## Modern Algebra

### Chapter VIII. Commutative Rings and Modules VIII.1. Chain Conditions—Proofs of Theorems

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**Theorem VIII.1.4.** A module A satisfies the ascending (respectively, descending) chain condition on submodules if and only if A satisfies the maximal (respectively, minimal) condition on submodules.

<span id="page-2-0"></span>**Proof.** Suppose A satisfies the minimal condition on submodules and let  $A_1 \supset A_2 \supset A_3 \supset \cdots$  be an arbitrary descending chain of submodules. Then by the minimal condition hypothesis, the set  $\{A_i\mid i\geq 1\}$  has a minimal element, say  $A_n$ .

**Theorem VIII.1.4.** A module A satisfies the ascending (respectively, descending) chain condition on submodules if and only if A satisfies the maximal (respectively, minimal) condition on submodules.

**Proof.** Suppose A satisfies the minimal condition on submodules and let  $A_1 \supset A_2 \supset A_3 \supset \cdots$  be an arbitrary descending chain of submodules. Then by the minimal condition hypothesis, the set  $\{A_i\mid i\geq 1\}$  has a **minimal element, say**  $A_n$ **.** Then for  $i \geq n$  we have  $A_n \supset A_i$  by this minimality, but  $A_n \subset A_i$  by the descending nature of the chain. Whence  $A_i = A_n$  for  $i > n$  and A satisfies the descending chain condition.

**Theorem VIII.1.4.** A module A satisfies the ascending (respectively, descending) chain condition on submodules if and only if A satisfies the maximal (respectively, minimal) condition on submodules.

**Proof.** Suppose A satisfies the minimal condition on submodules and let  $A_1 \supset A_2 \supset A_3 \supset \cdots$  be an arbitrary descending chain of submodules. Then by the minimal condition hypothesis, the set  $\{A_i\mid i\geq 1\}$  has a minimal element, say  $A_n$ . Then for  $i \geq n$  we have  $A_n \supset A_i$  by this minimality, but  $A_n \subset A_i$  by the descending nature of the chain. Whence  $A_i = A_n$  for  $i > n$  and A satisfies the descending chain condition.

Conversely, suppose A satisfies the descending chain condition, and let S be a nonempty set of submodules of A. Then there is  $B_0 \in S$ . ASSUME set S has no minimal element.

**Theorem VIII.1.4.** A module A satisfies the ascending (respectively, descending) chain condition on submodules if and only if A satisfies the maximal (respectively, minimal) condition on submodules.

**Proof.** Suppose A satisfies the minimal condition on submodules and let  $A_1 \supset A_2 \supset A_3 \supset \cdots$  be an arbitrary descending chain of submodules. Then by the minimal condition hypothesis, the set  $\{A_i\mid i\geq 1\}$  has a minimal element, say  $A_n$ . Then for  $i \geq n$  we have  $A_n \supset A_i$  by this minimality, but  $A_n \subset A_i$  by the descending nature of the chain. Whence  $A_i = A_n$  for  $i > n$  and A satisfies the descending chain condition.

Conversely, suppose A satisfies the descending chain condition, and let S be a nonempty set of submodules of A. Then there is  $B_0 \in S$ . ASSUME set S has no minimal element. Then for each submodule B in S there exists at least one submodule  $B'$  in  $S$  such that  $B' \subset B$  and  $B' \neq B$ . We use the Axiom of Choice to choose for each  $B \in S$  one such  $B'.$ 

**Theorem VIII.1.4.** A module A satisfies the ascending (respectively, descending) chain condition on submodules if and only if A satisfies the maximal (respectively, minimal) condition on submodules.

**Proof.** Suppose A satisfies the minimal condition on submodules and let  $A_1 \supset A_2 \supset A_3 \supset \cdots$  be an arbitrary descending chain of submodules. Then by the minimal condition hypothesis, the set  $\{A_i\mid i\geq 1\}$  has a minimal element, say  $A_n$ . Then for  $i \geq n$  we have  $A_n \supset A_i$  by this minimality, but  $A_n \subset A_i$  by the descending nature of the chain. Whence  $A_i = A_n$  for  $i > n$  and A satisfies the descending chain condition.

Conversely, suppose A satisfies the descending chain condition, and let S be a nonempty set of submodules of A. Then there is  $B_0 \in S$ . ASSUME set S has no minimal element. Then for each submodule B in S there exists at least one submodule  $B'$  in  $S$  such that  $B' \subset B$  and  $B' \neq B.$  We use the Axiom of Choice to choose for each  $B \in S$  one such  $B'.$ 

**Proof (continued).** Define  $f : S \rightarrow S$  by  $f(B) = B'$  in this notation (notice that  $f$  is then a choice function). By Theorem 0.6.2, The Recursion Theorem, with  $f_n = f$  for all  $n \in \mathbb{N}$  there is a function  $\varphi:\mathbb{N}\cup\{0\}\to S$  such that  $\varphi(0)=B_0$  and  $\varphi(n+1)=f(\varphi(n))=\varphi(n)'$ (The Recursion Theorem allows us to create a chain of modules). Denote  $\varphi(n) = B_n$  so that  $\varphi(n+1) = B_{n+1} = f(\varphi(n)) = f(B_n) = B'_n$ . Then we have the descending chain  $B_0 \supset B'_0 = B_1 \supset B'_1 = B_2 \supset \cdots$  where  $B_i \neq B_{i+1}$  for all  $i \in \mathbb{N} \cup \{0\}$ .

**Proof (continued).** Define  $f : S \rightarrow S$  by  $f(B) = B'$  in this notation (notice that  $f$  is then a choice function). By Theorem 0.6.2, The Recursion Theorem, with  $f_n = f$  for all  $n \in \mathbb{N}$  there is a function  $\varphi:\mathbb{N}\cup\{0\}\to S$  such that  $\varphi(0)=B_0$  and  $\varphi(n+1)=f(\varphi(n))=\varphi(n)'$ (The Recursion Theorem allows us to create a chain of modules). Denote  $\varphi(n)=B_n$  so that  $\varphi(n+1)=B_{n+1}=f(\varphi(n))=f(B_n)=B_n'.$  Then we have the descending chain  $B_0 \supset B'_0 = B_1 \supset B'_1 = B_2 \supset \cdots$  where  $B_i \neq B_{i+1}$  for all  $i \in \mathbb{N} \cup \{0\}$ . But this is a descending chain that does not satisfy the descending chain condition, CONTRADICTING the hypothesis that A satisfies the descending chain condition. So the assumption that set S has no minimal element is false, and so module A satisfies the minimal condition on submodules.

**Proof (continued).** Define  $f : S \rightarrow S$  by  $f(B) = B'$  in this notation (notice that  $f$  is then a choice function). By Theorem 0.6.2, The Recursion Theorem, with  $f_n = f$  for all  $n \in \mathbb{N}$  there is a function  $\varphi:\mathbb{N}\cup\{0\}\to S$  such that  $\varphi(0)=B_0$  and  $\varphi(n+1)=f(\varphi(n))=\varphi(n)'$ (The Recursion Theorem allows us to create a chain of modules). Denote  $\varphi(n)=B_n$  so that  $\varphi(n+1)=B_{n+1}=f(\varphi(n))=f(B_n)=B_n'.$  Then we have the descending chain  $B_0 \supset B'_0 = B_1 \supset B'_1 = B_2 \supset \cdots$  where  $B_i \neq B_{i+1}$  for all  $i \in \mathbb{N} \cup \{0\}$ . But this is a descending chain that does not satisfy the descending chain condition, CONTRADICTING the hypothesis that A satisfies the descending chain condition. So the assumption that set S has no minimal element is false, and so module A satisfies the minimal condition on submodules.

The proof for ascending chains and the maximum condition is similar and is left as Exercise VIII.1.A.

**Proof (continued).** Define  $f : S \rightarrow S$  by  $f(B) = B'$  in this notation (notice that  $f$  is then a choice function). By Theorem 0.6.2, The Recursion Theorem, with  $f_n = f$  for all  $n \in \mathbb{N}$  there is a function  $\varphi:\mathbb{N}\cup\{0\}\to S$  such that  $\varphi(0)=B_0$  and  $\varphi(n+1)=f(\varphi(n))=\varphi(n)'$ (The Recursion Theorem allows us to create a chain of modules). Denote  $\varphi(n)=B_n$  so that  $\varphi(n+1)=B_{n+1}=f(\varphi(n))=f(B_n)=B_n'.$  Then we have the descending chain  $B_0 \supset B'_0 = B_1 \supset B'_1 = B_2 \supset \cdots$  where  $B_i \neq B_{i+1}$  for all  $i \in \mathbb{N} \cup \{0\}$ . But this is a descending chain that does not satisfy the descending chain condition, CONTRADICTING the hypothesis that A satisfies the descending chain condition. So the assumption that set S has no minimal element is false, and so module A satisfies the minimal condition on submodules.

The proof for ascending chains and the maximum condition is similar and is left as Exercise VIII.1.A.

**Theorem VIII.1.5.** Let  $\{0\} \rightarrow A \stackrel{f}{\rightarrow} B \stackrel{g}{\rightarrow} C \rightarrow \{0\}$  be a short exact sequence of modules. Then  $B$  satisfies the ascending (respectively, descending) chain condition on submodules if and only if A and C satisfy it.

<span id="page-11-0"></span>**Proof.** Suppose B satisfies the ascending chain condition. Since  $f(A)$  is a submodule of  $B$  (the homomorphic image of a module is a module; see the example after Definition IV.1.3) then  $f(A)$  also satisfies the ascending chain condition (any ascending chain of submodules of  $f(A)$  is also an ascending chain of submodules of  $B$ ). Since f is one to one then A is isomorphic to  $f(A)$ , so A also satisfies the ACC.

**Theorem VIII.1.5.** Let  $\{0\} \rightarrow A \stackrel{f}{\rightarrow} B \stackrel{g}{\rightarrow} C \rightarrow \{0\}$  be a short exact sequence of modules. Then  $B$  satisfies the ascending (respectively, descending) chain condition on submodules if and only if A and C satisfy it.

**Proof.** Suppose B satisfies the ascending chain condition. Since  $f(A)$  is a submodule of  $B$  (the homomorphic image of a module is a module; see the example after Definition IV.1.3) then  $f(A)$  also satisfies the ascending chain condition (any ascending chain of submodules of  $f(A)$  is also an ascending chain of submodules of  $B$ ). Since f is one to one then A is isomorphic to  $f(A)$ , so A also satisfies the ACC. If  $C_1 \subset C_2 \subset C_3 \subset \cdots$  is a chain of submodules of  $B.$  Then  $g^{-1}(\mathcal{C}_1) \subset g^{-1}(\mathcal{C}_2) \subset g^{-1}(\mathcal{C}_3) \subset \cdots$ is a chain of submodules of  $B$  (again, see the example after Definition IV.1.3). Since B has the ACC then there is  $n \in \mathbb{N}$  such that  $g^{-1}(\mathit{C_{i}})=g^{-1}(\mathit{C_{n}})$  for all  $i\geq n.$  Since  $g$  is onto then  $g^{-1}(\mathit{C_{i}})=g^{-1}(\mathit{C_{n}})$ implies  $C_1 = C_n$  and so C satisfies the ACC.

**Theorem VIII.1.5.** Let  $\{0\} \rightarrow A \stackrel{f}{\rightarrow} B \stackrel{g}{\rightarrow} C \rightarrow \{0\}$  be a short exact sequence of modules. Then  $B$  satisfies the ascending (respectively, descending) chain condition on submodules if and only if A and C satisfy it.

**Proof.** Suppose B satisfies the ascending chain condition. Since  $f(A)$  is a submodule of  $B$  (the homomorphic image of a module is a module; see the example after Definition IV.1.3) then  $f(A)$  also satisfies the ascending chain condition (any ascending chain of submodules of  $f(A)$  is also an ascending chain of submodules of  $B$ ). Since f is one to one then A is isomorphic to  $f(A)$ , so A also satisfies the ACC. If  $C_1 \subset C_2 \subset C_3 \subset \cdots$  is a chain of submodules of  $B.$  Then  $g^{-1}(\mathcal{C}_1) \subset g^{-1}(\mathcal{C}_2) \subset g^{-1}(\mathcal{C}_3) \subset \cdots$ is a chain of submodules of  $B$  (again, see the example after Definition IV.1.3). Since B has the ACC then there is  $n \in \mathbb{N}$  such that  $\mathsf{g}^{-1}(\mathsf{C}_i)=\mathsf{g}^{-1}(\mathsf{C}_n)$  for all  $i\geq n.$  Since  $\mathsf{g}$  is onto then  $\mathsf{g}^{-1}(\mathsf{C}_i)=\mathsf{g}^{-1}(\mathsf{C}_n)$ implies  $C_1 = C_n$  and so C satisfies the ACC.

**Proof (continued).** Now suppose A and C satisfy the ACC. Let  $B_1 \subset B_2 \subset B_3 \subset \cdots$  be an ascending chain of submodules of B. For each  $i\in\mathbb{N}$  let  $A_i=f^{-1}(f(A)\cap B_i)$  and  $\mathcal{C}_i=g(B_i).$  Let  $f_i=f|_{A_i}$  and  $g_i=g|_{B_i}$ (restrictions of f and  $g$ ).

We now show that  $\{0\}\to A_i\stackrel{f_i}{\to}B_i\stackrel{g_i}{\to}C_i\to\{0\}$  is a short exact sequence for each  $i \in \mathbb{N}$ ; that is, we show  $\text{Ker}(f_i) = \{0\}$ ,  $\text{Im}(f_i) = \text{Ker}(g_i)$ , and  $\text{Im}(g_i) = C_i$  for  $i \in \mathbb{N}$ .

**Proof (continued).** Now suppose A and C satisfy the ACC. Let  $B_1 \subset B_2 \subset B_3 \subset \cdots$  be an ascending chain of submodules of B. For each  $i\in\mathbb{N}$  let  $A_i=f^{-1}(f(A)\cap B_i)$  and  $\mathcal{C}_i=g(B_i).$  Let  $f_i=f|_{A_i}$  and  $g_i=g|_{B_i}$ (restrictions of f and  $g$ ).

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$$
f_i(A_i) = f|_{A_i}(A_i) = f(A_i) = f(f^{-1}(f(A) \cap B_i)) = f(A) \cap B_i.
$$

Since  $\text{Ker}(f) = \{0\}$  then  $\text{Ker}(f_i) = \text{Ker}(f_i|_{A_i}) = \{0\}$  for each  $i \in \mathbb{N}$ . Also, we have  $\text{Im}(f_i) = f(A) \cap B_i$ . Now  $g_i(B_i) = g|_{B_i}(B_i) = g(B_i) = C_i$  (so  $g_i$  is onto). In the given short exact sequence,  $Im(f) = Ker(g)$ , so

 $\mathsf{Ker}(g_i) = \mathsf{Ker}(g|_{B_i}) = \mathsf{Ker}(g) \cap B_i = \mathsf{Im}(f) \cap B_i = f(A) \cap B_i = \mathsf{Im}(f_i)$ 

for all  $i \in \mathbb{N}$ .

**Proof (continued).** Now suppose A and C satisfy the ACC. Let  $B_1 \subset B_2 \subset B_3 \subset \cdots$  be an ascending chain of submodules of B. For each  $i\in\mathbb{N}$  let  $A_i=f^{-1}(f(A)\cap B_i)$  and  $\mathcal{C}_i=g(B_i).$  Let  $f_i=f|_{A_i}$  and  $g_i=g|_{B_i}$ (restrictions of f and  $g$ ).

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f_i(A_i) = f|_{A_i}(A_i) = f(A_i) = f(f^{-1}(f(A) \cap B_i)) = f(A) \cap B_i.
$$

Since  $\mathsf{Ker}(f) = \{0\}$  then  $\mathsf{Ker}(f_i) = \mathsf{Ker}(f_i|_{A_i}) = \{0\}$  for each  $i \in \mathbb{N}$ . Also, we have  ${\sf Im}(f_i)=f(A)\cap B_i.$  Now  $g_i(B_i)=g|_{B_i}(B_i)=g(B_i)=C_i$  (so  $g_i$  is onto). In the given short exact sequence,  $Im(f) = Ker(g)$ , so

 $\mathsf{Ker}(g_i) = \mathsf{Ker}(g|_{B_i}) = \mathsf{Ker}(g) \cap B_i = \mathsf{Im}(f) \cap B_i = f(A) \cap B_i = \mathsf{Im}(f_i)$ 

for all  $i \in \mathbb{N}$ .

**Proof (continued).** Finally,  $C_i = g(B_i)$  by the definition of  $C_i$ , so  ${\sf Im}(g_i)=C_i$  for  $i\in\mathbb{N}.$  Therefore  $\{0\}\to A_i\stackrel{f_i}{\to}B_i\stackrel{g_i}{\to}C_i\to\{0\}$  is a short exact sequence.

We now claim  $A_1 \subset A_2 \subset A_3 \subset \cdots$  and  $C_1 \subset C_2 \subset C_3 \subset \cdots$ . Since  $B_1 \subset B_2 \subset B_3 \subset \cdots$  by hypothesis then  $f(A) \cap B_i \subset f(A) \cap B_{i+1}$  and  $A_i = f^{-1}(f(A) \cap B_i) \subset f^{-1}(f(A) \cap B_{i+1}) = A_{i+1}$  for  $i \in \mathbb{N}$ . Also,  $B_i \subset B_{i+1}$  implies  $C_i = g(B_i) \subset g(B_{i+1}) = C_{i+1}$  for  $i \in \mathbb{N}$ .

**Proof (continued).** Finally,  $C_i = g(B_i)$  by the definition of  $C_i$ , so  ${\sf Im}(g_i)=C_i$  for  $i\in\mathbb{N}.$  Therefore  $\{0\}\to A_i\stackrel{f_i}{\to}B_i\stackrel{g_i}{\to}C_i\to\{0\}$  is a short exact sequence.

We now claim  $A_1 \subset A_2 \subset A_3 \subset \cdots$  and  $C_1 \subset C_2 \subset C_3 \subset \cdots$ . Since  $B_1 \subset B_2 \subset B_3 \subset \cdots$  by hypothesis then  $f(A) \cap B_i \subset f(A) \cap B_{i+1}$  and  $A_i = f^{-1}(f(A) \cap B_i) \subset f^{-1}(f(A) \cap B_{i+1}) = A_{i+1}$  for  $i \in \mathbb{N}$ . Also,  $B_i \subset B_{i+1}$  implies  $C_i = g(B_i) \subset g(B_{i+1}) = C_{i+1}$  for  $i \in \mathbb{N}$ .

Since in this case we hypothesize that A and C satisfy the ACC, then there is  $n \in \mathbb{N}$  such that  $A_i = A_n$  and  $C_i = C_n$  for all  $i \geq n$ . For each  $i > n$  consider the commutative diagram...

**Proof (continued).** Finally,  $C_i = g(B_i)$  by the definition of  $C_i$ , so  ${\sf Im}(g_i)=C_i$  for  $i\in\mathbb{N}.$  Therefore  $\{0\}\to A_i\stackrel{f_i}{\to}B_i\stackrel{g_i}{\to}C_i\to\{0\}$  is a short exact sequence.

We now claim  $A_1 \subset A_2 \subset A_3 \subset \cdots$  and  $C_1 \subset C_2 \subset C_3 \subset \cdots$ . Since  $B_1 \subset B_2 \subset B_3 \subset \cdots$  by hypothesis then  $f(A) \cap B_i \subset f(A) \cap B_{i+1}$  and  $A_i = f^{-1}(f(A) \cap B_i) \subset f^{-1}(f(A) \cap B_{i+1}) = A_{i+1}$  for  $i \in \mathbb{N}$ . Also,  $B_i \subset B_{i+1}$  implies  $C_i = g(B_i) \subset g(B_{i+1}) = C_{i+1}$  for  $i \in \mathbb{N}$ .

Since in this case we hypothesize that  $A$  and  $C$  satisfy the ACC, then there is  $n \in \mathbb{N}$  such that  $A_i = A_n$  and  $C_i = C_n$  for all  $i > n$ . For each  $i > n$  consider the commutative diagram...

# Theorem VIII.1.5 (continued 3)

Proof (continued).



where  $\alpha$  and  $\gamma$  are identity maps (since  $A_i=A_n$  and  $B_i=B_n)$  and  $\beta_i$  is the inclusion map (since  $B_n\subset B_i$  for  $i\geq n).$  By the Short Five Lemma, Lemma IV.1.17,  $\beta_i$  is a one to one and onto isomorphism (since  $\alpha$  and  $\gamma$ are). So  $B_n = B_i$  and this holds for all  $i \geq n$ . Since  $B_i \subset B_2 \subset B_3 \subset \cdots$  is an arbitrary chain of submodules of  $B$ , then  $B$  satisfies the ACC, as claimed.

Proof (continued).



where  $\alpha$  and  $\gamma$  are identity maps (since  $\mathcal{A}_i=\mathcal{A}_n$  and  $\mathcal{B}_i=\mathcal{B}_n)$  and  $\beta_i$  is the inclusion map (since  $B_n\subset B_i$  for  $i\geq n)$ . By the Short Five Lemma, Lemma IV.1.17,  $\beta_i$  is a one to one and onto isomorphism (since  $\alpha$  and  $\gamma$ are). So  $B_n = B_i$  and this holds for all  $i > n$ . Since  $B_i \subset B_2 \subset B_3 \subset \cdots$  is an arbitrary chain of submodules of  $B$ , then  $B$  satisfies the ACC, as claimed.

The proof for the descending chain condition is similar and left as Exercise  $VIII.1.B.$ 

Proof (continued).



where  $\alpha$  and  $\gamma$  are identity maps (since  $\mathcal{A}_i=\mathcal{A}_n$  and  $\mathcal{B}_i=\mathcal{B}_n)$  and  $\beta_i$  is the inclusion map (since  $B_n\subset B_i$  for  $i\geq n)$ . By the Short Five Lemma, Lemma IV.1.17,  $\beta_i$  is a one to one and onto isomorphism (since  $\alpha$  and  $\gamma$ are). So  $B_n = B_i$  and this holds for all  $i > n$ . Since  $B_i \subset B_2 \subset B_3 \subset \cdots$  is an arbitrary chain of submodules of  $B$ , then  $B$  satisfies the ACC, as claimed.

The proof for the descending chain condition is similar and left as Exercise  $VIII.1.B.$ 

**Corollary VIII.1.6.** If A is a submodule of a module B, then B satisfies the ascending (respectively, descending) chain condition if and only if A and  $B/A$  satisfy it.

<span id="page-23-0"></span>**Proof.** Consider the sequence  $\{0\} \rightarrow A \stackrel{f}{\rightarrow} B \stackrel{g}{\rightarrow} B/A \rightarrow \{0\}$ , where  $f$  is the inclusion map and  $g$  is the canonical epimorphism, so that  $g(b) = b + A$ . Then Ker(f) = {0},  $\text{Im}(f) = A = \text{Ker}(g)$ , and  $Im(g) = B/A$  so that this is a short exact sequence. By Theorem VIII.1.5, B satisfies the ACC (respectively, DCC) if and only if both A and B/A satisfy it.

**Corollary VIII.1.6.** If A is a submodule of a module B, then B satisfies the ascending (respectively, descending) chain condition if and only if A and  $B/A$  satisfy it.

**Proof.** Consider the sequence  $\{0\} \to A \stackrel{f}{\to} B \stackrel{g}{\to} B/A \to \{0\},$  where  $f$  is the inclusion map and  $g$  is the canonical epimorphism, so that  $g(b) = b + A$ . Then  $\text{Ker}(f) = \{0\}$ ,  $\text{Im}(f) = A = \text{Ker}(g)$ , and  $Im(g) = B/A$  so that this is a short exact sequence. By Theorem VIII.1.5, B satisfies the ACC (respectively, DCC) if and only if both  $A$  and  $B/A$ satisfy it.

**Corollary VIII.1.7.** If  $A_1, A_2, \ldots, A_n$  are modules, then the direct sum  $A_1 \oplus A_2 \oplus \cdots \oplus A_n$  satisfies the ascending (respectively, descending chain condition on submodules if and only if each  $A_i$  satisfies it.

<span id="page-25-0"></span>**Proof.** We prove by induction. For  $n = 2$ , consider the sequence  $\{0\} \to A_1 \stackrel{\iota_1}{\to} A_1 \oplus A_2 \stackrel{\pi_2}{\to} A_2 \to \{0\}$  where  $\iota_1$  is a canonical injection and  $\pi_2$  is a canonical projection. Then Ker $\iota_i$ ) = {0},  $Im(\iota_1) = A_1 \oplus \{0\} = Ker(\pi_2)$ , and  $Im(\pi_2) = A_2$  so that this is a short exact sequence.

**Corollary VIII.1.7.** If  $A_1, A_2, \ldots, A_n$  are modules, then the direct sum  $A_1 \oplus A_2 \oplus \cdots \oplus A_n$  satisfies the ascending (respectively, descending chain condition on submodules if and only if each  $A_i$  satisfies it.

**Proof.** We prove by induction. For  $n = 2$ , consider the sequence  $\{0\}\to A_1\stackrel{\iota_1}{\to}A_1\oplus A_2\stackrel{\pi_2}{\to}A_2\to \{0\}$  where  $\iota_1$  is a canonical injection and  $\pi_2$  is a canonical projection. Then Ker $\iota_i$ ) = {0},  $\text{Im}(\iota_1) = A_1 \oplus \{0\} = \text{Ker}(\pi_2)$ , and  $\text{Im}(\pi_2) = A_2$  so that this is a short exact sequence. By Theorem VIII.1.5,  $A_1 \oplus A_2$  satisfies the ACC (respectively, DCC) if and only if  $A_1$  and  $A_2$  satisfy it. The result holds for  $n = 2$ .

**Corollary VIII.1.7.** If  $A_1, A_2, \ldots, A_n$  are modules, then the direct sum  $A_1 \oplus A_2 \oplus \cdots \oplus A_n$  satisfies the ascending (respectively, descending chain condition on submodules if and only if each  $A_i$  satisfies it.

**Proof.** We prove by induction. For  $n = 2$ , consider the sequence  $\{0\}\to A_1\stackrel{\iota_1}{\to}A_1\oplus A_2\stackrel{\pi_2}{\to}A_2\to \{0\}$  where  $\iota_1$  is a canonical injection and  $\pi_2$  is a canonical projection. Then Ker $\iota_i$ ) = {0},  $Im(\iota_1) = A_1 \oplus \{0\} = Ker(\pi_2)$ , and  $Im(\pi_2) = A_2$  so that this is a short exact sequence. By Theorem VIII.1.5,  $A_1 \oplus A_2$  satisfies the ACC (respectively, DCC) if and only if  $A_1$  and  $A_2$  satisfy it. The result holds for  $n = 2$ .

Now suppose the result holds for  $n = k$  and consider  ${0} \rightarrow A_1 \oplus A_2 \oplus \cdots \oplus A_k \stackrel{\iota}{\rightarrow} A_1 \oplus A_2 \oplus \cdots \oplus A_k \oplus A_{k+1} \stackrel{\pi_{k+1}}{\rightarrow} {0}$  where  $\iota$ is the canonical injection of  $A_1 \oplus A_2 \oplus \cdots \oplus A_k$  into  $A_1 \oplus A_2 \oplus \cdots \oplus A_k \oplus A_{k+1}$  and  $\pi_{k+1}$  is the canonical projection.

**Corollary VIII.1.7.** If  $A_1, A_2, \ldots, A_n$  are modules, then the direct sum  $A_1 \oplus A_2 \oplus \cdots \oplus A_n$  satisfies the ascending (respectively, descending chain condition on submodules if and only if each  $A_i$  satisfies it.

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Now suppose the result holds for  $n = k$  and consider  ${0 \rightarrow A_1 \oplus A_2 \oplus \cdots \oplus A_k \stackrel{\iota}{\rightarrow} A_1 \oplus A_2 \oplus \cdots \oplus A_k \oplus A_{k+1} \stackrel{\pi_{k+1}}{\rightarrow} {0 \} }$  where  $\iota$ is the canonical injection of  $A_1 \oplus A_2 \oplus \cdots \oplus A_k$  into  $A_1 \oplus A_2 \oplus \cdots \oplus A_k \oplus A_{k+1}$  and  $\pi_{k+1}$  is the canonical projection.

# Corollary VIII.1.7 (continued)

**Corollary VIII.1.7.** If  $A_1, A_2, \ldots, A_n$  are modules, then the direct sum  $A_1 \oplus A_2 \oplus \cdots \oplus A_n$  satisfies the ascending (respectively, descending chain condition on submodules if and only if each  $A_i$  satisfies it.

**Proof (continued).** As argued above, this is a short exact sequence. Applying Theorem VIII.1.5,  $A_1 \oplus A_2 \oplus \cdots \oplus A_k \oplus A_{k+1}$  satisfies the ACC (respectively, DCC) if and only if  $A_1 \oplus A_2 \oplus \cdots \oplus A_k$  (and, by the induction hypothesis,  $A_1, A_2, \ldots, A_k$  and  $A_{k+1}$  satisfy it. So the result holds for  $n = k + 1$ . The general result now follows by induction.

**Theorem VIII.1.8.** If R is a left Noetherian (respectively, Artinian) ring with identity, then every finitely generated unitary left  $R$ -module  $A$ satisfies the ascending (respectively, descending) chain condition on the submodules. This also holds if "left" is replaced with "right."

<span id="page-30-0"></span>**Proof.** Suppose A is a finitely generated unitary left R-module where R is left Noetherian. Then by Corollary IV.2.2 there is a finitely generated free R-module F and an onto homomorphism (i.e., an epimorphism)  $\pi : F \to A$ .

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**Theorem VIII.1.9.** A module A satisfies the ascending chain condition on submodules if and only if every submodule of A is finitely generated. In particular, a commutative ring  $R$  is Noetherian if and only if every ideal of R is finitely generated.

<span id="page-34-0"></span>**Proof.** Suppose A satisfies the ACC on submodules. Let B be a submodule of  $A$ . Let  $S$  be the set of all finitely generated submodules of B.

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**Proof.** Suppose A satisfies the ACC on submodules. Let  $B$  be a submodule of A. Let S be the set of all finitely generated submodules of **B.** Now  $\{0\} \in S$  so S is nonempty and so by Theorem VIII.1.4 S satisfies the maximum condition. Hence there is a maximal element C. Since  $C \in S$  then C is finitely generated by  $c_1, c_2, \ldots, c_n$ .

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**Theorem VIII.1.9.** A module A satisfies the ascending chain condition on submodules if and only if every submodule of A is finitely generated. In particular, a commutative ring R is Noetherian if and only if every ideal of R is finitely generated.

**Proof (continued).** Now suppose every submodule of A is finitely generated. Let  $A_1 \subset A_2 \subset A_3 \subset \cdots$  be an ascending chain of submodules of A. "It is easy to verify" that  $\cup_{i=1}^{\infty} A_i$  is a submodule of  $A$  (Hungerford claims on page 375) and so finitely generated by hypothesis. Say  $\cup_{i=1}^{\infty} A_i$  is generated by  $a_1, a_2, \ldots, a_k.$  Since each  $a_i$  is in some  $A_j$ , there is an index n such that  $a_i \in A_n$  for  $i = 1, 2, \ldots, k$ . So  $\{a_1, a_2, \ldots, a_k\} \subset A_n$  and  $\cup_{i=1}^{\infty} A_i \subset A_n$ . Whence  $A_i = A_n$  for  $i \geq n$  and, since  $A_1 \subset A_2 \subset A_3 \subset \cdots$ is an arbitrary ascending chain of submodules, then A satisfies the ACC on submodules and the converse claim holds.

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**Theorem VIII.1.11.** A nonzero module A has a composition series if and only if A satisfies both the ascending and descending chain conditions on submodules.

<span id="page-40-0"></span>**Proof.** First, suppose A has a composition series S of length n. ASSUME at least one of the chain conditions fails to hold. Then there are submodules  $A = A_0 \supset A_1 \supset A_2 \supset \cdots \supset A_n \supset A_{n+1}$ , where  $A_1 \neq A_{i+1}$  for  $i = 0, 1, 2, \ldots, n$ , which form a normal series T of length  $n + 1$  (since the chain could not "end" at  $A_n$ ). By Theorem VIII.1.10(a), normal series S and  $T$  have refinements that are equivalent.

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**Proof (continued).** So the assumption that at least one of the chain conditions does not hold is false and hence both chain conditions must hold, as claimed.

Now suppose both chain conditions hold. Let  $B$  be a nonzero submodule of A and let  $S(B)$  be the set of all submodules C of B such that  $C \neq B$ . So if B has no proper submodules then  $S(B) \neq \{0\}$ . Also define  $S({0}) = {0}.$ 

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**Theorem VIII.1.11.** A nonzero module A has a composition series if and only if A satisfies both the ascending and descending chain conditions on submodules.

**Proof (continued).** Notice that  $\varphi(n+1)$  is a maximal (submodule of  $\varphi(n)$ ) element of  $S(\varphi(n))$  (this is what the prime notation represents), so  $\varphi(n+1)$  ⊂  $\varphi(n)$  and  $A \supset A_1 \supset A_2 \supset \cdots$  is a descending chain of submodules of A. Since A satisfies the DCC then there is  $n \in \mathbb{N}$  such that  $A_i = A_n$  for  $i \geq n$ . Since  $\varphi(n+1)$  is a submodule of  $\varphi(n)$  but  $\varphi(n+1) \neq \varphi(n)$  (though we allow  $\varphi(n+1) = \{0\}$ ), then the only way that  $\varphi(n+1) = \varphi(n)$  is when  $\varphi(n) = \{0\}$ . That is,  $A_{n+1} = A_n$  if and only if  $A_n = A_{n+1} = \{0\}.$ 

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**Theorem VIII.1.11.** A nonzero module A has a composition series if and only if A satisfies both the ascending and descending chain conditions on submodules.

**Proof (continued).** So each  $A_k/A_{k+1}$  is nonzero (since  $A_{k+1} \neq A_k$ ) and has no proper submodules by Theorem IV.1.10 (since  $C_k/A_{k+1} \subset A_k/A_{k+1}$ implies  $C_k$  is a submodule of  $A_k$  which contains  $A_{k+1}$  by Theorem IV.1.10, but  $A_{k+1}$  as a maximal submodule of  $A_k$  not equal to  $A_k$ , so we must have  $C_k = A_k$  and  $C_k/A_{k+1} = A_k/A_{k+1}$ ; hence no proper submodules of  $A_k/A_{k+1}$ ; this is whey we defined  $S(B)$  as the set of all submodules of B not equal to B). Therefore,  $A = A_1 \supset A_2 \supset \cdots \supset A_m = \{0\}$  is a composition series of Aand the second claim follows.

### **Corollary VIII.1.12.** If D is a division ring, then the ring  $Mat_n(D)$  of all  $n \times n$  matrices over D is both Artinian and Noetherian.

<span id="page-51-0"></span>**Proof.** To show ring  $Mat_n(D)$  is both Artinian and Noetherian, we need to show that it satisfies both the ACC and DCC on ideals, by Definition VIII.1.2. If we interpret  $R = Mat_n(D)$  as a R-module (so that the ideals are submodules), then by Theorem VIII.1.11 is suffices to show that  $\text{Mat}_n(D)$  has a composition series of left R-modules (to cover the conditions of left Noetherian and left Artinian). For each  $i \in \{1, 2, \ldots, n\}$ let  $e_i \in R$  be the matrix with  $1_D$  in position  $(i, i)$  and 0 elsewhere.

**Corollary VIII.1.12.** If D is a division ring, then the ring  $Mat_n(D)$  of all  $n \times n$  matrices over D is both Artinian and Noetherian.

**Proof.** To show ring Mat<sub>n</sub> $(D)$  is both Artinian and Noetherian, we need to show that it satisfies both the ACC and DCC on ideals, by Definition VIII.1.2. If we interpret  $R = Mat_n(D)$  as a R-module (so that the ideals are submodules), then by Theorem VIII.1.11 is suffices to show that  $\text{Mat}_n(D)$  has a composition series of left R-modules (to cover the conditions of left Noetherian and left Artinian). For each  $i \in \{1, 2, \ldots, n\}$ let  $e_i \in R$  be the matrix with  $1_D$  in position  $(i, i)$  and 0 elsewhere.

We now claim that  $Re_i = \{Ae_i \mid A \in R\}$  is a left ideal (and so a submodule) of R consisting of all matrices in R with column *i* zero for all  $j \neq i$ . Because of the row times column definition of matrix multiplication,  $A$ e $_{i}$  is an  $n\times n$  matrix with column  $i$  the same as the  $i$ th column of  $A$  and all other columns of all  $0's$ . So  $Re<sub>i</sub>$  consists of all such matrices as described and only those matrices.

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**Proof.** To show ring Mat<sub>n</sub> $(D)$  is both Artinian and Noetherian, we need to show that it satisfies both the ACC and DCC on ideals, by Definition VIII.1.2. If we interpret  $R = Mat_n(D)$  as a R-module (so that the ideals are submodules), then by Theorem VIII.1.11 is suffices to show that  $\text{Mat}_n(D)$  has a composition series of left R-modules (to cover the conditions of left Noetherian and left Artinian). For each  $i \in \{1, 2, \ldots, n\}$ let  $e_i \in R$  be the matrix with  $1_D$  in position  $(i, i)$  and 0 elsewhere.

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# Corollary VIII.1.12 (continued 1)

**Proof (continued).** Next, we claim  $Re_i$  is a minimal nonzero left ideal (that is,  $Re_i$  has no proper submodules). If there is a nonzero submodule of Re; then it contains an  $n \times n$  matrix  $M_1 \in \mathbb{R}e$ ; with some nonzero entry in position  $(j, i)$ , say  $r_{ii} \neq 0$ . Notice that the three elementary row operations are defined in Definition VII.2.7 and that over a ring with identity (since  $D$  is a division ring so it has identity), each elementary row operation can be performed by multiplication on the left by an elementary matrix (see also Definition VII.2.7) by Theorem VII.2.8 (and by common **knowledge from linear algebra).** Since  $r_{ii} \neq 0$  then we can perform the elementary row operation by multiplying row  $j$  of  $M_1$  by unit  $r(r_{ji})^{-1}$  for any nonzero  $r \in D$  to produce entry  $r \neq 0$  in the  $(j, i)$  position of a new matrix  $M_r.$  Notice that we are using the fact that  $D$  is an integral domain here! This row operation can be performed by multiplication on the left by the appropriate elementary matrix in  $\text{Mat}_n(D)$ , by Theorem VII.2.8, so  $M_r$ must be in  $Re<sub>i</sub>$  since it is an  $R$ -module.

# Corollary VIII.1.12 (continued 1)

<span id="page-55-0"></span>**Proof (continued).** Next, we claim  $Re_i$  is a minimal nonzero left ideal (that is,  $Re_i$  has no proper submodules). If there is a nonzero submodule of Re<sub>i</sub> then it contains an  $n \times n$  matrix  $M_1 \in \mathbb{R}$ e<sub>i</sub> with some nonzero entry in position  $(j, i)$ , say  $r_{ii} \neq 0$ . Notice that the three elementary row operations are defined in Definition VII.2.7 and that over a ring with identity (since  $D$  is a division ring so it has identity), each elementary row operation can be performed by multiplication on the left by an elementary matrix (see also Definition VII.2.7) by Theorem VII.2.8 (and by common knowledge from linear algebra). Since  $r_{ii} \neq 0$  then we can perform the elementary row operation by multiplying row  $j$  of  $M_1$  by unit  $r(r_{ji})^{-1}$  for any nonzero  $r \in D$  to produce entry  $r \neq 0$  in the  $(j, i)$  position of a new matrix  $M_r.$  Notice that we are using the fact that  $D$  is an integral domain here! This row operation can be performed by multiplication on the left by the appropriate elementary matrix in  $\text{Mat}_n(D)$ , by Theorem VII.2.8, so  $M_r$ must be in  $Re<sub>i</sub>$  since it is an  $R$ -module.

# Corollary VIII.1.12 (continued 2)

**Proof (continued).** Consider the entry  $r_{ki}$  in position  $(k, i)$  of  $M_r$ . We can perform the elementary row operation of adding to row k unit  $(\varepsilon-r_{ki})(r_{ij})^{-1}$  times row  $i$  to produce an entry of  $S$  in position  $(k,i)$  for any  $x\in D$  is a new matrix  $M_s$  (notice that  $(s-r_{ki})(r_{ji})^{-1}$  is a nonunit if and only if  $x = r_{ki}$ , in which case there is no need to perform this row operation). Similarly, by Theorem VII.2.8,  $M_{\sf s} \in R$ e $_{\sf i}$ . So  $R$ e $_{\sf i}$  must contain a matrix with position  $(j, i)$  having entry  $r \in D$  and with position  $(k, i)$ having entry  $s \in D$ , and this can be done for any  $r, s \in D$ . Since k was arbitrary, then we can make position  $(k, i)$  for  $1 \leq k \leq n$  any entry we desire through elementary row operations and so a nonzero submodule of  $Re<sub>i</sub>$  must contain all matrices with all columns 0 except column *i*. That is,  $Re<sub>i</sub>$  has no nonzero submodules and hence  $Re<sub>i</sub>$  is a maximal submodule of  $\mathsf{Mat}_n(D)$ .

# Corollary VIII.1.12 (continued 2)

**Proof (continued).** Consider the entry  $r_{ki}$  in position  $(k, i)$  of  $M_r$ . We can perform the elementary row operation of adding to row k unit  $(\varepsilon-r_{ki})(r_{ij})^{-1}$  times row  $i$  to produce an entry of  $S$  in position  $(k,i)$  for any  $x\in D$  is a new matrix  $M_s$  (notice that  $(s-r_{ki})(r_{ji})^{-1}$  is a nonunit if and only if  $x = r_{ki}$ , in which case there is no need to perform this row operation). Similarly, by Theorem VII.2.8,  $M_{\sf s} \in R$ e $_{\sf i}$ . So  $R$ e $_{\sf i}$  must contain a matrix with position  $(j, i)$  having entry  $r \in D$  and with position  $(k, i)$ having entry  $s \in D$ , and this can be done for any  $r, s \in D$ . Since k was arbitrary, then we can make position  $(k, i)$  for  $1 \leq k \leq n$  any entry we desire through elementary row operations and so a nonzero submodule of  $Re<sub>i</sub>$  must contain all matrices with all columns 0 except column *i*. That is,  $Re<sub>i</sub>$  has no nonzero submodules and hence  $Re<sub>i</sub>$  is a maximal submodule of  $\mathsf{Mat}_n(D)$ .

# Corollary VIII.1.12 (continued 3)

**Proof (continued).** Let  $M_0 = \{0\}$  and for  $i \ge 1$  let  $M_i = R(e_1 + e_2 + \cdots + e_i)$ . Then  $M_i$  includes all  $n \times n$  matrices with only zeros in columns  $i+1, i+2, \ldots, m$ . Similar to the argument above,  $M_i$  is **a left ideal of R**. Consider  $M_i/M_{i-1}$ . The elements of  $M_i/M_{i-1}$  are of the form  $m + M_{i-1}$  where  $m \in M_i$ . So  $m + M_{i-1} = n + M_{i-1}$  if and only if the ith column of  $m$  is the same as the *i*th column of  $n$ . Define f :  $M_i/M_{i-1}$  → Re<sub>i</sub> mapping  $m + M_{i-1}$  to the matrix in Re<sub>i</sub> with *i*th column the same as  $m$ . Then f is one to one, onto, and (since addition and multiplication of cosets is performed by representatives) a ring homomorphism. That is,  $M_i/M_{i-1}\cong Re_i$ .

# Corollary VIII.1.12 (continued 3)

**Proof (continued).** Let  $M_0 = \{0\}$  and for  $i \geq 1$  let  $M_i = R(e_1 + e_2 + \cdots + e_i)$ . Then  $M_i$  includes all  $n \times n$  matrices with only zeros in columns  $i+1, i+2, \ldots, m$ . Similar to the argument above,  $M_i$  is a left ideal of R. Consider  $M_i/M_{i-1}$ . The elements of  $M_i/M_{i-1}$  are of the form  $m + M_{i-1}$  where  $m \in M_i$ . So  $m + M_{i-1} = n + M_{i-1}$  if and only if the *ith column of m* is the same as the *ith column of n.* Define f :  $M_i/M_{i-1}$  → Re<sub>i</sub> mapping  $m + M_{i-1}$  to the matrix in Re<sub>i</sub> with *i*th column the same as  $m$ . Then  $f$  is one to one, onto, and (since addition and multiplication of cosets is performed by representatives) a ring homomorphism. That is,  $M_i/M_{i-1}\cong Re_i$ . So  $R = M_n \supset M_{n-1} \supset \cdots \supset M_1 \supset M_0 = \{0\}$  is a composition series (since  $M_i/M_{i-1} \cong Re_i$  has no proper submodules) of left R-modules. A similar

argument with right ideals  $e_iR = \{e_iA \mid A \in R\}$  (consisting of all matrices in  $R = \text{Mat}_n(D)$  with row *i* zero for all  $i \neq i$ ).

# Corollary VIII.1.12 (continued 3)

**Proof (continued).** Let  $M_0 = \{0\}$  and for  $i > 1$  let  $M_i = R(e_1 + e_2 + \cdots + e_i)$ . Then  $M_i$  includes all  $n \times n$  matrices with only zeros in columns  $i+1, i+2, \ldots, m$ . Similar to the argument above,  $M_i$  is a left ideal of R. Consider  $M_i/M_{i-1}$ . The elements of  $M_i/M_{i-1}$  are of the form  $m + M_{i-1}$  where  $m \in M_i$ . So  $m + M_{i-1} = n + M_{i-1}$  if and only if the *ith column of m* is the same as the *ith column of n.* Define f :  $M_i/M_{i-1}$  → Re<sub>i</sub> mapping  $m + M_{i-1}$  to the matrix in Re<sub>i</sub> with *i*th column the same as m. Then f is one to one, onto, and (since addition and multiplication of cosets is performed by representatives) a ring homomorphism. That is,  $M_i/\overline{M}_{i-1}\cong Re_i$ . So  $R = M_n \supset M_{n-1} \supset \cdots \supset M_1 \supset M_0 = \{0\}$  is a composition series (since  $M_i/M_{i-1} \cong Re_i$  has no proper submodules) of left R-modules. A similar argument with right ideals  $e_iR = \{e_iA \mid A \in R\}$  (consisting of all matrices in  $R = Mat_n(D)$  with row j zero for all  $j \neq i$ ).