 (the Factor Theorem) we have  $f(x) = (x - u)h(x)$  for some  $h \in K(u)[x]$  of degree  $n-1$  (we have only used polynomial g in passing; notice deg(g)  $\leq n$ ). Repeating this process (and factoring  $f$ ) we can produce (inductively) a splitting field F of  $h \in K(u)[x]$  of degree at most  $(n-1)!$ .

**Theorem V.3.2.** If K is a field and  $f \in K[x]$  has degree  $n \geq 1$ , then there exists a splitting field F of f with dimension  $[F: K] \leq n!$ . **Proof.** We prove this by induction on  $n = \deg(f)$ . For the base step, if  $n = 1$  (or if f splits over K) then  $F = K$  is a splitting field and  $[F : K] = [F : F] = 1 \leq n!$ . If  $n > 1$  and f does not split over K, let  $g \in K[x]$  be an irreducible factor of f of degree greater than one. By Theorem V.1.10 (Kronecker's Theorem) there is a simple extension field  $K(u)$  of K such that u is a root of g and  $[K(u): K] = \deg(g) > 1$ . Then by Theorem III.6.6 (the Factor Theorem) we have  $f(x) = (x - u)h(x)$  for some  $h \in K(u)[x]$  of degree  $n-1$  (we have only used polynomial g in passing; notice deg(g)  $\leq n$ ). Repeating this process (and factoring  $f$ ) we can produce (inductively) a splitting field F of  $h \in K(u)[x]$  of degree at most  $(n-1)!$ . By Exercise V.3.3, F is a splitting field of f over K. By Theorem V.1.2,  $[F : K] = [F : K(u)][K(u) : K] \leq (n-1)! \deg(g) \leq (n-1)! n = n!$ . The result now follows by induction.

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**Theorem V.3.8.** Let  $\sigma : K \to L$  be an isomorphism of fields,  $S = \{f_i\}$  a set of polynomials (of positive degree) in  $K[x]$ , and  $S' = \{\sigma f_i\}$  the corresponding set of polynomials in  $L[x]$ . If F is a splitting field of S over K and M is a splitting field of  $S'$  over L, then  $\sigma$  is extendible to an isomorphism  $F \cong M$ .

<span id="page-7-0"></span>**Proof for S a Finite Set.** Suppose that S consists of a single polynomial  $f \in K[x]$ . Let F be a splitting field of f over K. Let  $n = [F : K]$ . We give an inductive proof on n.

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**Proof for S a Finite Set (continued).** If  $v \in M$  is a root of  $\sigma g$ , then by Theorem V.1.8(ii)  $\sigma$  extends to an isomorphism  $\tau : K(u) \cong L(v)$  with  $\tau(u) = v$ . By Theorem V.1.6(iii) we have  $[K(u): K] = \deg(g) > 1$ , we must have  $n = [F : K] = [F : K(u)][K(u) : K]$  by Theorem V.1.2 and so  $[F: K(u)] < n$ . By Exercise V.3.2, F is a splitting field of f over (the intermediate field)  $K(u)$  (here,  $K \subset K(u) \subset F$ ) and similarly M is a splitting field of  $\sigma f$  over (intermediate field)  $L(v)$  (here,  $L \subset L(v) \subset M$ ). So by the induction hypothesis (since  $[F: K(u)] < n$ ) we have that  $\tau$ extends to an isomorphism  $F \cong M$ .

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#### Corollary V.3.9

**Corollary V.3.9.** Let K be a field and S a set of polynomials (of positive degree) in  $K[x]$ . Then any two splitting fields of S over K are K-isomorphic. In particular, any two algebraic closures of K are K-isomorphic.

<span id="page-13-0"></span>**Proof.** With  $\sigma: K \to K$  as  $\sigma = 1_K$  (the identity on K) in Theorem V.3.8, we have that if L and M are splitting fields for K (so  $K \subset L$ ,  $K \subset M$ ) then σ extends to an isomorphism  $τ: L \rightarrow M$  and the two splitting fields are isomorphic.

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For the "in particular" claim, we need to consider the set  $S$  of all polynomials in  $K[x]$ . By Theorem V.3.4, the splitting field of S is the algebraic closure of K. Again, Theorem V.3.8 with  $\sigma = 1_K$  yields the result. (This is also shown in Theorem V.3.6.)

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**Theorem V.3.11.** If F is an extension field of K, then the following statements are equivalent.

- (i)  $F$  is algebraic and Galois over  $K$ .
- (ii) F is separable over K and F is a splitting field over K of a set S of polynomials in  $K[x]$ .
- <span id="page-16-0"></span>(iii) F is a splitting field over K of a set T of separable polynomials in  $K[x]$ .

**Proof.** (i)  $\Rightarrow$  (ii) and (iii) If  $u \in F$  has irreducible polynomial f, then as in the proof of Lemma V.2.13 (up to the "Consequently, all the roots of  $f$ are distinct and lie in  $E''$  part) f splits in  $F[x]$  into a product of distinct linear factors. Hence (by definition)  $u$  is separable over  $K$ .

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**Theorem V.3.11.** If F is an extension field of  $K$ , then the following statements are equivalent.

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**Proof.** (ii)  $\Rightarrow$  (iii) [Here we need to "move" the hypothesis of separable extension to the conclusion of separable polynomials.] Let  $f \in S$  where F is a splitting field over K of set S of polynomials. Let  $g \in K[x]$  be a monic irreducible factor of f .

**Theorem V.3.11.** If F is an extension field of K, then the following statements are equivalent.

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**Proof (continued). (ii)**  $\Rightarrow$  (iii) So define set T to be the set of all monic irreducible factors in  $K[x]$  of polynomials in set S. We have just argued that set  $\overline{T}$  consists of separable polynomials in  $K[x]$ .

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**Theorem V.3.11.** If F is an extension field of  $K$ , then the following statements are equivalent.

(i)  $F$  is algebraic and Galois over  $K$ .

(iii) F is a splitting field over K of a set T of separable polynomials in  $K[x]$ .

**Proof.** (iii)  $\Rightarrow$  (i) F is algebraic over K since any splitting field over K is (by definition of splitting field, Definition V.3.1) an algebraic extension of K. Let X be the set of all roots of polynomials in K. Then by the definition of splitting field,  $F = K(X)$ .

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(i)  $F$  is algebraic and Galois over  $K$ .

(iii) F is a splitting field over K of a set T of separable polynomials in  $K[x]$ .

**Proof.** (iii)  $\Rightarrow$  (i) F is algebraic over K since any splitting field over K is (by definition of splitting field, Definition V.3.1) an algebraic extension of K. Let X be the set of all roots of polynomials in K. Then by the definition of splitting field,  $F = K(X)$ . Let  $u \in F \setminus K'$ . By Theorem V.1.3(vii) there is finite set  $\{\mathsf v_1,\mathsf v_2,\ldots,\mathsf v_n\}\subset\mathcal X$  (so each  $\mathsf v_i$  is a root of some  $f_i \in T$ ) such that  $u \in K(v_1, v_2, \ldots, v_n)$ . Now consider the  $f_1, f_2, \ldots, f_n$  which have  $v_1, v_2, \ldots, v_n$  as roots (respectively). Let  $u_1, u_2, \ldots, u_r$  be the set of all roots (in F) of  $f_1, f_2, \ldots, f_n$ . Thus  $u \in K(v_1, v_2, \ldots, v_n) \subset K(u_1, u_2, \ldots, u_r) = E$ . By Theorem V.1.12, F is a finite dimensional extension of K; that is,  $[E: K]$  is finite.

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since each  $f_i \in \mathcal{T}$  splits in F by hypothesis,  $E$  is a splitting field over  $K$  of the finite set of polynomials  $\{f_1, f_2, \ldots, f_n\}$  (or equivalently, of the single polynomial  $f = f_1f_2 \cdots f_n$ ). "Assume for now" that the theorem (i.e., (iii) $\Rightarrow$ (i)) holds in the finite dimensional case ( $[F: K]$  is finite). Under this assumption, then E is Galois over K; that is, the fixed field of  $Aut_K E$  is E itself (Definition V.2.4). Since  $u \in E \setminus K$  (we are replacing field F with finite extension field E in the current discussion), then for some  $\tau \in$  Aut<sub>K</sub> E we have  $\tau(u) \neq u$ . By Exercise V.3.2 ("If  $F$  is a splitting field of  $S$  over  $K$  and  $E$  is an intermediate field, then  $F$  is a splitting field of  $S$  over  $E$ .")  $F$  is a splitting field of  $T$  over  $E$ .

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since each  $f_i \in \mathcal{T}$  splits in F by hypothesis,  $E$  is a splitting field over  $K$  of the finite set of polynomials  $\{f_1, f_2, \ldots, f_n\}$  (or equivalently, of the single polynomial  $f = f_1f_2 \cdots f_n$ ). "Assume for now" that the theorem (i.e., (iii) $\Rightarrow$ (i)) holds in the finite dimensional case ( $[F : K]$  is finite). Under this assumption, then E is Galois over K; that is, the fixed field of  $Aut_{K}E$  is E itself (Definition V.2.4). Since  $u \in E \setminus K$  (we are replacing field F with finite extension field E in the current discussion), then for some  $\tau \in \text{Aut}_K E$  we have  $\tau(u) \neq u$ . By Exercise V.3.2 ("If F is a splitting field of S over K and E is an intermediate field, then  $F$  is a splitting field of S over  $E$ .")  $F$  is a splitting **field of T over E.** So by Theorem V.3.8 with  $\tau : E \to E$  ( $\tau$  is an automorphism of  $E$  and hence an isomorphism of  $E$  with itself) we have that  $\tau$  can be extended to isomorphism  $\sigma : F \to F$  (and so  $\sigma$  is an automorphism of F) where  $\sigma \in$  Aut<sub>K</sub>F and  $\sigma = \tau$  on E. So  $\sigma(u) = \tau(u) \neq u.$ 

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since each  $f_i \in \mathcal{T}$  splits in F by hypothesis,  $E$  is a splitting field over  $K$  of the finite set of polynomials  $\{f_1, f_2, \ldots, f_n\}$  (or equivalently, of the single polynomial  $f = f_1f_2 \cdots f_n$ ). "Assume for now" that the theorem (i.e., (iii) $\Rightarrow$ (i)) holds in the finite dimensional case ( $[F : K]$  is finite). Under this assumption, then E is Galois over K; that is, the fixed field of  $Aut_K E$  is E itself (Definition V.2.4). Since  $u \in E \setminus K$  (we are replacing field F with finite extension field E in the current discussion), then for some  $\tau \in \text{Aut}_K E$  we have  $\tau(u) \neq u$ . By Exercise V.3.2 ("If F is a splitting field of S over K and E is an intermediate field, then F is a splitting field of S over  $E$ .") F is a splitting field of T over E. So by Theorem V.3.8 with  $\tau : E \to E$  ( $\tau$  is an automorphism of  $E$  and hence an isomorphism of  $E$  with itself) we have that  $\tau$  can be extended to isomorphism  $\sigma : F \to F$  (and so  $\sigma$  is an automorphism of F) where  $\sigma \in$  Aut<sub>K</sub> F and  $\sigma = \tau$  on E. So  $\sigma(u) = \tau(u) \neq u.$ 

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since u was an arbitrary element of  $F \setminus K$ at the very beginning of this proof, and there exists  $\sigma \in \text{Aut}_K F$  such that  $\sigma(u) \neq u$ , then the fixed field of Aut<sub>K</sub>F must be K. That is (by definition), F is Galois over K. So the theorem holds in general *if* it holds when  $[F : K]$  is finite.

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since u was an arbitrary element of  $F \setminus K$ at the very beginning of this proof, and there exists  $\sigma \in \text{Aut}_K F$  such that  $\sigma(u) \neq u$ , then the fixed field of Aut<sub>K</sub>F must be K. That is (by definition),  $F$  is Galois over  $K$ . So the theorem holds in general if it holds when  $[F:K]$  is finite.

We now prove that the theorem holds for  $[F:K]$  is finite, hence completing the proof. With  $[F: K]$  finite, there exists a finite number of polynomials  $g_1, g_2, \ldots, g_t \in T$  such that F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over K. Furthermore Aut<sub>K</sub> F must be a finite group by Lemma V28

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since u was an arbitrary element of  $F \setminus K$ at the very beginning of this proof, and there exists  $\sigma \in \text{Aut}_K F$  such that  $\sigma(u) \neq u$ , then the fixed field of Aut<sub>K</sub>F must be K. That is (by definition),  $F$  is Galois over  $K$ . So the theorem holds in general if it holds when  $[F:K]$  is finite.

We now prove that the theorem holds for  $[F: K]$  is finite, hence completing the proof. With  $[F: K]$  finite, there exists a finite number of polynomials  $g_1, g_2, \ldots, g_t \in T$  such that F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over K. Furthermore  $\text{Aut}_K F$  must be a finite group by **Lemma V.2.8.** If  $K_0$  is the fixed field of Aut<sub>K</sub> F, then F is a Galois extension of  $K_0$  by Artin's Theorem (Theorem V.2.15). By the Fundamental Theorem (Theorem V.2.5(i))  $[F: K_0] = |Aut_{K_0}F|$ . Since  $K_0$ is the fixed field of Aut<sub>K</sub>F then we have Aut<sub>Ko</sub>F = Aut<sub>K</sub>F (this is a remark on page 245).

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since u was an arbitrary element of  $F \setminus K$ at the very beginning of this proof, and there exists  $\sigma \in \text{Aut}_K F$  such that  $\sigma(u) \neq u$ , then the fixed field of Aut<sub>K</sub>F must be K. That is (by definition),  $F$  is Galois over  $K$ . So the theorem holds in general if it holds when  $[F:K]$  is finite.

We now prove that the theorem holds for  $[F: K]$  is finite, hence completing the proof. With  $[F: K]$  finite, there exists a finite number of polynomials  $g_1, g_2, \ldots, g_t \in T$  such that F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over K. Furthermore Aut<sub>K</sub> F must be a finite group by Lemma V.2.8. If  $K_0$  is the fixed field of Aut<sub>K</sub> F, then F is a Galois extension of  $K_0$  by Artin's Theorem (Theorem V.2.15). By the Fundamental Theorem (Theorem V.2.5(i))  $[F: K_0] = |Aut_{K_0}F|$ . Since  $K_0$ is the fixed field of Aut<sub>K</sub>F then we have Aut<sub>K0</sub>F = Aut<sub>K</sub>F (this is a **remark on page 245).** So  $[F: K_0] = |Aut_K F|$ . Now we have  $K \subset K_0 \subset F$ , and so by Theorem V.1.2 we have  $[F: K] = [F: K_0][K_0: K]$ .

**Proof (continued). (iii)**  $\Rightarrow$  (i) Since u was an arbitrary element of  $F \setminus K$ at the very beginning of this proof, and there exists  $\sigma \in \text{Aut}_K F$  such that  $\sigma(u) \neq u$ , then the fixed field of Aut<sub>K</sub>F must be K. That is (by definition),  $F$  is Galois over  $K$ . So the theorem holds in general if it holds when  $[F:K]$  is finite.

We now prove that the theorem holds for  $[F: K]$  is finite, hence completing the proof. With  $[F: K]$  finite, there exists a finite number of polynomials  $g_1, g_2, \ldots, g_t \in T$  such that F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over K. Furthermore Aut<sub>K</sub> F must be a finite group by Lemma V.2.8. If  $K_0$  is the fixed field of Aut<sub>K</sub> F, then F is a Galois extension of  $K_0$  by Artin's Theorem (Theorem V.2.15). By the Fundamental Theorem (Theorem V.2.5(i))  $[F: K_0] = |Aut_{K_0}F|$ . Since  $K_0$ is the fixed field of Aut<sub>K</sub>F then we have Aut<sub>K0</sub>F = Aut<sub>K</sub>F (this is a remark on page 245). So  $[F: K_0] = |Aut_K F|$ . Now we have  $K \subset K_0 \subset F$ , and so by Theorem V.1.2 we have  $[F: K] = [F: K_0][K_0: K]$ .

**Proof (continued). (iii)**  $\Rightarrow$  (i) So if we show that  $[F:K] = |Aut_K F|$ then we will have that  $[K_0 : K] = 1$  and so  $K_0 = K$ , which implies the fixed field of Aut<sub>K</sub>F is  $K_0 = K$ ; that is, F is a Galois extension of K.

We proceed by induction on  $n = [F : K]$ , with the case  $n = 1$  being trivial (since this implies that  $F = K$  and  $Aut_K F$  consists only of the identity on F). If  $n>1$ , then one of th e $\mathcal{g}_i$ , say  $\mathcal{g}_1$ , has degree  $s>1$  (otherwise all the roots of the  $g_i$  lie in  $K$  an d $F=K$ ).

**Proof (continued). (iii)**  $\Rightarrow$  (i) So if we show that  $[F:K] = |Aut_K F|$ then we will have that  $[K_0 : K] = 1$  and so  $K_0 = K$ , which implies the fixed field of Aut<sub>K</sub>F is  $K_0 = K$ ; that is, F is a Galois extension of K.

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**Proof (continued). (iii)**  $\Rightarrow$  (i) So if we show that  $[F:K] = |Aut_K F|$ then we will have that  $[K_0 : K] = 1$  and so  $K_0 = K$ , which implies the fixed field of Aut<sub>K</sub>F is  $K_0 = K$ ; that is, F is a Galois extension of K.

We proceed by induction on  $n = [F : K]$ , with the case  $n = 1$  being trivial (since this implies that  $F = K$  and  $Aut_K F$  consists only of the identity on F). If  $n>1,$  then one of th e $\mathcal{g}_i$ , say  $\mathcal{g}_1$ , has degree  $s>1$  (otherwise all the roots of the  $g_i$  lie in  $K$  an d $F=K$ ). Let  $u\in F$  be a root of  $g_1;$  then  $[K(u): K] = \deg(g_1) = s$  by Theorem V.1.6(iii) (we need  $g_1$  irreducible here to apply Theorem V.1.6) and the number of distinct roots of  $g_1$  is s since  $g_1$  is separable in F by hypothesis.

**Proof (continued). (iii)**  $\Rightarrow$  (i) By the second paragraph of the proof of Lemma V.2.8 (with  $L = k$ ,  $M = K(u)$  and  $f = g_1$ ) we have that there is an injective map from the set of all left cosets of  $H = \text{Aut}_{K(u)}F$  (this is set S in Lemma V.2.8; and  $M' = H = Aut_{K(u)}F$  in Aut<sub>K</sub>F (in Lemma V.2.8, with  $L' = \mathsf{Aut}_L F)$  to the set of all roots of  $g_1$  in  $F$  (set  $\mathcal T$  in Lemma V.2.8), given by  $\sigma H \mapsto \sigma(u)$  (in Lemma V.2.8, the mapping is  $\tau$ M'  $\mapsto$   $\tau(u)$  so the  $\tau \in L' = \mathsf{Aut}_L$ F of Lemma V.2.8 equals the  $\sigma \in {\mathsf{Aut}}_{\mathsf{K}}\digamma = \mathsf{K}'$  here). Therefore since the mapping is injective (one to one) then the number of left cosets of  $H = \text{Aut}_{K(u)}F$  in  $\text{Aut}_K F$  is less than or equal to the number of roots of  $g_1$ ; that is,  $[\text{Aut}_K F : H] \leq s$ . Now if  $v \in F$  is any other root of  $g_1$  (which exists since  $deg(g_1) = s > 1$ ), there is an isomorphism  $\tau$  :  $K(u) \cong K(v)$  with  $\tau(u) - v$  and  $\tau|_K = 1_K$  by Corollary V.1.9.

**Proof (continued). (iii)**  $\Rightarrow$  (i) By the second paragraph of the proof of Lemma V.2.8 (with  $L = k$ ,  $M = K(u)$  and  $f = g_1$ ) we have that there is an injective map from the set of all left cosets of  $H = Aut_{K(u)}F$  (this is set S in Lemma V.2.8; and  $M' = H = Aut_{K(u)}F$  in Aut<sub>K</sub>F (in Lemma V.2.8, with  $L' = \mathsf{Aut}_L F)$  to the set of all roots of  $g_1$  in  $F$  (set  $\mathcal T$  in Lemma V.2.8), given by  $\sigma H \mapsto \sigma(u)$  (in Lemma V.2.8, the mapping is  $\tau$ M'  $\mapsto$   $\tau(u)$  so the  $\tau \in L' = \mathsf{Aut}_L$ F of Lemma V.2.8 equals the  $\sigma \in \mathsf{Aut}_\mathsf{K} \mathsf{F} = \mathsf{K}'$  here). Therefore since the mapping is injective (one to one) then the number of left cosets of  $H = \text{Aut}_{K(u)}F$  in  $\text{Aut}_K F$  is less than or equal to the number of roots of  $g_1$ ; that is,  $|\text{Aut}_K F : H| \leq s$ . Now if  $v \in F$  is any other root of  $g_1$  (which exists since  $deg(g_1) = s > 1$ ), there is an isomorphism  $\tau$  :  $K(u) \cong K(v)$  with  $\tau(u) - v$  and  $\tau|_K = 1_K$  by **Corollary V.1.9.** Since F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over  $K(u)$ and over  $K(v)$  (by Exercise V.3.2 since  $K(u)$  and  $K(v)$  are intermediate fields between K and splitting field F), then  $\tau$  extends to an automorphism  $\sigma \in \text{Aut}_K F$  with  $\sigma(u) = v$  by Theorem V.3.8.

**Proof (continued). (iii)**  $\Rightarrow$  (i) By the second paragraph of the proof of Lemma V.2.8 (with  $L = k$ ,  $M = K(u)$  and  $f = g_1$ ) we have that there is an injective map from the set of all left cosets of  $H = Aut_{K(u)}F$  (this is set S in Lemma V.2.8; and  $M' = H = Aut_{K(u)}F$  in Aut<sub>K</sub>F (in Lemma V.2.8, with  $L' = \mathsf{Aut}_L F)$  to the set of all roots of  $g_1$  in  $F$  (set  $\mathcal T$  in Lemma V.2.8), given by  $\sigma H \mapsto \sigma(u)$  (in Lemma V.2.8, the mapping is  $\tau$ M'  $\mapsto$   $\tau(u)$  so the  $\tau \in L' = \mathsf{Aut}_L$ F of Lemma V.2.8 equals the  $\sigma \in \mathsf{Aut}_\mathsf{K} \mathsf{F} = \mathsf{K}'$  here). Therefore since the mapping is injective (one to one) then the number of left cosets of  $H = \text{Aut}_{K(u)}F$  in  $\text{Aut}_K F$  is less than or equal to the number of roots of  $g_1$ ; that is,  $|\text{Aut}_K F : H| \leq s$ . Now if  $v \in F$  is any other root of  $g_1$  (which exists since  $deg(g_1) = s > 1$ ), there is an isomorphism  $\tau$  :  $K(u) \cong K(v)$  with  $\tau(u) - v$  and  $\tau|_K = 1_K$  by Corollary V.1.9. Since F is a splitting field of  $\{g_1, g_2, \ldots, g_t\}$  over  $K(u)$ and over  $K(v)$  (by Exercise V.3.2 since  $K(u)$  and  $K(v)$  are intermediate fields between K and splitting field F), then  $\tau$  extends to an automorphism  $\sigma \in \text{Aut}_K F$  with  $\sigma(u) = v$  by Theorem V.3.8.

**Proof (continued). (iii)**  $\Rightarrow$  (i) Now the mapping of cosets takes  $\sigma H$  to  $\sigma(u) = v$  and so every root of  $g_1$  is the image of some coset of H in Aut<sub>K</sub> F; that is, the mapping is onto and so  $[Aut_K F : H] = s$ . Furthermore, F is a splitting field over  $K(u)$  of the set of all irreducible factors  $h_i$  (in  $K(u)[x]$ ) of the polynomials  $g_i$  (by Exercise V.3.4). Each  $h_i$ is clearly separable since it divides some  $g_i$  (the  $g_i$  are separable by the hypotheses of (iii)). Now by Theorem V.1.2,  $n = [F : K] = [F : K(u)][K(u) : K] = [F : K(u)]s$ , or  $[F: K(u)] = n/s < n$  and so by the induction hypothesis we have that F is Galois over  $K(u)$  and so the fixed field of Aut $_{K(u)}$ F is  $K(u)$  and by the Fundamental Theorem (Theorem V.2.5(i))  $[F: K(u)] = |Aut_{K(u)}F| = |H|.$ 

**Proof (continued). (iii)**  $\Rightarrow$  (i) Now the mapping of cosets takes  $\sigma H$  to  $\sigma(u) = v$  and so every root of  $g_1$  is the image of some coset of H in Aut<sub>K</sub> F; that is, the mapping is onto and so  $[Aut_K F : H] = s$ . Furthermore, F is a splitting field over  $K(u)$  of the set of all irreducible factors  $h_i$  (in  $K(u)[x]$ ) of the polynomials  $g_i$  (by Exercise V.3.4). Each  $h_i$ is clearly separable since it divides some  $g_i$  (the  $g_i$  are separable by the hypotheses of (iii)). Now by Theorem V.1.2,  $n = [F : K] = [F : K(u)][K(u) : K] = [F : K(u)]s$ , or  $[F: K(u)] = n/s < n$  and so by the induction hypothesis we have that F is Galois over  $K(u)$  and so the fixed field of Aut $_{K(u)}F$  is  $K(u)$  and by the Fundamental Theorem (Theorem V.2.5(i))  $[F: K(u)] = |Aut_{K(u)}F| = |H|$ .

**Proof (continued). (iii)**  $\Rightarrow$  **(i)** Therefore

$$
[F:K] = [F:K(u)][K(u):K] \text{ by Theorem V.2.1}
$$
  
= |H|s since  $[K(u):K] = s$  and  $H = \text{Aut}_{K(u)}F$   
= |H|[Aut<sub>K</sub>F : H] since  $[\text{Aut}_{K}F : H] = s$   
= |Aut<sub>K</sub>F|

with the last equality holding because  $[Aut_KF : H]$  is the number of cosets of H in Aut<sub>K</sub>F, so  $[Aut_KF : H] = |Aut_KF|/|H|$ . We have now established what is required (namely,  $[F: K] = |Aut_K F|$ ) for the previous paragraph to imply that F is Galois over K whenever  $[F: K]$  is finite. In turn, this result can be used in the paragraph before that to show that  $F$  is Galois over K for  $[F:K]$  not finite.

**Proof (continued). (iii)**  $\Rightarrow$  (i) Therefore

$$
[F:K] = [F:K(u)][K(u):K] \text{ by Theorem V.2.1}
$$
  
= |H|s since  $[K(u):K] = s$  and  $H = \text{Aut}_{K(u)}F$   
= |H|[Aut<sub>K</sub>F : H] since  $[\text{Aut}_{K}F : H] = s$   
= |Aut<sub>K</sub>F|

with the last equality holding because  $[Aut_K F : H]$  is the number of cosets of H in Aut<sub>K</sub>F, so  $[Aut_KF : H] = |Aut_KF|/|H|$ . We have now established what is required (namely,  $[F: K] = |Aut_K F|$ ) for the previous paragraph to imply that F is Galois over K whenever  $[F: K]$  is finite. In turn, this result can be used in the paragraph before that to show that  $F$  is Galois over K for  $[F:K]$  not finite.

**Theorem V.3.14.** If F is an algebraic extension field of  $K$ , then the following statements are equivalent.

- (i)  $F$  is normal over  $K$ .
- (ii) F is a splitting field over K of some set of polynomials in  $K[x]$ .
- <span id="page-48-0"></span>(iii) If  $\overline{K}$  is algebraically closed, contains K, and contains F, then for any K-monomorphism of fields  $\sigma : F \to \overline{K}$  (that is,  $\sigma$  is a one to one homomorphism and  $\sigma$  fixes K elementwise), then  $\text{Im}(\sigma) = F$  so that  $\sigma$  is actually a K-automorphism of F (that is,  $\sigma \in$  Aut<sub>K</sub> $(F)$ ).

**Proof.** (i)⇒(ii) F is a splitting field over K of  $\{f_i \in K[x] \mid i \in I\}$  where  $f_i$ is the irreducible polynomial in  $K[x]$  for some  $u_i \in F$ , where  $\{u_i \mid i \in I\}$  is a basis of F over K (every vector space has a basis, so the set of  $u_i$ 's exists and since  $F$  is normal over  $K$  we have the splitting requirement; also, since the  $u_i$  form a basis we know that this covers every element in  $F$ ).

**Theorem V.3.14.** If F is an algebraic extension field of  $K$ , then the following statements are equivalent.

- (i)  $F$  is normal over  $K$ .
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**Proof.** (i)⇒(ii) F is a splitting field over K of  $\{f_i \in K[x] \mid i \in I\}$  where  $f_i$ is the irreducible polynomial in  $\mathcal{K}[{\mathsf x}]$  for some  $u_i \in \mathcal{F}$ , where  $\{u_i \mid i \in I\}$  is a basis of F over K (every vector space has a basis, so the set of  $u_i$ 's exists and since F is normal over K we have the splitting requirement; also, since the  $u_i$  form a basis we know that this covers every element in  $\mathcal{F}$ ).

**Theorem V.3.14.** If F is an algebraic extension field of K, then the following statements are equivalent.

- (ii) F is a splitting field over K of some set of polynomials in  $K[x]$ .
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**Proof. (ii)⇒(iii)** Let  $F$  be a splitting field of  $\{f_i \mid i \in I\}$  over  $K$  and  $\sigma : F \to \overline{K}$  a K-monomorphism of fields. If  $u \in F$  is a root of  $f_i$  then so is  $\sigma(u)$  (as shown in the two-line proof of Theorem V.2.2). By hypothesis  $f_i$ splits in F, say  $f_i = c(x - u_1)(x - u_2) \cdots (x - u_n)$  (where  $u_i \in F$ ,  $c \in K$ ).

**Theorem V.3.14.** If F is an algebraic extension field of  $K$ , then the following statements are equivalent.

- (ii) F is a splitting field over K of some set of polynomials in  $K[x]$ .
- (iii) If  $\overline{K}$  is algebraically closed, contains K, and contains F, then for any K-monomorphism of fields  $\sigma : F \to \overline{K}$  (that is,  $\sigma$  is a one to one homomorphism and  $\sigma$  fixes K elementwise), then  $\text{Im}(\sigma) = F$  so that  $\sigma$  is actually a K-automorphism of F (that is,  $\sigma \in$  Aut<sub>K</sub> $(F)$ ).

**Proof (continued). (ii)**  $\Rightarrow$  (iii) Since  $\overline{K}[x]$  is a unique factorization domain by Corollary III.6.4 and  $\sigma(u_i)$  is a root of  $f_j$  for all  $i$ , then by the Factor Theorem (Theorem III.6.6),  $x - \sigma(u_i)$  must be a factor of  $f_i$  and so  $\sigma(u_i)$  must be one of  $u_1, u_2, \ldots, u_n$  for every *i*. Since  $\sigma$  is one to one, it must simply permute the  $u_i$ . But F is generated over K by all the roots of all the  $f_i.$  It follows from Theorem V.1.3(vi) that  $\sigma(F)=F$  and hence  $\sigma \in$  Aut<sub>K</sub> F (so  $\sigma$  is a "K-automorphism of F").

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**Theorem V.3.14.** If F is an algebraic extension field of  $K$ , then the following statements are equivalent.

(i)  $F$  is normal over  $K$ .

(iii) If  $\overline{K}$  is algebraically closed, contains K, and contains F, then for any K-monomorphism of fields  $\sigma : F \to \overline{K}$  (that is,  $\sigma$  is a one to one homomorphism and  $\sigma$  fixes K elementwise), then  $\text{Im}(\sigma) = F$  so that  $\sigma$  is actually a K-automorphism of F (that is,  $\sigma \in$  Aut<sub>K</sub> $(F)$ ).

**Proof.** (iii)⇒(i) Let  $\overline{K}$  be an algebraic closure of F. Then  $\overline{K}$  is algebraic over K by Theorem V.1.13 (since  $K \subset F \subset \overline{K}$ ). Therefore  $\overline{K}$  contains K and is algebraically closed and contains  $\mathbf{F}$ . Let  $F \in K[x]$  be irreducible with a root  $u \in F$ . By construction,  $\overline{K}$  contains all roots of f.

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**Theorem V.3.14.** If F is an algebraic extension field of K, then the following statements are equivalent.

(i)  $F$  is normal over  $K$ .

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**Proof (continued). (iii)** $\Rightarrow$  (i) By Theorems V.3.4 and V.3.8 and Exercise V.3.2,  $\sigma$  extends to a K-automorphism of  $\overline{K}$ . Now  $\sigma|_F$  is a monomorphism (one to one, since  $\sigma$  is hypothesized to be a monomorphism) mapping  $F \to \overline{K}$  and, since by hypothesis  $\text{Im}(\sigma) = F$ , we have  $\sigma(F) = F$ . Therefore  $v = \sigma(u) \in F$  which implies that all roots of f are in F; that is, f splits in  $F$ . So  $F$  is normal over  $K$ .

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**Theorem V.3.16.** If E is an algebraic extension field of K, then there exists an extension field  $F$  of  $E$  such that:

(i) F is normal over  $K$ ;

(ii) No proper subfield of F containing E is normal over  $K$ ;

(iii) If E is separable over K, then F is Galois over K;

<span id="page-58-0"></span>(iv)  $[F: K]$  is finite if and only if  $[E: K]$  is finite.

The field F is uniquely determined up to an E-isomorphism.

**Proof. (i)** Let  $X = \{u_i \mid i \in I\}$  be a basis of  $E$  over  $K$  and let  $f_i \in K[x]$  be the irreducible polynomial of  $u_i.$  If  $F$  is a splitting field of  $S=\{f_i\mid i\in I\}$ over E, then F is also a splitting field of S over K by Exercise V.3.3. Whence F is normal over K by Theorem V.3.14 (the (ii) $\Rightarrow$ (i) part).

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**Proof. (iii)** If E is separable over K, then each  $f_i$  above is separable over F (since  $K \subset E \subset F$ ). As explained above, F is a splitting field of  $\mathcal{S} = \{f_i \mid i \in I\}$  (and  $\mathcal S$  consists of separable polynomials in  $\mathcal{K}[\mathsf{x}]$ ), so by Theorem V.3.11 (the (iii) $\Rightarrow$ (i) part), F is Galois over K.

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**Theorem V.3.16.** If E is an algebraic extension field of K, then there exists an extension field  $F$  of  $E$  such that:

(ii) No proper subfield of F containing E is normal over  $K$ . The field  $F$  is uniquely determined up to an  $E$ -isomorphism.

**Proof.** (ii) If  $F_0$  is a subfield of F that contains E, then  $F_0$  necessarily contains the root  $u_i$  of  $f_i \in S$  for every i (since E contains each  $u_i$ ). If  $F_0$ is normal over K (so that each  $f_i$  splits in  $F_0$  by definition) then  $F \subset F_0$ and hence  $F = F_0$  and subfield  $F_0$  of F is not proper.

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**Uniqueness.** Let  $F_1$  be another extension field of E (in addition to F) with properties (i) and (ii). Since  $F_1$  is normal over K by (i) and contains each  $u_i$  (since E contains each  $u_i$  and we have  $K \subset E \subset F_1$ ), then (by the definition of normal) each polynomial in S splits in  $F_1$ .

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**Theorem V.3.16.** If E is an algebraic extension field of K, then there exists an extension field  $F$  of  $E$  such that:

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**Proof.** (ii) If  $F_0$  is a subfield of F that contains E, then  $F_0$  necessarily contains the root  $u_i$  of  $f_i \in S$  for every i (since E contains each  $u_i$ ). If  $F_0$ is normal over K (so that each  $f_i$  splits in  $F_0$  by definition) then  $F \subset F_0$ and hence  $F = F_0$  and subfield  $F_0$  of F is not proper.

**Uniqueness.** Let  $F_1$  be another extension field of E (in addition to F) with properties (i) and (ii). Since  $F_1$  is normal over K by (i) and contains each  $u_i$  (since E contains each  $u_i$  and we have  $K \subset E \subset F_1$ ), then (by the definition of normal) each polynomial in S splits in  $F_1$ . So  $F_1$  must contain a splitting field  $F_2$  of S over K with  $E \subset F_2$ .  $F_2$  is normal over K (by Theorem V.3.14, the (ii) $\Rightarrow$ (i) part), whence  $F_2 = F_1$  by (ii).

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- (iii) If E is separable over K, then F is Galois over K;
- <span id="page-67-0"></span>(iv)  $[F: K]$  is finite if and only if  $[E: K]$  is finite.

The field  $F$  is uniquely determined up to an  $E$ -isomorphism.

**Proof (continued). (Uniqueness)** Therefore both F and  $F_1$  are splitting fields of S over K and hence (by Exercise V.3.2) are splitting fields of S over  $E$ . By Theorem V.3.8, the identity on  $E$  extends to an E-isomorphism  $F \cong F_1$ .